

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020 or tax year beginning , and ending

| | | |
|---|---|--|
| Name of foundation DORIS DUKE CHARITABLE FOUNDATION INC | | A Employer identification number 82-3691183 |
| Number and street (or P.O. box number if mail is not delivered to street address) 650 FIFTH AVENUE, 19TH FLOOR | Room/suite | B Telephone number (908) 243-3619 |
| City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019 | | C If exemption application is pending, check here ... ▶ |
| G Check all that apply: Initial return Final return Address change Initial return of a former public charity Amended return Name change | | D 1. Foreign organizations, check here ▶ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here ... ▶ |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,418,804,763. | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/> |
| | | (Part I, column (d), must be on cash basis.) |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 525. | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 78,905. | 78,905. | | |
| | 4 Dividends and interest from securities | 8,129,605. | 16,725,717. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 125,916,138. | | | |
| | b Gross sales price for all assets on line 6a | 808,625,654. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 56,931,891. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | 194,278. | 16,026,976. | 0. | STATEMENT 1 | |
| 12 Total. Add lines 1 through 11 | 134,319,451. | 89,763,489. | 0. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 300,000. | 0. | 0. | 250,000. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | 11,215. | 0. | 0. | 14,023. |
| | b Accounting fees | | | | |
| | c Other professional fees | 7,632,456. | 7,606,530. | 0. | 0. |
| | 17 Interest | 1,008,041. | 0. | 0. | 996,625. |
| | 18 Taxes | 1,250,671. | 0. | 0. | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 2,983. | 0. | 0. | 2,983. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses | 9,836,354. | 14,534,722. | 0. | 9,349,171. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 20,041,720. | 22,141,252. | 0. | 10,612,802. |
| | 25 Contributions, gifts, grants paid | 101,593,227. | | | 96,009,426. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 121,634,947. | 22,141,252. | 0. | 106,622,228. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | 12,684,504. | | | | |
| b Net investment income (if negative, enter -0-) | | 67,622,237. | | | |
| c Adjusted net income (if negative, enter -0-) | | | 0. | | |

Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

| File a separate application for each return.
| Go to www.irs.gov/Form8868 for the latest information.

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| | | |
|--|---|--|
| Type or print | Name of exempt organization or other filer, see instructions. DORI S DUKE CHARITABLE FOUNDATION INC | Taxpayer identification number (TIN) 82- 3691183 |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions. 650 FIFTH AVENUE, 19TH FLOOR | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10019 | |

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 | 4

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

EI LEEN OBERLANDER

¥ The books are in the care of | 1112 DUKES PARKWAY - WEST HILLSBOROUGH, NJ 08844

Telephone No. | 908- 243- 3619 Fax No. | _____

¥ If the organization does not have an office or place of business in the United States, check this box ~~~~~ |

¥ If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box _____. If it is for part of the group, check this box _____ and attach a list with the names and TINs of all members the extension is for.

1 I request an automatic 6-month extension of time until NOVEMBER 15, 2021, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
| calendar year 2020 or
| tax year beginning _____, and ending _____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
Change in accounting period

| | | |
|---|----|-----------------|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ 3, 147, 536. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3b | \$ 2, 147, 536. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ 1, 000, 000. |

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. | | |
|--|---|--|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 3,292,362. | 6,991,265. | 6,991,265. |
| | 2 Savings and temporary cash investments | 23,948,460. | 38,002,551. | 38,002,551. |
| | 3 Accounts receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 4 Pledges receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 5 Grants receivable | 3,958,250. | 4,719,860. | 4,719,860. |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 790,395. | 243,749. | 243,749. |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 7 | 54,254,622. | 89,672,291. | 89,672,291. |
| | c Investments - corporate bonds STMT 8 | 90,242,876. | 43,168,287. | 43,168,287. |
| | 11 Investments - land, buildings, and equipment: basis | | | |
| Less: accumulated depreciation | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other STMT 9 | 1,731,499,457. | 2,212,367,684. | 2,212,367,684. | |
| 14 Land, buildings, and equipment: basis | | | | |
| Less: accumulated depreciation | | | | |
| 15 Other assets (describe STATEMENT 10) | 22,483,658. | 23,639,076. | 23,639,076. | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 1,930,470,080. | 2,418,804,763. | 2,418,804,763. | |
| Liabilities | 17 Accounts payable and accrued expenses | 3,187,930. | 2,551,102. | |
| | 18 Grants payable | 53,688,307. | 54,613,665. | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | 98,543,236. | |
| | 22 Other liabilities (describe STATEMENT 11) | 17,711,119. | 24,251,333. | |
| | 23 Total liabilities (add lines 17 through 22) | 74,587,356. | 179,959,336. | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30. | | | |
| | 24 Net assets without donor restrictions | 1,855,882,724. | 2,238,845,427. | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| 29 Total net assets or fund balances | 1,855,882,724. | 2,238,845,427. | | |
| 30 Total liabilities and net assets/fund balances | 1,930,470,080. | 2,418,804,763. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|----------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,855,882,724. |
| 2 Enter amount from Part I, line 27a | 2 | 12,684,504. |
| 3 Other increases not included in line 2 (itemize) SEE STATEMENT 6 | 3 | 370,278,199. |
| 4 Add lines 1, 2, and 3 | 4 | 2,238,845,427. |
| 5 Decreases not included in line 2 (itemize) | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 2,238,845,427. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) | |
|---|--|---|--|---|-------------|
| 1a SEE PART IV STATEMENT | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) | | |
| a | | | 56,931,891. | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. | | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | | |
| a | | | 56,931,891. | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 56,931,891. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | | { } | | 3 | 0. |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

| 1 Reserved | | | |
|------------------|-----------------|-----------------|-----------------|
| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
| Reserved | | | |
| Reserved | | | |
| Reserved | | | |
| Reserved | | | |
| Reserved | | | |
| 2 Reserved | | | 2 |
| 3 Reserved | | | 3 |
| 4 Reserved | | | 4 |
| 5 Reserved | | | 5 |
| 6 Reserved | | | 6 |
| 7 Reserved | | | 7 |
| 8 Reserved | | | 8 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (6a-6d), total credits, penalty, tax due, overpayment, and amount credited to 2021 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, Form 1120-POL filing, tax on political expenditures, reimbursement, IRS reporting, and state reporting. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions STATEMENT 12 STATEMENT 13 STMT 14
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.DDCF.ORG
14 The books are in care of EILEEN OBERLANDER Telephone no. 908-243-3619 Located at 1112 DUKES PARKWAY, WEST HILLSBOROUGH, NJ ZIP+4 08844
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|---|---|-----------|----|
| 5a During the year, did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | 5b | X |
| Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/> | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 16 | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870. | | 6b | X |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 15 | | 250,000. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|----------------------|------------------|
| SILCHESTER INTERNATIONAL - 780 THIRD AVENUE, 42ND FLOOR, NEW YORK, NY 10017 | INVESTMENT MGMT FEES | 378,734. |
| THE NORTHERN TRUST COMPANY 50 LASALLE STREET, CHICAGO, IL 60675 | INVESTMENT MGMT FEES | 278,491. |
| WESTWOOD GLOBAL INVESTMENTS LLC ONE FINANCIAL CTR, STE 1620, BOSTON, MA 02111 | INVESTMENT MGMT FEES | 264,679. |
| CAMBRIDGE ASSOCIATES LLC - 125 HIGHT STREET, OLIVER STREET TOWER, BOSTON, MA 02210 | INVESTMENT MGMT FEES | 221,197. |
| CAPITAL GUARDIAN TRUST CO P.O. BOX 659518, SAN ANTONIO, TX 78625 | INVESTMENT MGMT FEES | 155,977. |
| Total number of others receiving over \$50,000 for professional services | | 3 |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 ENVIRONMENT ACTIVITIES SEE PART IX-A - ACTIVITY DESCRIPTION STATEMENT | 345,253. |
| 2 MEDICAL RESEARCH ACTIVITIES SEE PART IX-A - ACTIVITY DESCRIPTION STATEMENT | 80,692. |
| 3 AFRICAN HEALTH ACTIVITIES SEE PART IX-A - ACTIVITY DESCRIPTION STATEMENT | 40,900. |
| 4 CHILD WELL-BEING ACTIVITIES SEE PART IX-A - ACTIVITY DESCRIPTION STATEMENT | 24,931. |

Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|-------------------|
| 1 SEE STATEMENT 17 | 2,500,000. |
| 2 | 0. |
| All other program-related investments. See instructions. | |
| 3 NONE | |
| Total. Add lines 1 through 3 | 2,500,000. |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|----------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | 1a | 1,875,315,244. |
| b | Average of monthly cash balances | 1b | 42,373,137. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 1,917,688,381. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 1,917,688,381. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 28,765,326. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 1,888,923,055. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 94,446,153. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

| | | | |
|----|---|----|-------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 94,446,153. |
| 2a | Tax on investment income for 2020 from Part VI, line 5 | 2a | 939,949. |
| b | Income tax for 2020. (This does not include the tax from Part VI.) | 2b | 921,337. |
| c | Add lines 2a and 2b | 2c | 1,861,286. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 92,584,867. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 1,424,064. |
| 5 | Add lines 3 and 4 | 5 | 94,008,931. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 94,008,931. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|--|---|----|--------------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 106,622,228. |
| b | Program-related investments - total from Part IX-B | 1b | 2,500,000. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 109,122,228. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 109,122,228. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 94,008,931. |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only | | | 0. | |
| b Total for prior years: 2018, 2017, 2016 | | 0. | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015 | 5,208,206. | | | |
| b From 2016 | 9,444,900. | | | |
| c From 2017 | 6,654,654. | | | |
| d From 2018 | 16,554,590. | | | |
| e From 2019 | 32,579,271. | | | |
| f Total of lines 3a through e | 70,441,621. | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ | 109,122,228. | | | |
| a Applied to 2019, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2020 distributable amount | | | | 94,008,931. |
| e Remaining amount distributed out of corpus | 15,113,297. | | | |
| 5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 85,554,918. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 | 5,208,206. | | | |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a | 80,346,712. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016 | 9,444,900. | | | |
| b Excess from 2017 | 6,654,654. | | | |
| c Excess from 2018 | 16,554,590. | | | |
| d Excess from 2019 | 32,579,271. | | | |
| e Excess from 2020 | 15,113,297. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | | | (e) Total |
|--|----------|----------|----------|----------|-----------|
| | (a) 2020 | (b) 2019 | (c) 2018 | (d) 2017 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4, for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
 NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
 NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:
 DORIS DUKE CHARITABLE FOUNDATION INC, 908-243-3619
 650 FIFTH AVE, 19TH FL., NEW YORK, NY 10019

b The form in which applications should be submitted and information and materials they should include:
 SEE PART XV, GRANTS PROCESS STATEMENT

c Any submission deadlines:
 SEE PART XV, GRANTS PROCESS STATEMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
 SEE PART XV, GRANTS PROCESS STATEMENT

Part XV **Supplementary Information** (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|--|--------------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| 651 ARTS 1000 DEAN STREET #232 BROOKLYN, NY 11238-3381 | NONE | PC | TO DEVELOP JAZZ ACCELERATOR PROGRAM, DESIGNED TO SUPPORT CAREER ADVANCEMENT OF WOMEN JAZZ MUSICIANS. | 93,750. |
| 651 ARTS 1000 DEAN STREET #232 BROOKLYN, NY 11238-3381 | NONE | PC | HOST NAJEE OMAR TO IMAGINE NEW APPROACHES FOR LIVE PERFORMANCE IN POETRY, THEATRICAL INSTALLATIONS, ETC. | 15,594. |
| ACTORS THEATRE OF LOUISVILLE 316 WEST MAIN ST. LOUISVILLE, KY 40202-3059 | NONE | PC | HOST IDRIS GOODWIN TO IMAGINE NEW APPROACHES TO DEMAND BUILDING FOR THE LOUISVILLE COMM. | 90,735. |
| AFRICAN PARKS FOUNDATION OF AMERICA 21 WEST 46TH STREET NEW YORK, NY 10036 | NONE | PC | GENERAL SUPPORT | 10,000. |
| AFS INTERCULTURAL PROGRAMS 71 W 23RD ST 6TH FLOOR NEW YORK, NY 10010-4102 | NONE | PC | GENERAL SUPPORT - COVID-19 RESPONSE | 50,000. |
| Total SEE CONTINUATION SHEET(S) ▶ 3a | | | | 96,009,426. |
| b Approved for future payment | | | | |
| AINA MOMONA P.O. BOX 1687 KAUNAKAKAI, HI 96748-1687 | NONE | PC | AINA MOMONA'S COMMUNITY RESTORATION PROJECT IN KAAMOLA, MOLOKAI | 180,000. |
| ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BRONX, NY 10461-1900 | NONE | PC | TO EXPAND AND EVALUATE THE COMMUNITY LINKAGE TO CARE PROGRAM. | 650,000. |
| ALLISON ORR DANCE, INC. 2023 E CESAR CHAVEZ ST AUSTIN, TX 78702-4511 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 87,500. |
| Total SEE CONTINUATION SHEET(S) ▶ 3b | | | | 33,098,404. |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Gain or loss from sales, and Subtotal.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|--|--|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | | | X |
| (2) Other assets | | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | | X |
| (3) Rental of facilities, equipment, or other assets | | | X |
| (4) Reimbursement arrangements | | | X |
| (5) Loans or loan guarantees | | | X |
| (6) Performance of services or membership or fundraising solicitations | | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|--------------|---------------------|---|--|
| | | N/A | |
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2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: _____ Date: _____ Title: **PRESIDENT & CEO**

May the IRS discuss this return with the preparer shown below? See instr. Yes No

Paid Preparer Use Only

| | | | | |
|---|---|-------------------|---|-------------------|
| Print/Type preparer's name SCOTT THOMPSETT | Preparer's signature <i>Scott Thompson</i> | Date 11/1/2021 | Check <input type="checkbox"/> if self-employed | PTIN P00741490 |
| Firm's name ▶ GRANT THORNTON LLP | | | Firm's EIN ▶ 36-6055558 | |
| Firm's address ▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017-2013 | | | Phone no. 212-599-0100 | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Name and address (home or business) | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-----------|---|--------------------------------|--|--------------------|
| | | | | | |
| AGAMA RELIEF FUND P.O. BOX 908 NEW YORK, NY 10108 | | NONE | PC | TO SUPPORT THE NEW YORK CITY BALLET DANCER RELIEF FUND | 2,500. |
| AINA MOMONA P.O. BOX 1687 KAUNAKAKAI, HI 96748-1687 | | NONE | PC | AINA MOMONA 'S COMMUNITY RESTORATION PROJECT IN KAAMOLA, MOLOKAI | 120,000. |
| ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BRONX, NY 10461-1900 | | NONE | PC | TO EXPAND AND EVALUATE THE COMMUNITY LINKAGE TO CARE PROGRAM, AN INTERVENTION IN WHICH COMMUNITY HEALTH WORKERS CONNECT BRONX FAMILIES WHO HAVE SOCIAL NEEDS TO APPROPRIATE SERVICES AND RESOURCES | 300,000. |
| ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BRONX, NY 10461-1900 | | NONE | PC | CHARACTERIZATION OF THE STEM AND PROGENITOR CELL COMPARTMENT IN SICKLE CELL DISEASE AND OPTIMIZATION OF GENE TRANSFER AT AAVS1 SITE IN CD49F+ LT-HSCS | 164,642. |
| ALLISON ORR DANCE, INC. 2023 E CESAR CHAVEZ ST AUSTIN, TX 78702-4511 | | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 100,000. |
| ALTERNATE ROOTS, INC. 1270 CAROLINE ST D120-353 ATLANTA, GA 30307-2758 | | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 105,000. |
| AMERICAN BIRD CONSERVANCY 4301 CONNECTICUT AVENUE, NW, SUITE 451 WASHINGTON, DC 20008 | | NONE | PC | TO SUPPORT BIRD CONSERVATION EFFORTS IN HAWAII | 10,000. |
| Total from continuation sheets | | | | | 95,749,347. |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|----------|
| AMERICAN FARMLAND TRUST (AFT) 1150 CONNECTICUT AVE, NW SUITE 600 WASHINGTON, DC 20036-4104 | NONE | PC | TO SUPPORT CONSERVATION AND THE TRANSITION TO A NEW GENERATION OF FARMERS: SUSTAINING A PROVEN MODEL IN THE HUDSON VALLEY AND GROWING MOMENTUM IN NEW JERSEY | 150,000. |
| AMERICAN FORESTS 1220 L ST NW, SUITE 750 WASHINGTON, DC 20005-4079 | NONE | PC | TO SUPPORT A COMPREHENSIVE SET OF RESEARCH, FINANCE, POLICY, AND STATE-WIDE DEMONSTRATION ACTIVITIES THAT WILL BUILD CAPACITY ACROSS THE URBAN FOREST MOVEMENT AND ADVANCE AN INTEGRATED CLIMATE MITIGATION AND HEALTH APPROACH TO URBAN ENVIRONMENTS | 600,000. |
| AMERICAN FORESTS 1220 L ST NW, SUITE 750 WASHINGTON, DC 20005-4079 | NONE | PC | TO SUPPORT THE AMERICAN FORESTS AND WORLD ECONOMIC FORUM: ADVANCING NATURAL CLIMATE SOLUTIONS THROUGH TRILLION TREES POLICY | 100,000. |
| AMERICAN INDIAN COMMUNITY HOUSE 39 ELDRIDGE STREET 4TH FLOOR NEW YORK, NY 10002-5274 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT | 50,000. |
| AMERICAN PHYSICIAN SCIENTISTS ASSOCIATION 4 LAN DRIVE, SUITE 310 WESTFORD, MA 01886-3576 | NONE | PC | TO SUPPORT THE AMERICAN PHYSICIAN SCIENTISTS ASSOCIATION 2020 ANNUAL MEETING | 15,000. |
| AMERICANS FOR THE ARTS 1000 VERMONT AVENUE, NW SIXTH FLOOR WASHINGTON, DC 20005-4940 | NONE | PC | TO SUPPORT ARTS SPEAK: A NATIONAL DECISION MAKER EDUCATION CAMPAIGN | 50,000. |
| APPALSHOP, INC. 91 MADISON AVE WHITESBURG, KY 41858-9317 | NONE | PC | TO SUPPORT APPALSHOPS PROGRAMMATIC CAPACITY AND FINANCIAL STABILITY BY PROVIDING LEAD SUPPORT FOR ESTABLISHMENT OF A WORKING CAPITAL RESERVE FUND AND AN ARTISTIC RESERVE FUND | 50,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|------|---|--|----------------------------------|--------|
| Name and address (home or business) | | | | | |
| ARIZONA ARCHAEOLOGICAL AND HISTORICAL SOCIETY P.O. BOX 210026 TUCSON, AZ 85721-0026 | NONE | PC | DORIS DUKE NATIVE ORAL HISTORY REVITALIZATION PROJECT | 130,000. | |
| ARTHUR ASHE INSTITUTE FOR URBAN HEALTH 450 CLARKSON AVE BROOKLYN, NY 11203-2012 | NONE | PC | TO SUPPORT SUPPLEMENT THE ARTHUR ASHE INSTITUTE FOR URBAN HEALTH CLINICAL RESEARCH CONTINUUM; HIGH SCHOOL TO COLLEGE | 1,000. | |
| ARTHUR ASHE INSTITUTE FOR URBAN HEALTH 450 CLARKSON AVE BROOKLYN, NY 11203-2012 | NONE | PC | ARTHUR ASHE INSTITUTE FOR URBAN HEALTH'S CLINICAL RESEARCH CONTINUUM; HIGH SCHOOL TO COLLEGE | 214,500. | |
| ARTS FOR ART 107 SUFFOLK ST., #300 NEW YORK, NY 10002-3387 | NONE | PC | GENERAL OPERATING SUPPORT | 50,000. | |
| ASSOCIATION OF PERFORMING ARTS PROFESSIONALS, INC. 919 18TH ST. NW, SUITE 650 WASHINGTON, DC 20006-5518 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. | |
| ASSOCIATION OF PERFORMING ARTS PROFESSIONALS, INC. 919 18TH ST. NW, SUITE 650 WASHINGTON, DC 20006-5518 | NONE | PC | TO SUPPORT THE APAP LEADERSHIP TRANSITION | 20,000. | |
| ASSOCIATION OF TRIBAL ARCHIVES LIBRARIES AND MUSEUMS 6308 HARDEN DR OKLAHOMA CITY, OK 73118-1036 | NONE | PC | TO SUPPORT THE DEVELOPMENT OF AN ACTION PLAN TO STRENGTHEN INDIGENOUS CULTURAL INSTITUTIONS | 250,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|-------------------------------------|---|---|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| ASSOCIATION OF TRIBAL ARCHIVES LIBRARIES AND MUSEUMS 6308 HARDEN DR OKLAHOMA CITY, OK 73118-1036 | NONE | PC | TO INCREASE ACCESS, UTILIZATION AND VISIBILITY OF THE DORIS DUKE NATIVE AMERICAN ORAL HISTORY COLLECTIONS | 150,000. | |
| BALLET HISPANICO OF NEW YORK 167 W 89TH ST NEW YORK, NY 10024-1901 | NONE | PC | GENERAL SUPPORT | 25,000. | |
| BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TX 77030-3411 | NONE | PC | SYSTEMATIC SEARCH FOR TARGETED THERAPEUTIC ENTRY POINTS FOR SYNGAP1 HAPOLINSUFFICIENCY - IMPLICATIONS FOR NEURODEVELOPMENTAL DISORDER THERAPIES | 55,000. | |
| BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVE BOSTON, MA 02215-5400 | NONE | PC | A NEW APPROACH TO PATIENT SAFETY: IDENTIFYING RISKY CLINICAL STATES TO REDUCE PREVENTABLE HARMS FOR HOSPITALIZED PATIENTS | 55,000. | |
| BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVE BOSTON, MA 02215-5400 | NONE | PC | ADVERSE CARDIOMETABOLIC IMPACT OF ANTIRETROVIRAL TREATMENT REGIMENS AMONG PREGNANT AND POST-PARTUM WOMEN AND THEIR INFANTS | 247,500. | |
| BILLIE HOLIDAY THEATRE INCORPORATED 1368 FULTON STREET BROOKLYN, NY 11216-5372 | NONE | PC | TO SUPPORT THE BLACK SEED, A NATIONAL STRATEGIC PLAN TO STRENGTHEN THE BLACK THEATER FIELD BY OFFERING MATERIAL SUPPORTS TO BLACK THEATERS ACROSS THE COUNTRY | 250,000. | |
| BIPARTISAN POLICY CENTER 1225 EYE STREET, NW SUITE 1000 WASHINGTON, DC 20005 | NONE | PC | TO SUPPORT NATURAL CLIMATE SOLUTIONS INITIATIVE OF THE BIPARTISAN POLICY CENTER | 100,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|------|---|--|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| BLACK FARMER FUND, INC. 2161 PROSPECT AVE BRONX, NY 10457-2759 | NONE | PC | TO SUPPORT BUILDING A RACIALLY JUST, REGENERATIVE, REGIONAL FOOD SYSTEM | 100,000. | |
| BLUE WATER BALTIMORE 2631 SISSON STREET BALTIMORE, MD 21211-3119 | NONE | PC | DEI CAPACITY BUILDING | 60,000. | |
| BLUEHUB CAPITAL, INC. 600 ATLANTIC AVE BOSTON, MA 02119-1775 | NONE | PC | TO PILOT THE WORKING COMMUNITIES CHALLENGE TO ADVANCE CROSS-SECTOR COLLABORATION AND IMPROVE WELL-BEING FOR LOW-INCOME RESIDENTS OF SMALL CITIES AND RURAL COMMUNITIES IN VERMONT, MAINE, AND NEW HAMPSHIRE | 200,000. | |
| BOARDSOURCE 750 9TH STREET NW WASHINGTON, DC 20001-4524 | NONE | PC | PHILANTHROPIC SUPPORT 2020; PARTNERSHIP FOR EFFECTIVE BOARD LEADERSHIP | 10,000. | |
| BOREALIS PHILANTHROPY 126 N THIRD STREET SUITE 500 MINNEAPOLIS, MN 55403-0295 | NONE | PC | TO SUPPORT THE DISABILITY INCLUSION FUND | 200,000. | |
| BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH HRSC 129 LAKE CHESTNUT HILL, MA 02467-0000 | NONE | PC | THE IMPACT OF UPSTREAM PREVENTION OF HOMELESSNESS ON YOUTH EDUCATIONAL AND DEVELOPMENTAL OUTCOMES | 80,000. | |
| BOSTON MEDICAL CENTER CORPORATION 85 E CONCORD STREET ROOM 2212 BOSTON, MA 02118-2335 | NONE | PC | TO SUPPORT THE VITAL VILLAGE NETWORK, A NETWORK OF RESIDENTS AND CROSS-SECTOR COMMUNITY-BASED ORGANIZATIONS COLLABORATING TO REDUCE CHILDHOOD ADVERSITIES AND IMPROVE CHILD AND FAMILY WELL-BEING IN THREE NEIGHBORHOODS IN BOSTON | 600,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| BOSTON UNIVERSITY 715 ALBANY STREET BOSTON, MA 02215-1390 | NONE | PC | RESTING METABOLIC SIGNATURE OF NON-ALCOHOLIC FATTY LIVER DISEASE AND THE METABOLIC RESPONSE TO ACUTE EXERCISE IN A COMMUNITY BASED SAMPLE. | 165,000. |
| BOYS CLUBS & GIRLS CLUBS OF NEWPORT COUNTY 95 CHURCH ST NEWPORT, RI 02840-3143 | NONE | PC | TO SUPPORT CRITICAL SERVICES FOR NEWPORT FAMILIES IMPACTED BY COVID-19 | 125,000. |
| BRIGHAM & WOMEN'S HOSPITAL 75 FRANCIS STREET BOSTON, MA 02115 | NONE | PC | CELLULAR IMMUNOPHENOTYPES TO PREDICT TREATMENT RESPONSE IN RHEUMATOID ARTHRITIS | 220,000. |
| BRIGHAM & WOMEN'S HOSPITAL 75 FRANCIS STREET BOSTON, MA 02115 | NONE | PC | UNCOVERING THE ONCOGENIC ROLE OF UBIQUITIN DEPLETION DURING B LYMPHOCYTE DIFFERENTIATION | 220,000. |
| BRONX RIVER ALLIANCE ONE BRONX RIVER PARKWAY BRONX, NY 10462-2869 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| CANDID 32 OLD SLIP NEW YORK, NY 10005-3500 | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020; GUIDESTAR PHILANTHROPIC PARTNER | 30,000. |
| CAPITALBOP 2101 CONNECTICUT AVE NW WASHINGTON, DC 20008-1728 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 30,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|---|--------------------------------|---|----------|
| Recipient | Name and address (home or business) | | | | |
| | CARNEGIE HALL 881 SEVENTH AVENUE NEW YORK, NY 10019-3210 | NONE | PC | GENERAL OPERATING SUPPORT | 100,000. |
| | CARY INSTITUTE OF ECOSYSTEM STUDIES 2801 SHARON TURNPIKE MILLBROOK, NY 12545-0129 | NONE | PC | TO SUPPORT THE PROTECTION OF FORESTS FROM INVASIVE PESTS AND PATHOGENS | 50,000. |
| | CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVENUE CLEVELAND, OH 44106-1712 | NONE | PC | CLINICAL AND ASSOCIATED RED BLOOD CELL ADHESION DATA SHARING THROUGH THE REDCAP PLATFORM | 16,500. |
| | CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVENUE CLEVELAND, OH 44106-1712 | NONE | PC | COVID-19 RELATED DATA SHARING THROUGH THE REDCAP PLATFORM | 16,500. |
| | CASITA MARIA 928 SIMPSON STREET BRONX, NY 10459-4402 | NONE | PC | TO HOST BRONX WORLD ARTS ENSEMBLE | 25,000. |
| | CEDARS-SINAI MEDICAL CENTER 8700 BEVERLY BLVD WEST HOLLYWOOD, CA 90048-1804 | NONE | PC | THE DIGITAL BIOPSY IN CARDIAC TRANSPLANT REJECTION | 176,000. |
| | CENTER FOR CULTURAL INNOVATION 244 S SAN PEDRO STREET, SUITE 401 LOS ANGELES, CA 90012-3860 | NONE | PC | TO SUPPORT AMBITIOUS, A NATIONAL INITIATIVE THAT SUPPORTS LEADERS AND PROJECTS FOCUSED ON IMPROVING FINANCIAL SUPPORT SYSTEMS FOR ARTISTS AND OTHER INDEPENDENT WORKERS | 100,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|----------|
| CENTER FOR EFFECTIVE PHILANTHROPY 675 MASSACHUSETTS AVE., 7TH FL CAMBRIDGE, MA 02139-3309 | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 10,000. |
| CENTER FOR GLOBAL DEVELOPMENT 2055 L ST NW FIFTH FL WASHINGTON, DC 20036-4983 | NONE | PC | GENERAL SUPPORT | 10,000. |
| CENTER STAGE ASSOCIATES, INC. 700 NORTH CALVERT ST BALTIMORE, MD 21202-3602 | NONE | PC | TO ADVANCE CHANGE IN THE THEATER FIELD THROUGH SUPPORT FOR A SHARED LEARNING AGENDA AMONG ARTISTIC DIRECTORS OF FOUR THEATERS, NEW AND REIMAGINED ARTISTIC PROGRAMMING AT EACH VENUE, AND GENERAL OPERATING SUPPORT | 80,000. |
| CHAMBER MUSIC AMERICA 12 WEST 32ND STREET 7TH FLOOR NEW YORK, NY 10001-0802 | NONE | PC | TO SUPPORT JAZZ MUSICIANS AND PRESENTERS THROUGH PROJECT GRANTS THAT FUND NEW WORKS, TOURING COLLABORATIONS, AND PERFORMING AND RECORDING OPPORTUNITIES | 850,000. |
| CHAMBER MUSIC AMERICA 12 WEST 32ND STREET 7TH FLOOR NEW YORK, NY 10001-0802 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. |
| CHAPIN HALL CENTER FOR CHILDREN 1313 E 60TH ST CHICAGO, IL 60637-2830 | NONE | PC | TO SUPPORT THE 2017 AND 2018 COHORTS OF THE DORIS DUKE FELLOWSHIPS FOR THE PROMOTION OF CHILD WELL-BEING | 386,682. |
| CHAPIN HALL CENTER FOR CHILDREN 1313 E 60TH ST CHICAGO, IL 60637-2830 | NONE | PC | TO STRENGTHEN THE DORIS DUKE FELLOWS FOR THE PROMOTION OF CHILD WELL-BEING EARLY CAREER RESEARCHERS NETWORK AND SUPPORT RESEARCH PARTNERSHIPS AMONG CURRENT AND PAST FELLOWS AND POLICY OR PRACTICE PARTNERS | 150,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Name and address (home or business) | Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|-----------|---|--------------------------------|---|----------|
| | | | | | |
| CHARLES DREW UNIVERSITY OF MEDICINE & SCIENCE 1731 EAST 120TH STREET AP DEPT COBB LOS ANGELES, CA 90059-3051 | PC | NONE | PC | PROJECT STRIDE I & II- STUDENTS TRAINING IN RESEARCH INVOLVING DISPARITY ELIMINATION | 188,500. |
| CHILD WELFARE INNOVATION, INC. 1452 DORCHESTER AVE STE 4 DORCHESTER, MA 02122-1386 | PC | NONE | PC | TO EXPAND THE FOSTER AMERICA FELLOWSHIP PROGRAM TO IMPROVE OUTCOMES FOR CHILDREN AND FAMILIES AT RISK OF ENTERING, OR PRESENTLY ENGAGED WITH THE FOSTER CARE SYSTEM | 300,000. |
| CHILDREN'S BUREAU OF SOUTHERN CALIFORNIA 1910 MAGNOLIA AVE LOS ANGELES, CA 90007-1220 | PC | NONE | PC | TO SUPPORT THE MAGNOLIA COMMUNITY INITIATIVE, AN APPROACH TO IMPROVING CHILD AND COMMUNITY WELL-BEING BY PROMOTING FAMILY ENGAGEMENT, SCHOOL READINESS, GOOD HEALTH, AND ECONOMIC STABILITY | 600,000. |
| CHILDREN'S BUREAU OF SOUTHERN CALIFORNIA 1910 MAGNOLIA AVE LOS ANGELES, CA 90007-1220 | PC | NONE | PC | TO SUPPORT THE POPULATION CHANGE LEARNING COMMUNITY, STRENGTHENING THE MEASUREMENT CAPACITY OF PLACE-BASED ORGANIZATIONS | 100,000. |
| CHILDREN'S BUREAU OF SOUTHERN CALIFORNIA 1910 MAGNOLIA AVE LOS ANGELES, CA 90007-1220 | PC | NONE | PC | TO SUPPORT PLANNING FOR AND DEVELOPMENT OF A BUSINESS PLAN TO ESTABLISH A POPULATION CHANGE INSTITUTE | 100,000. |
| CHILDREN'S HOSPITAL BOSTON 1295 BOYLSTON ST BOSTON, MA 02215-3407 | PC | NONE | PC | SMALL MOLECULE TARGETED REACTIVATION OF HBF EXPRESSION FOR SICKLE CELL DISEASE | 135,000. |
| CHILDRENS HOSPITAL LOS ANGELES 4650 W SUNSET BLVD LOS ANGELES, CA 90027-6062 | PC | NONE | PC | THE SAMUELS FAMILY LA-HIP CLINICAL RESEARCH CONTINUUM: HIGH SCHOOL TO COLLEGE | 214,500. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|----------|
| CHILDREN'S HOSPITAL OF PHILADELPHIA 3401 CIVIC CENTER BLVD PHILADELPHIA, PA 19104-4319 | NONE | PC | UNRAVELING HETEROGENEITY AND NOVEL THERAPIES FOR RARE PEDIATRIC LUNG DISEASE | 247,500. |
| CHILDRENS OUTING ASSN 909 E NORTH AVE MILWAUKEE, WI 53212-3447 | NONE | PC | TO STRENGTHEN A WIDESPREAD COMMUNITY EFFORT TO ENGAGE FAMILIES, COMMUNITY-BASED ORGANIZATIONS, AND GOVERNMENT SYSTEMS IN A COORDINATED EFFORT TO IMPROVE HEALTH AND WELL-BEING FOR CHILDREN AND FAMILIES IN THREE MILWAUKEE NEIGHBORHOODS | 477,793. |
| CHILDRENS RESEARCH INSTITUTE 111 MICHIGAN AVE NW WASHINGTON, DC 20010-2916 | NONE | PC | DEFINING FUNCTIONAL CONSEQUENCES AND GENOME-WIDE ACTIVITY OF THERAPEUTIC CORD BLOOD GENOME EDITING FOR SICKLE CELL DISEASE | 71,500. |
| CHILDRENS RESEARCH INSTITUTE 111 MICHIGAN AVE NW WASHINGTON, DC 20010-2916 | NONE | PC | MINIMIZING TOXICITY IN HLA-IDENTICAL SIBLING TRANSPLANTATION FOR CHILDREN WITH SICKLE CELL DISEASE | 82,275. |
| CINCINNATI CHILDREN'S HOSPITAL 3333 BURNET AVE ML 4900 CINCINNATI, OH 45229-3026 | NONE | PC | MAXIMIZING FETAL HEMOGLOBIN RESPONSES TO HYDROXYUREA USING PRECISION MEDICINE | 59,337. |
| CITY PARKS FOUNDATION 830 FIFTH AVENUE, ROOM 271 NEW YORK, NY 10065 | NONE | PC | TO SUPPORT THE NYC GREEN RELIEF & RECOVERY FUND | 250,000. |
| CLEO PARKER ROBINSON DANCE ENSEMBLE 119 PARK AVE W DENVER, CO 80205-3209 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT | 100,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| CLIMATE CONSERVATION 303 WEST MENDENHALL, SUITE 3 BOZEMAN, MT 59715 | NONE | PC | TO SUPPORT THE CREATION OF THE LANDSCAPE CONSERVATION CATALYST FUND, A REGRANT AND CAPACITY BUILDING PROGRAM TO ADVANCE THE EFFECTIVE PRACTICE OF PLACE-BASED, COLLABORATIVE LANDSCAPE CONSERVATION ACROSS THE UNITED STATES | 300,000. |
| CLIMATE CONSERVATION 303 WEST MENDENHALL, SUITE 3 BOZEMAN, MT 59715 | NONE | PC | TO DEVELOP STATE-BASED POLICIES THAT SUPPORT THE IMPLEMENTATION OF LARGE LANDSCAPE CONSERVATION | 50,000. |
| COLUMBIA UNIVERSITY 615 W 131 ST MC8741 NEW YORK, NY 10027-7922 | NONE | PC | TO SUPPORT GHANA CHPS+ TEAM'S CROSS COUNTRY RESEARCH ACTIVITIES FOCUSED ON DATA USE FOR DECISION-MAKING AND QUALITY OF CARE | 26,304. |
| COLUMBIA UNIVERSITY 615 W 131 ST MC8741 NEW YORK, NY 10027-7922 | NONE | PC | DEVELOPING NOVEL CLINICAL APPROACHES TO REDUCE CHILDHOOD OBESITY RISK FACTORS DURING THE FIRST 1,000 DAYS | 137,500. |
| COLUMBIA UNIVERSITY 615 W 131 ST MC8741 NEW YORK, NY 10027-7922 | NONE | PC | A NATIONAL PROGRAM FOR STRENGTHENING THE IMPLEMENTATION OF THE COMMUNITY-BASED HEALTH PLANNING AND SERVICES (CHPS) INITIATIVE IN GHANA: CHPS+ | 1,379,884. |
| COLUMBIA UNIVERSITY 615 W 131 ST MC8741 NEW YORK, NY 10027-7922 | NONE | PC | SOCIAL DETERMINANTS OF HEALTH, OBESITY, AND COVID-19 IN NEW YORK CITY | 16,500. |
| COLUMBIA UNIVERSITY 615 W 131 ST MC8741 NEW YORK, NY 10027-7922 | NONE | PC | DATA REPOSITORY OF DE-IDENTIFIED DATA FROM COVID-19 CLINICAL TRIALS | 16,500. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|-------------------------------------|---|---|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| COMMUNITY FOUNDATION OF NEW JERSEY 35 KNOX HILL ROAD MORRISTOWN, NJ 07960 | NONE | PC | NEW JERSEY PANDEMIC RELIEF FUND COVID-19 RESPONSE | 500,000. | |
| COMMUNITY FUNDS, INC. 909 THIRD AVENUE, FLOOR 22 NEW YORK, NY 10022-4752 | NONE | PC | TO SUPPORT FOSTER CARE EXCELLENCE FUND | 100,000. | |
| COMMUNITY FUNDS, INC. 909 THIRD AVENUE, FLOOR 22 NEW YORK, NY 10022-4752 | NONE | PC | TO SUPPORT THE FOSTER CARE EXCELLENCE FUND COVID-19 EMERGENCY RESPONSE | 200,000. | |
| COMMUNITY FUNDS, INC. 909 THIRD AVENUE, FLOOR 22 NEW YORK, NY 10022-4752 | NONE | PC | NYC COVID-19 RESPONSE AND IMPACT FUND | 2,000,000. | |
| CONNECTICUT PLAYERS FOUNDATION, INC. 222 SARGENT DRIVE NEW HAVEN, CT 06511-5919 | NONE | PC | TO ADVANCE CHANGE IN THE THEATER FIELD THROUGH SUPPORT FOR A SHARED LEARNING AGENDA AMONG ARTISTIC DIRECTORS OF FOUR THEATERS, NEW AND REIMAGINED ARTISTIC PROGRAMMING AT EACH VENUE, AND GENERAL OPERATING SUPPORT | 40,000. | |
| CONSENSUS BUILDING INSTITUTE 100 CAMBRIDGEPARK DRIVE SUITE 302 CAMBRIDGE, MA 02140-2330 | NONE | PC | TO SUPPORT THE DEVELOPMENT OF A NEW FIELD OF PRACTICE FOCUSED ON FACILITATING COMMUNITY-LED MANAGED RETREAT FROM SEA LEVEL RISE AND OTHER CLIMATE CHANGE RISKS | 100,000. | |
| CONSERVATION VOTERS NEW MEXICO EDUCATION FUND 121 SANDOVAL STREET, SUITE 200 SANTA FE, NM 87501-2679 | NONE | PC | DEI CAPACITY BUILDING | 60,000. | |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|----------|
| CONSULTATIVE GROUP ON BIOLOGICAL DIVERSITY, DBA BIODIVERSITY FUNDERS GROUP 1016 TORNEY AVE, #216 SAN FRANCISCO, CA 94129-0361 | NONE | PC | TO RENEW MEMBERSHIP AND SUPPORT THE CLIMATE AND ENERGY FUNDERS GROUP | 45,000. |
| CONTINENTAL DIVIDE TRAIL COALITION 710 10TH STREET, SUITE# 200 GOLDEN, CO 80401 | NONE | PC | TO SUPPORT A STRATEGIC PLANNING CONVENING OF THE NEXT100 COALITION | 25,000. |
| CORNERSTONE THEATER COMPANY 708 TRACTION AVE LOS ANGELES, CA 90032-4145 | NONE | PC | TO HOST LARISSA FASTHORSE AT CORNERSTONE THEATER COMPANY TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND BUILDING FOR CONTEMPORARY NATIVE THEATER WITH BOTH INDIGENOUS AND NON-INDIGENOUS COMMUNITIES AND AUDIENCES. | 100,000. |
| COUNCIL ON FOREIGN RELATIONS 58 E 68TH ST NEW YORK, NY 10065-5953 | NONE | PC | GENERAL SUPPORT | 50,000. |
| COUNCIL ON FOUNDATIONS 1255 23RD ST NW, SUITE 200 WASHINGTON, DC 20037-1152 | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 25,000. |
| COUNCIL ON THE ENVIRONMENT, AKA GROWNYC 100 GOLD STREET SUITE 3300 NEW YORK, NY 10038 | NONE | PC | TO RENEW SUPPORT FOR THE FARMROOTS FARMER ASSISTANCE PROGRAM | 115,000. |
| COUNTERPULSE 80 TURK ST. SAN FRANCISCO, CA 94102-2808 | NONE | PC | TO HOST TIARE RIBEAUX AT COUNTERPULSE, TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND BUILDING FOR AMONG TECH CREATIVES FOR CONTEMPORARY DANCE AND PERFORMANCE. | 20,725. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| COVENANT HOUSE ALASKA 755 A ST ANCHORAGE, AK 99501-3625 | NONE | PC | TO INCREASE ACCESS AND UTILIZATION OF COMPREHENSIVE SUPPORTIVE HEALTH, SOCIAL, AND PERMANENT HOUSING SERVICES TO HOMELESS YOUTH IN ANCHORAGE, PARTICULARLY ALASKA NATIVE YOUTH, YOUTH TRANSITIONING OUT OF FOSTER CARE, AND PREGNANT AND PARENTING YOUTH | 350,000. |
| CREATIVE CAPITAL FOUNDATION 15 MAIDEN LANE, 18TH FLOOR NEW YORK, NY 10038-4003 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. |
| DALLAS FOUNDATION 2200 N. PEARL STREET DALLAS, TX 75219-3239 | NONE | PC | TO PILOT THE TEXAS INCLUSIVE ECONOMY ACCELERATOR TO STRENGTHEN CROSS-SECTOR PARTNERSHIPS THAT ADVANCE THE ECONOMIC MOBILITY AND RESILIENCE OF TEXAS RESIDENTS LIVING IN LOW- AND MODERATE-INCOME COMMUNITIES | 175,000. |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | DISSECTING TUMOR AND IMMUNE EVOLUTION IN STAGE III MELANOMA | 247,500. |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | DEFINING THE MOLECULAR LANDSCAPE AND THERAPEUTIC TARGETS IN TRANSLOCATION RENAL CELL CARCINOMA | 247,500. |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | THERAPEUTIC TARGETING OF SPLICING IN COHESIN MUTANT MYELOID MALIGNANCIES | 165,000. |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | UNDERSTANDING THERAPEUTIC EFFICACY AND RESISTANCE IN PATIENTS WITH METASTATIC PANCREATIC DUCTAL ADENOCARCINOMA | 55,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|------|---|--|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | DEEP NATURAL LANGUAGE PROCESSING TO ACCELERATE CANCER RESEARCH USING ELECTRONIC HEALTH RECORDS | 247,500. | |
| DANCE/NYC 218 EAST 18TH STREET, GARDEN FLOOR NEW YORK, NY 10003-3605 | NONE | PC | TO SUPPORT DANCE/NYCS CORONAVIRUS DANCE RELIEF FUND | 25,000. | |
| DANCE/USA 1029 VERMONT AVENUE NW SUITE 400 WASHINGTON, DC 20005-3517 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. | |
| DANCE/USA 1029 VERMONT AVENUE NW SUITE 400 WASHINGTON, DC 20005-3517 | NONE | PC | TO SUPPORT DANCE/USA FELLOWSHIPS TO ARTISTS, A NATIONAL REGRANT INITIATIVE THAT PROVIDES UNRESTRICTED FUNDING AND ARTIST SERVICES TO INDIVIDUAL ARTISTS WHO USE DANCE AS A VEHICLE FOR SOCIAL CHANGE | 925,659. | |
| DEFENDERS OF WILDLIFE 1130 17TH STREET NW WASHINGTON, DC 20036-4604 | NONE | PC | TO RENEW SUPPORT FOR A PROJECT THAT EXPEDITES THE SENSITIVE SITING OF RENEWABLE ENERGY PROJECTS THROUGH THE DEVELOPMENT OF FEDERAL AND STATE ENERGY AND LAND MANAGEMENT POLICIES | 1,250,000. | |
| DORIS DUKE FOUNDATION FOR ISLAMIC ART 650 5TH AVE FL 19 NEW YORK, NY 10019-6108 | NONE | PF | EMPLOYEE RELATED EXPENSES; GENERAL ADMIN EXPENSES; PROGRAM RELATED EXPENSES; GENERAL OPERATING EXPENSES; CAPITAL EXPENDITURES | 5,668,439. | |
| DOVA, INC. 260 WEST BROADWAY, SUITE 4 NEW YORK, NY 10013 | NONE | PC | TO SUPPORT THE FINANCIAL AND ADMINISTRATIVE STABILIZATION OF DOVA, INC. | 150,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|--------------------------------|---|-------------|
| Recipient | Name and address (home or business) | | | | |
| DUKE FARMS FOUNDATION 650 5TH AVE FL 19 NEW YORK, NY 10019-6108 | | NONE | PF | EMPLOYEE RELATED EXPENSES; GENERAL ADMIN EXPENSES; PROGRAM RELATED EXPENSES; GENERAL OPERATING EXPENSES; CAPITAL EXPENDITURES | 12,568,868. |
| DUKE UNIVERSITY 324 BLACKWELL ST STE 850 DURHAM, NC 27701-3659 | | NONE | PC | THE FUND TO RETAIN CLINICAL SCIENTISTS AT DUKE | 264,000. |
| DUKE UNIVERSITY 324 BLACKWELL ST STE 850 DURHAM, NC 27701-3659 | | NONE | PC | TARGETING WNT-SIGNALING TO PREVENT SUDDEN DEATH IN PEDIATRIC ARRHYTHMIC CARDIOMYOPATHY | 247,500. |
| DUKE UNIVERSITY 324 BLACKWELL ST STE 850 DURHAM, NC 27701-3659 | | NONE | PC | TO SUPPORT THE DUKE PREPARING RESEARCH SCHOLARS IN BIOMEDICAL SCIENCES (PRIME) PROGRAM | 100,000. |
| DUKE UNIVERSITY 324 BLACKWELL ST STE 850 DURHAM, NC 27701-3659 | | NONE | PC | EXPANDING COMPREHENSIVE 'OMICS AND DATA HARMONIZATION IN THE SICKLE CELL DISEASE NHLBI TOPMED PROGRAM | 16,500. |
| EARSHOT JAZZ SOCIETY OF SEATTLE 3417 FREMONT AVE N, #221 SEATTLE, WA 98103-3411 | | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS 2017 | 20,000. |
| EARTH ISLAND INSTITUTE 2150 ALLSTON WAY STE 460 BERKELEY, CA 94704-1375 | | NONE | PC | TO SUPPORT STRATEGIC PLANNING AND VISIONING FOR PEOPLE OF THE GLOBAL MAJORITY IN THE OUTDOORS, NATURE AND ENVIRONMENT (PGM ONE) | 15,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|----------|
| EASTSIDE ARTS ALLIANCE P.O. BOX 17008 OAKLAND, CA 94601-7008 | NONE | PC | TO HOST AMARA TABOR-SMITH AT EASTSIDE ARTS ALLIANCE TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND BUILDING FOR DANCE AND INTERDISCIPLINARY PERFORMANCE ART. | 72,975. |
| EKVNV YEFOLECVLKE P.O. BOX 148 WEOGUFKA, AL 35183-0148 | NONE | PC | EKVNV-YEFOLECV IS AN INTENTIONAL ECOVILLAGE COMMUNITY OF INDIGENOUS MASOKE PERSONS WHO HAVE RETURNED TO THEIR TRADITIONAL HOMELANDS FOR THE PURPOSE OF PRACTICING LINGUISTIC, CULTURAL AND ECOLOGICAL SUSTAINABILITY. | 120,000. |
| EMERSON COLLEGE 120 BOYLSTON STREET BOSTON, MA 02116 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019: LATINX THEATRE COMMONS | 38,490. |
| EMERSON COLLEGE 120 BOYLSTON STREET BOSTON, MA 02116 | NONE | PC | TO HOST ESPERANZA SPALDING AND WAYNE SHORTER AT ARTSEMERSON TO SUPPORT THE DEVELOPMENT AND CREATION OF IPHIGENIA. | 200,000. |
| EMERSON COLLEGE 120 BOYLSTON STREET BOSTON, MA 02116 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019: HOWLROUND | 108,000. |
| EMERSON COLLEGE 120 BOYLSTON STREET BOSTON, MA 02116 | NONE | PC | TO HOST TOSHI REAGON AT ARTSEMERSON TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND BUILDING FOR THEATER. | 25,000. |
| EMORY UNIVERSITY 1599 CLIFTON ROAD 3RD FLOOR ATLANTA, GA 30322-4250 | NONE | PC | ARBOVIRAL ANTIBODY SIGNATURES ON A NANOSCALE PLASMONIC GOLD PLATFORM TO PREDICT SEVERE DENGUE IN SYMPTOMATIC CASES | 202,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|------|---|---|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| ENDANGERED SPECIES COALITION 1875 CONNECTICUT AVE, 10TH FLOOR WASHINGTON, DC 20009 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT | 50,000. | |
| ENVIRONMENTAL DEFENSE FUND, INCORPORATED 257 PARK AVENUE SOUTH, 17TH FLOOR NEW YORK, NY 10010-7304 | NONE | PC | GENERAL SUPPORT | 30,000. | |
| ENVIRONMENTAL LEADERSHIP PROGRAM 135 HEDGEWOOD DRIVE GREENBELT, MD 20770-1656 | NONE | PC | DEI CAPACITY BUILDING | 48,600. | |
| EQUAL MEASURE 520 WALNUT ST SUITE 1450 PHILADELPHIA, PA 19106-3602 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT FOR JAZZ PHILADELPHIA | 25,000. | |
| FAITH IN PLACE 70 EAST LAKE STREET, SUITE 920 CHICAGO, IL 60601-5985 | NONE | PC | DEI CAPACITY BUILDING | 37,300. | |
| FIRST PEOPLES FUND 706 WEST BOULEVARD RAPID CITY, SD 57701 | NONE | PC | TO EXPAND AND DEEPEN SUPPORT FOR NATIVE PERFORMING ARTISTS AND TO FUND THE FIRST PHASE OF A NATIONAL FIELD SCAN OF THE NATIVE PERFORMING ARTS COMMUNITY | 350,000. | |
| FIRST PEOPLES FUND 706 WEST BOULEVARD RAPID CITY, SD 57701 | NONE | PC | TO SUPPORT FUNDING AND PROFESSIONAL DEVELOPMENT OPPORTUNITIES FOR NATIVE PERFORMING ARTISTS TO STRENGTHEN THEIR ABILITY TO ADVANCE THEIR ARTISTIC EXPRESSION, CONTRIBUTE TO LOCAL ECONOMIES, AND SERVE AS STRONG LEADERS WITHIN THEIR COMMUNITIES | 100,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|--------------------------------|--|----------|
| Recipient | Name and address (home or business) | | | | |
| FOODSHED ALLIANCE 27 MAIN STREET, 2ND FL. BLAIRSTOWN, NJ 07825-0713 | | NONE | PC | GENERAL SUPPORT OF LOCAL FOOD SYSTEM WORK IN THE "GROWING FARMERS" AND "DISTRIBUTION" AREAS | 50,000. |
| FOUNDATION FOR THE NIH 11400 ROCKVILLE PIKE, SUITE 600 ROCKVILLE, MD 20852-3003 | | NONE | PC | TO RENEW SUPPORT FOR THE NATIONAL INSTITUTES OF HEALTH (NIH) MEDICAL RESEARCH SCHOLARS PROGRAM | 350,000. |
| FRACTURED ATLAS 228 PARK AVENUE SOUTH #56651 NEW YORK, NY 10003-1502 | | NONE | PC | TO SUPPORT SAFE HARBORS NYC REFLECTIONS OF NATIVE VOICES FESTIVAL AND EMERGING DIRECTORS PROGRAM | 75,000. |
| FRACTURED ATLAS 228 PARK AVENUE SOUTH #56651 NEW YORK, NY 10003-1502 | | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. |
| FRACTURED ATLAS 228 PARK AVENUE SOUTH #56651 NEW YORK, NY 10003-1502 | | NONE | PC | TO SUPPORT THE BRIDGE PRODUCTION GROUP | 15,000. |
| FRESH MEAT PRODUCTIONS 375 27TH STREET #A SAN FRANCISCO, CA 94146-0670 | | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS 2018 | 75,000. |
| FRIENDS OF THE HIGH LINE, INC. 820 WASHINGTON STREET NEW YORK, NY 10014 | | NONE | PC | GENERAL SUPPORT | 25,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|---|--------------------------------|---|----------|
| Recipient | Name and address (home or business) | | | | |
| | GALAPAGOS CONSERVANCY INC 11150 FAIRFAX BLVD STE 408 FAIRFAX, VA 22030-5066 | NONE | PC | GENERAL SUPPORT | 10,000. |
| | GINA GIBNEY DANCE, INC. 890 BROADWAY, FIFTH FLOOR NEW YORK, NY 10003-1211 | NONE | PC | TO SUPPORT THE PROGRAMS AND ACTIVITIES OF KINETIC LIGHT, A TEMPORARY PERFORMANCE ENSEMBLE COMMITTED TO INTERSECTIONAL DISABILITY AESTHETICS AND CULTURE | 125,000. |
| | GLYNWOOD CENTER, INC. 362 GLYNWOOD RD COLD SPRING, NY 10516-0157 | NONE | PC | TO SUPPORT THE HUDSON VALLEY FARMER TRAINING COOPERATIVE | 100,000. |
| | GRANTMAKERS IN THE ARTS 522 COURTLANDT AVE BRONX, NY 10451-5008 | NONE | PC | TO PROVIDE 2020 GENERAL OPERATING SUPPORT. | 40,000. |
| | GREATER PHILADELPHIA CULTURAL ALLIANCE 1315 WALNUT ST STE 732 PHILADELPHIA, PA 19107-4733 | NONE | PC | TO SUPPORT THE COVID-19 ARTS AID PHL RELIEF FUND | 50,000. |
| | GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC. 3939 CAMPBELL AVENUE ARLINGTON, VA 22206-3440 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT FOR PBS NEWSHOUR | 50,000. |
| | GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC. 3939 CAMPBELL AVENUE ARLINGTON, VA 22206-3440 | NONE | PC | TO SUPPORT A NEW PBS NEWSHOUR SERIES THAT WILL SHOWCASE AND SPREAD AWARENESS OF SUCCESSFUL WORK AT CITY, REGIONAL, AND STATE LEVELS TO MITIGATE AND REDUCE THE ACCELERATION OF CLIMATE CHANGE | 50,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| GREENROOTS 227 MARGINAL STREET SUITE 1 CHELSEA, MA 02150-3538 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| H.T. DANCE COMPANY, INC. P.O. BOX 777 NEW YORK, NY 10108 | NONE | PC | GENERAL OPERATING SUPPORT TO ASSIST THE COMPANY IN RECOVERY FOLLOWING A DEVASTATING FIRE. | 25,000. |
| HARLEM SCHOOL OF THE ARTS, INC. 645 ST NICHOLAS AVE NEW YORK, NY 10030-1001 | NONE | PC | GENERAL SUPPORT | 5,000. |
| HARVARD UNIVERSITY HARVARD T.H. CHAN SCHOOL OF PUBLIC HEALTH 677 HUNTINGTON AVENUE BOSTON, MA 02115 | NONE | PC | TO SUPPORT THE FRANCOIS-XAVIER BAGNOUD (FXB) CENTER FOR HEALTH AND HUMAN RIGHTS OPIOID SETTLEMENT FUNDS PROJECT | 26,000. |
| HAWAII PEOPLE'S FUND 335 MERCHANT STREET FL GROUND #4163 HONOLULU, HI 96812 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT AND SUPPORT REGRANTS TO GRASSROOTS ORGANIZATIONS IN THE COMMUNITY | 50,000. |
| HEALTH ALLIANCE INTERNATIONAL 1107 NE 45TH STREET, SUITE 350 SEATTLE, WA 98105-4631 | NONE | PC | TO SUPPORT SPREADING IDEAS: THE INTEGRATED DISTRICT EVIDENCE TO ACTION PROGRAM TO IMPROVE MATERNAL, NEWBORN AND CHILD HEALTH | 1,156,500. |
| HEALTH RESEARCH ALLIANCE 65 TW ALEXANDER DR, SUITE #13605 RESEARCH TRIANGLE PARK, NC 27709-0872 | NONE | PC | 2020 MEMBERSHIP DUES AND GENERAL OPERATIONAL SUPPORT | 10,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|----|---|--------------------------------|---|---------|
| Recipient Name and address (home or business) | | | | | |
| HOPE MOHR DANCE 1387 6TH AVENUE SAN FRANCISCO, CA 94122-5237 | PC | NONE | PC | TO SUPPORT THE BRIDGE PROJECT: ANTI-RACISM AND CULTURAL EQUITY IN DANCE | 25,000. |
| IEEFA RIVERS EDGE 3430 ROCKY RIVER DR CLEVELAND, OH 44111-0000 | PC | NONE | PC | TO SUPPORT ANALYSIS OF THE ECONOMICS OF BIOMASS COMBINED HEAT AND POWER IN EUROPE | 70,000. |
| IN A PERFECT WORLD FOUNDATION 8491 W SUNSET BLVD LOS ANGELES, CA 90069-1911 | PC | NONE | PC | TO SUPPORT THE YOUTH AMBASSADOR PROGRAM | 50,000. |
| INDEPENDENT SECTOR 1602 L ST NW STE 900 WASHINGTON, DC 20036-5682 | PC | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 15,000. |
| INDIANA UNIVERSITY FOUNDATION P.O. BOX 500 BLOOMINGTON, IN 47402-0500 | PC | NONE | PC | SYSTEMS ANALYSIS TO UNDERSTAND PROTECTIVE IMMUNITY INDUCED BY AN ATTENUATED SPOROZOITE MALARIA VACCINE IN AFRICAN INFANTS | 31,000. |
| INDIANA UNIVERSITY 355 N JORDAN AVENUE BLOOMINGTON, IN 47405 | PC | NONE | PC | SYSTEMS ANALYSIS TO UNDERSTAND PROTECTIVE IMMUNITY INDUCED BY AN ATTENUATED SPOROZOITE MALARIA VACCINE IN AFRICAN INFANTS | 82,500. |
| INSTITUTE FOR STRATEGIC AND EQUITABLE DEVELOPMENT 600 WEST 116TH STREET, #7D NEW YORK, NY 10027 | PC | NONE | PC | TO SUPPORT INCLUSION, DIVERSITY AND EQUITY IN ENVIRONMENTAL PHILANTHROPY (INDEEP) | 88,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|---|--------------------------------|--|----------|
| Recipient | Name and address (home or business) | | | | |
| | INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER, VT 05602-3795 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| | INTERACT 302 S. HICKS STREET PHILADELPHIA, PA 19102-4905 | NONE | PC | TO HOST RESIDENT ARTIST RICK SHIOMI AT INTERACT THEATRE COMPANY IN AN EFFORT TO DEVELOP AND ENGAGE AUDIENCES FOR AN ASIAN AMERICAN THEATRE FESTIVAL IN 2021. | 25,000. |
| | INTERSECTION FOR THE ARTS 901 MISSION ST. SUITE 306 SAN FRANCISCO, CA 94103-3067 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS SUPPORT FOR DANCING EARTH CREATIONS, AN INDIGENOUS CONTEMPORARY DANCE ENSEMBLE | 50,000. |
| | JAZZ ST. LOUIS THE HAROLD & DOROTHY STEWARD CENTER FOR JAZZ 3536 WASHINGTON AVE ST. LOUIS, MO 63103-1019 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS 2018 | 43,675. |
| | JOAN & SANFORD I. WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 377 PINE TREE RD ITHACA, NY 14850-2820 | NONE | PC | DYNAMICS OF THE HIV RESERVOIR AND MYCOBACTERIUM TUBERCULOSIS BURDEN IN PEOPLE WITH HIV-TB COINFECTION | 220,000. |
| | JOAN & SANFORD I. WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 377 PINE TREE RD ITHACA, NY 14850-2820 | NONE | PC | LONGITUDINAL STUDY OF THE EFFECT OF PRAZIQUANTEL TREATMENT OF SCHISTOSOMA MANSONI ON THE FEMALE GENITAL MUCOSAL IMMUNE SYSTEM AND MICROBIOME | 55,000. |
| | JOHN BARTRAM ASSOCIATION 5400 LINDBERGH BOULEVARD PHILADELPHIA, PA 19143-0000 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
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| Recipient Name and address (home or business) | | | | | |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | DEFINING MECHANISMS OF INNATE IMMUNE PROGRAMMING AND DYSFUNCTION IN HIV DISEASE | 82,500. |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | QUANTIFYING THE RISK AND SURVIVAL BENEFIT OF INCOMPATIBLE LIVE DONOR KIDNEY TRANSPLANTATION IN THE MODERN ERA | 132,500. |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | EFFECTS OF SODIUM-GLUCOSE COTRANSPORTER (SGLT)-2 INHIBITION ON ENERGY METABOLISM AND FUNCTION OF THE FAILING HUMAN HEART | 247,500. |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | PHARMACOLOGIC AND DIETARY APPROACHES FOR TARGETING METABOLISM IN AUTOIMMUNE DISEASE | 247,500. |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | LONGITUDINAL PROFILING OF RETINAL NEUROVASCULAR ABNORMALITIES AND MOLECULAR BIOMARKERS IN PREDIABETES AND EARLY DIABETES: ESTABLISHING NOVEL PARADIGMS FOR DIABETIC RETINOPATHY | 247,500. |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | USING HUMAN NEURONS, IN VITRO, TO INVESTIGATE NOVEL MECHANISMS OF ALTERED BRAIN EXCITABILITY IN PATIENTS WITH EPILEPSY SUSCEPTIBILITY DUE TO DELETION OF THE 15Q11.2 LOCUS | 247,500. |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | PC | NONE | PC | THE DORIS DUKE FUND TO RETAIN CLINICAL SCIENTISTS | 264,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|---|--------------------------------|---|----------|
| Recipient | Name and address (home or business) | | | | |
| | JOYCE THEATER FOUNDATION, INC. 175 EIGHTH AVENUE NEW YORK, NY 10011-1694 | NONE | PC | TO SUPPORT JOYCE THEATER PRODUCTIONS AND ITS PARTNERSHIPS WITH LARGE-SCALE DANCE COMPANIES THAT RESULT IN EXTRAORDINARY PRESENTATIONS | 250,000. |
| | JUDSON MEMORIAL CHURCH 239 THOMPSON ST NEW YORK, NY 10012-1017 | NONE | PC | TO SUPPORT, MOVEMENT WITHOUT BORDERS: A DAY OF PERFORMANCE TO BENEFIT NEW SANCTUARY COALITION NYC | 30,000. |
| | JUNEBUG PRODUCTIONS INC. 1010 N. GALVEZ STREET SUITE 111 NEW ORLEANS, LA 70119 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 48,800. |
| | KILAUEA POINT NATURAL HISTORY ASSOCIATION DBA FRIENDS OF KAUA 'I WILDLIFE REFUGES PO BOX 1130 KILAUEA, HI 96754 | NONE | PC | GENERAL SUPPORT | 10,000. |
| | KIPP TEXAS PUBLIC SCHOOLS 10711 KIPP WAY DR HOUSTON, TX 77099-2675 | NONE | PC | GENERAL SUPPORT | 10,000. |
| | KOKUA KALIHI VALLEY COMPREHENSIVE FAMILY SERVICES 2239 NORTH SCHOOL STREET HONOLULU, HI 96819-2539 | NONE | PC | TO SUPPORT THE FOSTERING HEALTH AND RESILIENCE THROUGH INA PROJECT, WHICH WILL PROVIDE COMPREHENSIVE CLINICAL, PREVENTIVE, AND SOCIAL SERVICES TO IMPROVE THE HEALTH OUTCOMES OF CHILDREN AND FAMILIES LIVING IN THE KALIHI COMMUNITY OF HAWAII | 475,000. |
| | KYLE ABRAHAM ABRAHAM IN MOTION 219 WEST 19TH STREET, 2ND FLOOR NEW YORK, NY 10011-4001 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 50,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|------------|
| LA SEMILLA FOOD CENTER 101 EAST JOY DRIVE ANTHONY, NM 88021-2579 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| LOMAKATSI RESTORATION PROJECT 645 WASHINGTON STREET ASHLAND, OR 97520-0303 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| MAP FUND INC 577 GRAND STREET #1801 NEW YORK, NY 10002-4383 | NONE | PC | TO SUPPORT CONTEMPORARY PERFORMANCE MAKERS THAT PROPOSE ORIGINAL PROJECTS WITH A DEEP EXPLORATION OF ART FORM OR CONTENT | 1,430,000. |
| MASSACHUSETTS GENERAL HOSPITAL 399 REVOLUTION DR STE 645 SOMERVILLE, MA 02145-1444 | NONE | PC | PREVENTING PERIOPERATIVE MEDICATION ERRORS THROUGH THE REDUCTION OF CLINICAL DECISION SUPPORT ALERT OVERRIDES | 247,500. |
| MASSACHUSETTS GENERAL HOSPITAL 399 REVOLUTION DR STE 645 SOMERVILLE, MA 02145-1444 | NONE | PC | SLEEP DISRUPTION AS A DRIVER OF NEURONAL DYSFUNCTION AND TAU ACCUMULATION IN PRECLINICAL ALZHEIMER'S DISEASE | 165,000. |
| MASSACHUSETTS GENERAL HOSPITAL 399 REVOLUTION DR STE 645 SOMERVILLE, MA 02145-1444 | NONE | PC | HEMOGLOBIN A1C GENETICS FOR PRECISION DIAGNOSIS AND CARE OF PATIENTS WITH DIABETES | 247,500. |
| MASTEROICES INC 1441 BROADWAY SUITE 3024 NEW YORK, NY 10018-1905 | NONE | PC | GENERAL SUPPORT | 30,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
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| Name and address (home or business) | | | | |
| MCINTOSH SUSTAINABLE ENVIRONMENTAL AND ECONOMIC DEVELOPMENT POST OFFICE BOX 2355 DARIEN, GA 31305-0182 | NONE | PC | MCINTOSH SEED'S "SUSTAINABLE FAMILY FORESTS: YESTERDAY, TODAY AND TOMORROW" | 120,000. |
| MDECINS SANS FRONTIERS USA, INC. 40 RECTOR STREET 16TH FLOOR NEW YORK, NY 10006-1751 | NONE | PC | GENERAL SUPPORT | 10,000. |
| MEDIA IMPACT FUNDERS 200 W WASHINGTON SQ STE 220 PHILADELPHIA, PA 19106-3584 | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 1,000. |
| MEDICAL COLLEGE OF WISCONSIN 8701 W WATERTOWN PLANK RD MILWAUKEE, WI 53226-3548 | NONE | PC | SURVEILLANCE EPIDEMIOLOGY OF CORONAVIRUS (COVID-19) UNDER RESEARCH EXCLUSION IN SICKLE CELL DISEASE (SECURE-SCD) | 100,000. |
| MEDICAL UNIVERSITY HOSPITAL AUTHORITY 169 ASHLEY AVENUE SUITE 203 CHARLESTON, SC 29425-8905 | NONE | PC | EPIGENETIC MODULATORS FOR THE TREATMENT OF SICKLE CELL DISEASE | 121,860. |
| METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028-0113 | NONE | PC | TO SUPPORT MET LIVEARTS | 10,000. |
| METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028-0113 | NONE | PC | GENERAL SUPPORT | 75,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|----------|
| | | | | |
| MONTANA WILDERNESS ASSOCIATION INC 80 SOUTH WARREN ST. HELENA, MT 59601-5700 | NONE | PC | DEI CAPACITY BUILDING | 30,000. |
| MOUNT SINAI MEDICAL CENTER, INC. ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029-6504 | NONE | PC | TO SUPPORT MOUNT SINAI ADOLESCENT HEALTH CENTERS PROVISION OF FREE, HIGH QUALITY COMPREHENSIVE MEDICAL SERVICES FOR 300 LOW-INCOME ADOLESCENTS WITH FOSTER CARE EXPERIENCE AND PILOT AN ASSESSMENT TOOL TO BETTER TARGET HIGH NEED CLIENTS TO RELEVANT SERVICES | 300,000. |
| NATIONAL ACADEMY OF SCIENCES 500 5TH ST. NW WASHINGTON, DC 20001 | NONE | PC | TO SUPPORT THE INVESTIGATION OF POTENTIAL IMPACT OF COVID-19 ON THE CAREERS OF WOMEN IN ACADEMIC SCIENCE, ENGINEERING, AND MEDICINE | 50,000. |
| NATIONAL ASSOCIATION OF LATINO ARTS AND CULTURE 1208 BUENA VISTA ST SAN ANTONIO, TX 78207 | NONE | PC | TO SUPPORT THE INTERCULTURAL LEADERSHIP INSTITUTE PARTNERS EMERGENCY RELIEF GRANTS | 100,000. |
| NATIONAL ASSOCIATION OF LATINO ARTS AND CULTURE 1208 BUENA VISTA ST SAN ANTONIO, TX 78207 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. |
| NATIONAL BLACK CHILD DEVELOPMENT INSTITUTE, INC. 8455 COLESVILLE RD SUITE 910 SILVER SPRING, MD 20910-3319 | NONE | PC | TO PROVIDE CORE SUPPORT FOR NBCDI AND AN ADDITIONAL COHORT OF UP TO 15 CHILD AND FAMILY POLICY FELLOWS | 200,000. |
| NATIONAL BLACK CHILD DEVELOPMENT INSTITUTE, INC. 8455 COLESVILLE RD SUITE 910 SILVER SPRING, MD 20910-3319 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT TO NATIONAL BLACK CHILD DEVELOPMENT INSTITUTE (NBCDI) AND LAUNCH THE NBCDI FELLOWSHIP PROGRAM | 200,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| NATIONAL INDIAN CHILD WELFARE ASSOCIATION 5100 SW MACADAM AVENUE, SUITE 300 PORTLAND, OR 97239-6102 | NONE | PC | TO SUPPORT A PILOT EVALUATION STUDY OF THE POSITIVE INDIAN PARENTING PROGRAM | 300,000. |
| NATIONAL LEAGUE OF CITIES INSTITUTE INC. 660 NORTH CAPITOL STREET NW SUITE 450 WASHINGTON, DC 20001-1644 | NONE | SO I | TO PROVIDE MUNICIPAL LEADERS IN MISSISSIPPI, ARKANSAS, AND LOUISIANA WITH TECHNICAL ASSISTANCE AND PEER LEARNING OPPORTUNITIES TO DEVELOP AND IMPLEMENT CITY-LED STRATEGIES THAT WILL IMPROVE OUTCOMES FOR CHILDREN AND FAMILIES | 250,000. |
| NATIONAL NEW PLAY NETWORK 365 GREGORY ROAD WEST PALM BEACH, FL 33405 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 81,000. |
| NATIONAL PERFORMANCE NETWORK 8121 FIG STREET NEW ORLEANS, LA 70118 | NONE | PC | TO SUPPORT CROSS-DISCIPLINARY PERFORMING ARTISTS AND PRESENTING ORGANIZATIONS TO PARTNER ON THE CREATION AND DEVELOPMENT OF NEW WORKS | 750,000. |
| NATIONAL PERFORMANCE NETWORK 8121 FIG STREET NEW ORLEANS, LA 70118 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. |
| NATIONAL PERFORMANCE NETWORK 8121 FIG STREET NEW ORLEANS, LA 70118 | NONE | PC | TO SUPPORT THE CREATING NEW FUTURES PROJECT | 50,000. |
| NATIONAL PUBLIC RADIO 1111 NORTH CAPITOL ST NE WASHINGTON, DC 20002-7502 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT TO NATIONAL PUBLIC RADIO | 400,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
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| Recipient | Name and address (home or business) | | | | |
| NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190-5361 | PC | NONE | PC | TO SUPPORT A JOINT EFFORT OF NWF, AMERICAN FORESTS (AF) AND THE NATURE CONSERVANCY (TNC) TO INCREASE THE PACE AND SCALE OF RESTORATION AND REFORESTATION ON THE US NATIONAL FORESTS | 400,000. |
| NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190-5361 | PC | NONE | PC | TO CONDUCT RESEARCH AND OUTREACH ACTIVITIES THAT SUPPORT STRONG BIODIVERSITY AND CLIMATE CHANGE PROTECTIONS IN FEDERAL AND STATE RENEWABLE ENERGY POLICIES | 180,000. |
| NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190-5361 | PC | NONE | PC | TO SUPPORT PHASE II OF SCALING UP THE USE OF LIVING SHORELINES TO ENHANCE COASTAL RESILIENCE | 100,000. |
| NATIONAL YOUNG FARMERS COALITION P.O. BOX 1074 HUDSON, NY 12534-9074 | PC | NONE | PC | DEI CAPACITY BUILDING | 58,000. |
| NATIVE MOVEMENT 60 HALL STREET FAIRBANKS, AK 99709 | PC | NONE | PC | GENERAL OPERATING SUPPORT | 120,000. |
| NATURAL AREAS CONSERVANCY 1234 FIFTH AVENUE NEW YORK, NY 10029-4418 | PC | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT | 180,000. |
| NATURAL RESOURCES DEFENSE COUNCIL 40 W20TH ST., 11TH FL. NEW YORK, NY 10011-4211 | PC | NONE | PC | TO DEFEND BEDROCK ENVIRONMENTAL LAWS | 100,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
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| Recipient | Name and address (home or business) | | | | |
| NATURAL RESOURCES DEFENSE COUNCIL 40 W20TH ST., 11TH FL. NEW YORK, NY 10011-4211 | NONE | PC | TO SUPPORT THE CITY ENERGY PROJECT PHASE III CONDUCTED JOINTLY WITH THE INSTITUTE FOR MARKET TRANSFORMATION (IMT) | 150,000. | |
| NDN COLLECTIVE, INC. 317 MAIN STREET RAPID CITY, SD 57772-0459 | NONE | PC | TO SUPPORT AND STRENGTHEN NATIVE-LED COMMUNITY DEVELOPMENT ORGANIZATIONS AND DEVELOP AND LAUNCH THE NDN COLLECTIVE CHANGEMAKERS FELLOWSHIP | 800,000. | |
| NETWORK OF ENSEMBLE THEATERS P.O. BOX 83526 PORTLAND, OR 97283 | NONE | PC | TO FURTHER DEVELOP THE CONNECTOR PROGRAM WHICH EMPOWERS ENSEMBLES AND COLLABORATIVE ARTISTS IN THE CREATIVE MARKETPLACE | 30,080. | |
| NETWORK OF ENSEMBLE THEATERS P.O. BOX 83526 PORTLAND, OR 97283 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 44,619. | |
| NEW ENGLAND FOUNDATION FOR THE ARTS 1000 WASHINGTON STREET 2ND FLOOR BOSTON, MA 02118 | NONE | PC | TO SUPPORT THE NATIONAL THEATER PROJECT, WHICH CREATES OPPORTUNITIES FOR THE DEVELOPMENT AND PERFORMANCE OF NEW WORK AND PROVIDES ACCESS TO A NATIONAL TOURING NETWORK OF PERFORMING ARTS PRESENTING ORGANIZATIONS | 539,775. | |
| NEW ENGLAND FOUNDATION FOR THE ARTS 1000 WASHINGTON STREET 2ND FLOOR BOSTON, MA 02118 | NONE | PC | TO SUPPORT THE NATIONAL DANCE PROJECT, WHICH ENABLES ARTISTS TO CREATE, PRESENT AND TOUR NEW DANCE WORK | 1,409,400. | |
| NEW ENGLAND FOUNDATION FOR THE ARTS 1000 WASHINGTON STREET 2ND FLOOR BOSTON, MA 02118 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|-------------------------------------|---|--------------------------------|---|----------|
| Recipient | Name and address (home or business) | | | | |
| NEW JERSEY FUTURE 16 W. LAFAYETTE STREET TRENTON, NJ 08608-2002 | | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW, SUITE 300 WASHINGTON, DC 20036-2656 | | NONE | PC | TO SUPPORT ILLUMINATIVE, A NATIVE-LED ORGANIZATION THAT AMPLIFIES AUTHENTIC NATIVE VOICES, NARRATIVES, AND EXPERIENCES TO UPLIFT AND EMPOWER NATIVE CHILDREN, FAMILIES, AND COMMUNITIES | 400,000. |
| NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW, SUITE 300 WASHINGTON, DC 20036-2656 | | NONE | PC | TO SUPPORT STRATEGIC COMMUNICATIONS FOR THE ILLUMINATIVE PROJECT'S COVID-19 RAPID RESPONSE: FIGHTING FOR THE VISIBILITY OF NATIVE PEOPLES | 100,000. |
| NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK, NY 10029-5202 | | NONE | PC | TO LAUNCH THE EAST HARLEM ACTION COLLABORATIVE AND DEVELOP A COORDINATED, RESIDENT DRIVEN APPROACH TO REDUCE HEALTH INEQUALITIES AND IMPROVE THE HEALTH AND WELL-BEING OF CHILDREN AND FAMILIES WHO LIVE IN EAST HARLEM | 400,000. |
| NEW YORK CITY BALLET, INC. 20 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6913 | | NONE | PC | TO SUPPORT NYCB'S RELIEF FUND | 25,000. |
| NEW YORK PUBLIC LIBRARY 445 FIFTH AVENUE 8TH FL NEW YORK, NY 10016-0133 | | NONE | PC | TO SUPPORT CATALOGING MOVING IMAGE MATERIALS IN THE JEROME ROBBINS DANCE DIVISION OF THE NEW YORK PUBLIC LIBRARY FOR THE PERFORMING ARTS, DOROTHY AND LEWIS B. CULLMAN CENTER | 200,000. |
| NEW YORK PUBLIC LIBRARY 445 FIFTH AVENUE 8TH FL NEW YORK, NY 10016-0133 | | NONE | PC | TO SUPPORT THE JEROME ROBBINS DANCE DIVISION OF THE PERFORMING ARTS LIBRARY RESEARCH FELLOWS PROGRAM | 7,500. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|------------|
| NEW YORK THEATRE WORKSHOP, INC. 79 E 4TH ST NEW YORK, NY 10003-8904 | NONE | PC | GENERAL SUPPORT | 30,000. |
| NEW YORK UNIVERSITY 105 E 17TH STREET, 2ND FLOOR NEW YORK, NY 10003-2170 | NONE | PC | NYU GROSSMAN SCHOOL OF MEDICINE (NYUGSOM) - FUND TO RETAIN CLINICAL SCIENTISTS THREE-YEAR PROGRAM RENEWAL | 264,000. |
| NEW YORKERS FOR CHILDREN 450 SEVENTH AVE SUITE 403 NEW YORK, NY 10123-0491 | NONE | PC | TO CREATE AND LAUNCH THE NICHOLAS SCOPPETTA SCHOLARSHIP FUND (NICK'S SCHOLARS) | 340,688. |
| NEWARK PUBLIC RADIO 54 PARK PLACE NEWARK, NJ 07102-4302 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 85,000. |
| NEWARK PUBLIC RADIO 54 PARK PLACE NEWARK, NJ 07102-4302 | NONE | PC | JAZZ MEDIA LAB | 75,000. |
| NEWPORT FESTIVALS FOUNDATION, INC. 74 MARTIN ST., REAR ESSEX, MA 01929-0012 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 300,000. |
| NEWPORT RESTORATION FOUNDATION FOR ROUGH POINT 51 TOURO ST NEWPORT, RI 02840-2932 | NONE | PC | 2020 FUNDING FOR OPERATING COSTS ASSOCIATED WITH THE ROUGH POINT PROPERTY | 2,631,120. |
| Total from continuation sheets | | | | |

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Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|------|---|---|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| NEWPORT RESTORATION FOUNDATION 51 TOURO ST NEWPORT, RI 02840-2932 | NONE | PC | TO SUPPORT THE NEWPORT RESTORATION FOUNDATION TRADES APPRENTICESHIP/INTERNSHIP PROGRAM | 150,000. | |
| NORTH STAR FUND, INC. 520 8TH AVE RM 1800 NEW YORK, NY 10018-4170 | NONE | PC | TO SUPPORT THE COMMUNITY FOOD FUNDERS PHILANTHROPIC NETWORK | 50,000. | |
| NORTHWESTERN UNIVERSITY 1800 SHERMAN AVE, 3RD FLOOR EVANSTON, IL 60201 | NONE | PC | THE NORTHWESTERN-EVANSTON EDUCATION RESEARCH ALLIANCE (NEERA): DESIGNING FOR EQUITY AND EXCELLENCE IN EDUCATION | 162,500. | |
| NORTHWESTERN UNIVERSITY 1800 SHERMAN AVE, 3RD FLOOR EVANSTON, IL 60201 | NONE | PC | TO PROVIDE BRIDGE FUNDING TO SUPPORT THE PROJECT: IDENTIFICATION OF NOVEL THERAPEUTIC STRATEGIES FOR AGGRESSIVE SUBTYPES OF CTCL | 50,000. | |
| OPEN SPACE INSTITUTE LAND TRUST 1350 BROADWAY, SUITE 201 NEW YORK, NY 10018-0983 | NONE | PC | TO PROTECT CLIMATE-RESILIENT LANDS ALONG THE APPALACHIAN MOUNTAIN CORRIDOR AND ACCELERATE LANDSCAPE CONSERVATION IN THE EASTERN UNITED STATES AS A NATIONALLY IMPORTANT NATURAL CLIMATE SOLUTION | 3,000,000. | |
| OREGON COMMUNITY FOUNDATION 440 E BROADWAY SUITE 160 EUGENE, OR 97401 | NONE | PC | ADVANCING CLIMATE-SMART LAND CONSERVATION IN THE PACIFIC NORTHWEST A PARTNERSHIP BETWEEN THE OREGON COMMUNITY FOUNDATION, SEATTLE FOUNDATION, IDAHO COMMUNITY FOUNDATION, AND THE LAND TRUST ALLIANCE | 2,075,000. | |
| OREGON ENVIRONMENTAL COUNCIL 222 NW DAVIS STREET, SUITE 309 PORTLAND, OR 97209-3900 | NONE | PC | DEI CAPACITY BUILDING | 60,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|---------|
| Name and address (home or business) | | | | |
| OREGON PUBLIC BROADCASTING 7140 S MACADAM AVE PORTLAND, OR 97219-3013 | NONE | PC | JAZZ MEDIA LAB - KMHD | 75,000. |
| OUTPOST PRODUCTIONS 210 YALE BLVD SE ALBUQUERQUE, NM 87106 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT TO RESPOND TO NEEDS AS A RESULT OF THE COVID-19 PANDEMIC | 50,000. |
| OXFAM AMERICA 226 CAUSEWAY STREET, 5TH FLOOR BOSTON, MA 02114-2171 | NONE | PC | GENERAL SUPPORT | 10,000. |
| PACIFIC PUBLIC MEDIA 930 BROADWAY TACOMA, WA 98402 | NONE | PC | JAZZ MEDIA LAB - KNKX | 75,000. |
| PACOIMA BEAUTIFUL 13520 VAN NUYS BLVD, SUITE 200 PACOIMA, CA 91331-3028 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| PA ' I FOUNDATION 904 KOHOU STREET, SUITE 102 HONOLULU, HI 96817-0483 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS 2018 | 50,000. |
| PANGEA WORLD THEATER 711 WEST LAKE STREET SUITE 101 MINNEAPOLIS, MN 55408-3357 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 75,972. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|-------------------------------------|---|--------------------------------|---|----------|
| Recipient | Name and address (home or business) | | | | |
| PARENTS AND CHILDREN TOGETHER 1485 LINAPUNI ST RM 105 HONOLULU, HI 96819-3575 | | NONE | PC | TO ENHANCE AND EXPAND MULTIGENERATIONAL, FAMILY-CENTERED SERVICES AND PROGRAMMING AT THE KALHI FAMILY AND ECONOMIC OPPORTUNITY CENTER AND STRENGTHEN CONNECTIONS WITH NEIGHBORHOOD ORGANIZATIONS AND COMMUNITY MEMBERS | 400,000. |
| PARTNERS IN HEALTH A NONPROFIT CORPORATION 800 BOYLSTON ST STE 300 BOSTON, MA 02199-7032 | | NONE | PC | GENERAL SUPPORT | 10,000. |
| PAUL TAYLOR DANCE COMPANY 551 GRAND STREET NEW YORK, NY 10002-4282 | | NONE | PC | TO SUPPORT TAYLOR COMPANY COMMISSIONS | 125,000. |
| PEAK GRANTMAKING 1666 K ST NW STE 440 WASHINGTON, DC 20006-1242 | | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 7,000. |
| PHILANTHROPY NEW YORK 320 EAST 43RD ST NEW YORK, NY 10017-4801 | | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 28,000. |
| PHOENIX CHILDREN'S HOSPITAL 1919 E THOMAS RD PHOENIX, AZ 85016-7710 | | NONE | PC | GENOMIC DATA-SHARING TO FACILITATE DISCOVERY IN CEREBRAL PALSY | 16,500. |
| PIVOT POINT A NONPROFIT CORPORATION 690 E COLONEL WRIGHT RD. SHELTON, WA 98584-8424 | | NONE | PC | TO STRENGTHEN AND DIVERSIFY THE CLIMATE LAND AMBITION AND RIGHTS ALLIANCE AND WORK TO ELEVATE THE PROFILE OF NATURAL CLIMATE SOLUTIONS WITHIN THE UN FRAMEWORK CONVENTION ON CLIMATE CHANGE AND OTHER INTERNATIONAL NEGOTIATIONS AND FUNDING MECHANISMS | 130,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| PIVOT POINT A NONPROFIT CORPORATION 690 E COLONEL WRIGHT RD. SHELTON, WA 98584-8424 | NONE | PC | TO RAISE UNDERSTANDING WITHIN THE FINANCE SECTOR ABOUT THE NEGATIVE IMPACTS AND FINANCIAL RISKS OF FOREST BIOENERGY | 170,000. |
| PREGONES PUERTO RICAN TRAVELING THEATER 571-575 WALTON AVENUE BRONX, NY 10451-5237 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 150,000. |
| PROPEL NONPROFITS 1 SE MAIN STREET MINNEAPOLIS, MN 55414-1036 | NONE | PC | TO SUPPORT STRATEGIC PLANNING FOR MEMBER THEATERS OF THE TWIN CITIES THEATRES OF COLOR COALITION (TCTOCC) | 85,000. |
| PUBLIC BROADCASTING SERVICE 2100 CRYSTAL DRIVE ARLINGTON, VA 22202-3784 | NONE | PC | GENERAL SUPPORT | 30,000. |
| PUBLIC LABORATORY FOR OPEN TECHNOLOGY AND SCIENCE 3014 DAUPHINE ST SUITE E NEW ORLEANS, LA 70117 | NONE | PC | TO SUPPORT THE ENVIRONMENTAL DATA & GOVERNANCE INITIATIVE (EDGI) TO DOCUMENT AND ANALYZE CHANGES TO ENVIRONMENTAL DATA GOVERNANCE | 50,000. |
| RESEARCH FOUNDATION FOR STATE UNIVERSITY OF NEW YORK 35 STATE STREET ALBANY, NY 12201-0009 | NONE | PC | TO SUPPORT THE JFEW SUNY GLOBAL AFFAIRS LEADERSHIP ALUMNAE NETWORK PILOT PROJECT | 50,000. |
| RESOURCE IMPACT 1341 G ST NW STE 500 WASHINGTON, DC 20005-3105 | NONE | PC | TO SUPPORT THE PROGRAM KNOWN AS RABEN-LMPACT IN ITS EFFORTS TO COMPLETE A STRATEGY PROCESS DESIGNED TO BUILD A COHORT OF FUNDERS AND NONPROFIT LEADERS TO SUPPORT AND IMPLEMENT DIVERSITY PATHWAYS PROGRAMS IN THE ENVIRONMENTAL FIELD | 25,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|----------|
| RESOURCE MEDIA A NONPROFIT CORPORATION 9450 SW GEMINI DRIVE, #59115 BEAVERTON, OR 97008-7105 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| ROCKY MOUNTAIN PUBLIC MEDIA 2101 ARAPAHOE ST. DENVER, CO 80205 | NONE | PC | JAZZ MEDIA LAB - KUVO | 75,000. |
| SAFE & SOUND 1757 WALLER STREET SAN FRANCISCO, CA 94117-2727 | NONE | PC | TO TRAIN AND BUILD THE CAPACITY OF FAMILY RESOURCES CENTERS IN SAN FRANCISCO TO IMPLEMENT AN EVIDENCE-BASED MODEL THAT INCREASES FAMILY STRENGTHS AND BOLSTERS PROTECTIVE FACTORS AGAINST CHILD MALTREATMENT | 500,000. |
| SIGNATURE THEATRE COMPANY THE PERSHING SQUARE SIGNATURE CENTER 480 WEST 42ND STREET NEW YORK, NY 10036-6805 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 190,000. |
| SOCIAL FINANCE, INC. 10 MILK ST STE 1010 BOSTON, MA 02108-4600 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT FOR THE DEVELOPMENT AND LAUNCH OF PAY FOR SUCCESS PROJECTS THAT ADVANCE POSITIVE OUTCOMES FOR CHILDREN, FAMILIES, AND COMMUNITIES AND DELIVER ENERGY EFFICIENCY RETROFIT SOLUTIONS | 500,000. |
| SOCIETY FOR CONSERVATION BIOLOGY NORTH AMERICA INC 328 EAST PINE STREET, UNIT 3 MADISON, WI 53726-0141 | NONE | PC | GENERAL OPERATING SUPPORT | 25,000. |
| SOUL FIRE FARM INSTITUTE 1972 NY HIGHWAY 2 PETERSBURGH, NY 12138-6012 | NONE | PC | GENERAL OPERATING SUPPORT | 120,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| SOUTH ARTS, INC. 1800 PEACHTREE STREET NW SUITE 808 ATLANTA, GA 30309-0000 | NONE | PC | TO SUPPLEMENT THE JAZZ ROAD QUICK ASSIST FUND | 145,000. |
| SOUTH ARTS, INC. 1800 PEACHTREE STREET NW SUITE 808 ATLANTA, GA 30309-0000 | NONE | PC | TO SUPPORT THE JAZZ ROAD REGRANTING PROGRAM | 1,000,000. |
| SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN STREET SUITE 14 CHARLOTTESVILLE, VA 22902 | NONE | PC | TO SUPPORT SELC'S INITIATIVE TO DEFEND THE CLEAN WATER ACT | 100,000. |
| SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN STREET SUITE 14 CHARLOTTESVILLE, VA 22902 | NONE | PC | TO SAFEGUARD THE BIODIVERSITY AND CLIMATE OF THE SOUTHEASTERN UNITED STATES FROM BIOMASS ENERGY IMPACTS THROUGH RESEARCH, COMMUNICATIONS AND POLICY IMPROVEMENTS | 235,000. |
| SOUTHERN METHODIST UNIVERSITY 6425 BOAZ LN DALLAS, TX 75205-1977 | NONE | PC | TO SUPPORT THE IGNITE/ARTS DALLAS PROJECT: "HEALING PIECES: OFFERINGS OF ART, EXPRESSION AND NATURE" | 29,000. |
| SPELMAN COLLEGE 350 SPELMAN LN SW ATLANTA, GA 30314-4395 | NONE | PC | TO SUPPORT THE VISITING ARTIST PROGRAM | 25,000. |
| SPRINGBOARD TO OPPORTUNITIES 3000 OLD CANTON ROAD JACKSON, MS 39216-4225 | NONE | PC | TO SUPPORT THE MAGNOLIA MOTHER'S TRUST, WHICH INCREASES FAMILY STABILITY BY PROVIDING HOLISTIC FAMILY SUPPORTS AND DIRECT, UNRESTRICTED CASH ASSISTANCE | 500,000. |
| Total from continuation sheets | | | | |

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Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|---|--------------------------------|---|----------|
| Recipient | Name and address (home or business) | | | | |
| | ST. JUDE CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PL MEMPHIS, TN 38105-3678 | NONE | PC | GENOME EDITING OF AUTOLOGOUS CD34+ HEMATOPOIETIC STEM CELLS TO RAISE FETAL HEMOGLOBIN FOR SICKLE CELL DISEASE | 495,000. |
| | ST. JUDE CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PL MEMPHIS, TN 38105-3678 | NONE | PC | GENOME EDITING OF BONE MARROW AND PLEXIAFOR-MOBILIZED CD34+ CELLS TO RAISE FETAL HEMOGLOBIN LEVELS IN SICKLE CELL DISEASE | 165,000. |
| | STANDING ROCK COMMUNITY DEVELOPMENT CORPORATION 9299 HWY 24 OFFICE SUITE 132 FORT YATES, ND 58538-9706 | NONE | PC | TO IMPLEMENT DEVELOPMT., LANGUAGE, CULTURE & FOOD SOVEREIGNTY FOR INCREASED SELF-SUFFICIENCY OF SIOUX ON STANDING ROCK INDIAN RES. | 550,000. |
| | STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | IMMUNE SENSORS OF PRETERM LABOR: A SYSTEMS IMMUNOLOGY ANALYSIS WITH MASS CYTOMETRY | 16,500. |
| | STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | IMMUNE SENSORS OF PRETERM LABOR: A SYSTEMS IMMUNOLOGY ANALYSIS WITH MASS CYTOMETRY | 137,500. |
| | STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | STANFORD SIMR PROGRAM- CLINICAL RESEARCH EXPERIENCES | 139,500. |
| | STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | EPIGENETIC MODULATION OF CHROMATIN ARCHITECTURE IN HUMAN FIBROBLASTS AS A NOVEL THERAPEUTIC APPROACH FOR WOUND HEALING AND REGENERATION | 137,500. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|----------|
| STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | PULMONARY NEUROENDOCRINE CELL SIGNALING IN THE DEVELOPING LUNG AND IN DISEASE | 137,500. |
| STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | THE ANTIBODY RESPONSE AGAINST PF BACTERIOPHAGE IN PSEUDOMONAS AERUGINOSA INFECTION | 176,000. |
| STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | REGULATORS OF SKIN CANCER PROGRESSION | 137,500. |
| STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | BIOINFORMATIC ANALYSIS AND SHARING OF OPHTHALMIC PROTEOMICS DATA | 16,500. |
| STEPHEN PETRONIO COMPANY 140 SECOND AVENUE, SUITE 504 NEW YORK, NY 10003-8364 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 50,000. |
| STEPHEN PETRONIO COMPANY 140 SECOND AVENUE, SUITE 504 NEW YORK, NY 10003-8364 | NONE | PC | PETRONIO RESIDENCY CENTER CONSERVANCY EASEMENT A.K.A. THE DORIS DUKE PRESERVE AT ROUND TOP, GREENE COUNTY | 500,000. |
| STEPPENWOLF THEATRE COMPANY 1700 N HALSTED ST CHICAGO, IL 60614 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 300,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|--|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| STUDIO MUSEUM IN HARLEM INC 144 W 125TH STREET NEW YORK, NY 10027-4423 | NONE | PC | TO SUPPORT THE ARTIST-IN-RESIDENCE PROGRAM | 20,000. | |
| STUDIO THEATRE 1501 14TH STREET NW WASHINGTON, DC 20005-3706 | NONE | PC | TO HOST PSALMAYENE 24 AT STUDIO THEATRE TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND BUILDING FOR THEATRE. | 82,500. | |
| SU TEATRO 721 SANTA FE DRIVE DENVER, CO 80204-4428 | NONE | PC | ENCUENTROS AND INTERCAMBIOS: TO HOST DANIEL VALDEZ AT SU TEATRO TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND-BUILDING FOR THEATER. | 75,000. | |
| TECHNOLOGY ASSOCIATION OF GRANTMAKERS 1N STATE ST STE 1500 CHICAGO, IL 60602-3206 | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 2,200. | |
| TEMPLE UNIVERSITY 3500 N BROAD ST PHILADELPHIA, PA 19140 | NONE | PC | JAZZ MEDIA LAB WRTI | 75,000. | |
| THE ASPEN INSTITUTE, INC. 2300 N STREET, NW SUITE 700 WASHINGTON, DC 20037-1122 | NONE | PC | TO PROVIDE CORE SUPPORT FOR THE ASCEND FELLOWSHIP PROGRAM AND AN ADDITIONAL COHORT OF 20 ASCEND FELLOWS | 400,000. | |
| THE ASPEN INSTITUTE, INC. 2300 N STREET, NW SUITE 700 WASHINGTON, DC 20037-1122 | NONE | PC | TO SUPPORT AMP HEALTH TO STRENGTHEN LEADERSHIP AND MANAGEMENT CAPABILITIES IN THE MOZAMBIQUE MINISTRY OF HEALTH | 100,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|------------|
| THE BROADWAY DANCE LAB, INC. 433 W 34TH ST STE 19 NEW YORK, NY 10001-1512 | NONE | PC | GENERAL SUPPORT | 5,000. |
| THE BROTHERHOOD/SISTER SOL 512 WEST 143RD STREET NEW YORK, NY 10031-0000 | NONE | PC | TO EXPAND PLACE-BASED PROGRAMMING ACTIVITIES FOR YOUTH AGES 8-22 AS WELL AS PARTNERSHIPS WITH OTHER NONPROFIT ORGANIZATIONS AND THE NEW YORK CITY SCHOOL SYSTEM. | 800,000. |
| THE CARR CENTER 4750 WOODWARD AVENUE, 3RD FLOOR DETROIT, MI 48201-1300 | NONE | PC | TO HOST TERRI LYNE CARRINGTON AT THE CARR CENTER TO FOR A MULTI-DISCIPLINARY EXPLORATION THAT CONTEMPLATES THE SHAPE AND SOUND OF JAZZ WITH AND WITHOUT THE BIASES INHERENT IN THE CULTURE THROUGH THE LENS OF GENDER. | 119,500. |
| THE CLIFTON INSTITUTE INC 6712 BLANTYRE RD WARRENTON, VA 20187 | NONE | PC | GENERAL SUPPORT | 10,000. |
| THE COMMUNICATIONS NETWORK 1717 N NAPER BLVD STE 102 NAPERVILLE, IL 60563-8837 | NONE | PC | PHILANTHROPIC SECTOR SUPPORT 2020 | 2,500. |
| THE INTERNATIONAL ASSOCIATION OF BLACKS IN DANCE 8730 GEORGIA AVE, SUITE 606 SILVER SPRING, MD 20910 | NONE | PC | TO SUPPORT THE IABD EMERGENCY FUND IN RESPONSE TO COVID-19 | 30,000. |
| THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE, SUITE 100 ARLINGTON, VA 22203-1637 | NONE | PC | TO SUPPORT NATURAL CLIMATE SOLUTIONS PHASE III: EXPANDING OUR IMPACT | 1,100,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| THE REPERTORY THEATRE OF ST LOUIS 130 EDGAR ROAD SAINT LOUIS, MO 63119-3228 | NONE | PC | TO ADVANCE CHANGE IN THE THEATER FIELD THROUGH SUPPORT FOR A SHARED LEARNING AGENDA AMONG ARTISTIC DIRECTORS OF FOUR THEATERS, NEW AND REIMAGINED ARTISTIC PROGRAMMING AT EACH VENUE, AND GENERAL OPERATING SUPPORT | 40,000. |
| THE TRUST FOR PUBLIC LAND 101 MONTGOMERY STREET, SUITE 900 SAN FRANCISCO, CA 94104-4148 | NONE | PC | TO RENEW SUPPORT FOR THE CONSERVATION FINANCE INITIATIVE, A JOINT EFFORT OF THE TRUST FOR PUBLIC LAND AND THE NATURE CONSERVANCY THAT AIMS TO INCREASE PUBLIC FUNDING FOR WILDLIFE HABITAT CONSERVATION IN THE UNITED STATES | 1,160,000. |
| THE UNIVERSITY OF TENNESSEE FOUNDATION 1525 UNIVERSITY AVENUE ROOM 223 KNOXVILLE, TN 37921-4848 | NONE | PC | TO SUPPORT CONSERVATION ECOLOGY WORK LED BY DR. KINGLI GIAM | 10,000. |
| THEATRE COMMUNICATIONS GROUP 520 8TH AVE, 24TH FLOOR NEW YORK, NY 10018-6507 | NONE | PC | CORE SUPPORT FOR NATIONAL ARTS SERVICE 2019 | 108,000. |
| THEODORE ROOSEVELT CONSERVATION PARTNERSHIP 529 14TH STREET NW, SUITE 500 WASHINGTON, DC 20045-1501 | NONE | PC | TO DEFEND CONSERVATION OF PUBLIC LANDS BY COORDINATING HUNTING AND FISHING GROUPS | 75,000. |
| THINK OF US 605 EAST 132 STREET UNIT 304 WASHINGTON, DC 20003-0000 | NONE | PC | TO SUPPORT CONCEPT PLANNING AND DEVELOPMENT OF A STRATEGIC PLAN FOR CREATING A NEW VIRTUAL SERVICE DELIVERY MODEL FOR OLDER FOSTER YOUTH | 20,000. |
| THUNDER VALLEY COMMUNITY DEVELOPMENT CORPORATION 290 EMPOWERMENT DRIVE PORCUPINE, SD 57772-0290 | NONE | PC | TO SUPPORT A COMPREHENSIVE, GRASSROOTS APPROACH TO COLLABORATING WITH AND EMPOWERING LAKOTA YOUTH AND FAMILIES TO IMPROVE THE HEALTH, CULTURE, AND ENVIRONMENT OF THE PINE RIDGE INDIAN RESERVATION COMMUNITY | 450,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|----------|
| TIDES CENTER 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129-1755 | NONE | PC | TO SUPPORT PROJECT EVIDENT TO STRENGTHEN AND EXPAND A TECHNICAL ASSISTANCE PLATFORM THAT BUILDS THE CAPACITY OF SOCIAL SECTOR ORGANIZATIONS AND LEADERS TO PROVE THEIR EFFECTIVENESS AND BUILD EVIDENCE FOR FURTHER FUNDING FOR REPLICATION AND SCALE | 400,000. |
| TOWN BRANCH PARK INC 499 E HIGH ST STE 112 LEXINGTON, KY 40507-1977 | NONE | PC | GENERAL SUPPORT | 10,000. |
| TRIANGLE LAND CONSERVANCY INC 514 S. DUKE ST. DURHAM, NC 27701-3116 | NONE | PC | GENERAL SUPPORT | 10,000. |
| TRINITY REPERTORY COMPANY 201 WASHINGTON ST PROVIDENCE, RI 02903-3226 | NONE | PC | TO HOST LAURIE WOOLERY AT TRINITY REPERTORY COMPANY TO IMAGINE AND EXPLORE NEW APPROACHES TO DEMAND BUILDING FOR THEATER. | 16,955. |
| TRUE COLORS THEATRE COMPANY 887 WEST MARIETTA STREET, J-102 ATLANTA, GA 30318-5266 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 60,000. |
| TUFTS UNIVERSITY 169 HOLLAND STREET - 3RD FLOOR SOMERVILLE, MA 02144-2401 | NONE | PC | FINDING AND RETAINING INDIAS "MISSING" TUBERCULOSIS PATIENTS: INFORMING LARGE-SCALE ACTIVE CASE-FINDING STRATEGIES THROUGH ANALYSES OF THE CARE CASCADE AND GEOSPATIAL MAPPING | 137,500. |
| UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQ STE 6 CAMBRIDGE, MA 02138-3756 | NONE | PC | TO COUNTER THE SIDELINING OF SCIENCE AND PROMOTE SCIENCE-BASED PUBLIC HEALTH AND ENVIRONMENTAL POLICYMAKING | 150,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|-------------------------------------|---|---|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| UNITED NATIONS FOUNDATION 1750 PENNSYLVANIA AVE NW, SUITE 300 WASHINGTON, DC 20006-4515 | NONE | PC | TO SUPPORT THE US CLIMATE ALLIANCE (USCA) TO WORK IN PARTNERSHIP WITH THE USCA IMPACT PARTNERS IN STRENGTHENING STATE CAPACITY TO MITIGATE AND SEQUESTER CARBON THROUGH NATURAL AND WORKING LANDS | 475,000. | |
| UNITED STATES ARTISTS 200 W MADISON ST, FL3 CHICAGO, IL 60606 | NONE | PC | TO SUPPORT THE ARTIST RELIEF FUND COVID-19 INITIATIVE TO PROVIDE EMERGENCY GRANTS TO CONTEMPORARY DANCE, JAZZ AND THEATRE ARTISTS FACING FINANCIAL HARDSHIP AS A RESULT OF THE COVID-19 PANDEMIC. | 500,000. | |
| UNITED STATES ARTISTS 200 W MADISON ST, FL3 CHICAGO, IL 60606 | NONE | PC | TO RENEW SUPPORT FOR THE USA FELLOWSHIP PROGRAM TO PROVIDE UNRESTRICTED GRANTS TO INDIVIDUAL ARTISTS WORKING IN CONTEMPORARY DANCE, JAZZ, AND THEATER | 585,000. | |
| UNITED WAY OF SAN ANTONIO & BEXAR COUNTY 700 SOUTH ALAMO ST. SAN ANTONIO, TX 78205-3404 | NONE | PC | TO SUPPORT THE SAN ANTONIO DUAL GENERATION INITIATIVE, WHICH INTEGRATES HIGH-QUALITY EARLY CHILDHOOD EDUCATION PROGRAMS WITH JOB SKILLS, FINANCIAL LITERACY, AND PARENTING SKILLS TRAINING FOR FAMILIES LIVING IN THE EASTSIDE OF SAN ANTONIO | 775,000. | |
| UNIVERSITY OF CALIFORNIA, BERKELEY 2195 HCARST AVE RM 120 MC 1104 BERKELEY, CA 94720-1083 | NONE | PC | TO SUPPORT THE PROJECT: UNDERSTANDING AND INTERVENING IN INEQUITIES IN CHRONIC ABSENTEEISM AND ITS CONSEQUENCES AMONG HIGH SCHOOL STUDENTS | 82,000. | |
| UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE SACRAMENTO, CA 95616-8543 | NONE | PC | A FEASIBILITY STUDY OF ALENDRONATE AS TREATMENT FOR OSTEOECROSIS OF THE FEMORAL HEAD IN ADULTS WITH SICKLE CELL DISEASE | 247,500. | |
| UNIVERSITY OF CALIFORNIA, LOS ANGELES 10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024-6505 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 153,280. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| UNIVERSITY OF CALIFORNIA, LOS ANGELES 10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024-6505 | NONE | PC | MECHANISMS OF INTESTINAL DYSBIOSIS AND INFLAMMATION IN SUBSTANCE USE AND HIV | 165,000. |
| UNIVERSITY OF CALIFORNIA, LOS ANGELES 10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024-6505 | NONE | PC | OPTIMIZING GENE EDITING FOR SICKLE CELL DISEASE | 157,350. |
| UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093-0952 | NONE | PC | DECIPHERING THE PATHOGENESIS OF NEURODEGENERATION IN HISTIOCYTOSIS | 247,500. |
| UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093-0952 | NONE | PC | NONCODING GENETIC REGULATORS OF SKIN DISEASES | 165,000. |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | GENOMIC DETERMINANTS AND BIOMARKERS OF BARRETT'S ESOPHAGUS PROGRESSION | 122,500. |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | MECHANISMS OF INCOMPLETE RESPONSE AND PRIMARY RESISTANCE TO OSIMERTINIB IN EGFR-MUTANT LUNG CANCER | 55,000. |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | NOT JUST SURVIVE, BUT THRIVE, THE FUND TO RETAIN CLINICAL SCIENTISTS AWARD AT UCSF | 264,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | ENHANCED THERAPEUTIC MONITORING OF MULTIDRUG RESISTANT TUBERCULOSIS | 16,500. |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | SUMMER STUDENT RESEARCH PROGRAM: SUPPORTING UNDERREPRESENTED STEM ADAPTING TO CHANGE | 323,942. |
| UNIVERSITY OF CHICAGO 6054 S DREXEL AVE SUITE 300 CHICAGO, IL 60637-2612 | NONE | PC | TO SUPPORT THE BLACK DANCE LEGACY PROJECT, TO STRENGTHEN THE LONG-TERM SUSTAINABILITY AND VISIBILITY OF EIGHT DANCE COMPANIES THAT ANCHOR CHICAGOS DANCE SECTOR | 125,000. |
| UNIVERSITY OF COLORADO 1800 N GRANT ST STE 600 DENVER, CO 80203-1125 | NONE | PC | USE OF ESSENTIAL AMINO ACID SUPPLEMENTATION TO DECREASE METABOLIC DISEASE IN HIGH RISK OBESE GIRLS WITH POLYCYSTIC OVARIAN SYNDROME | 110,500. |
| UNIVERSITY OF COLORADO 1800 N GRANT ST STE 600 DENVER, CO 80203-1125 | NONE | PC | PRECURSOR RIBOSOMAL RNA AS A NOVEL MARKER OF TREATMENT EFFICACY IN TUBERCULOSIS | 55,000. |
| UNIVERSITY OF COLORADO 1800 N GRANT ST STE 600 DENVER, CO 80203-1125 | NONE | PC | DETERMINING RESTING METABOLIC ENERGY REQUIREMENTS IN A DIVERSE SAMPLE OF ADOLESCENTS WITH A RANGE OF BMI FROM NORMAL WEIGHT TO EXTREME OBESITY | 16,500. |
| UNIVERSITY OF COLORADO 1800 N GRANT ST STE 600 DENVER, CO 80203-1125 | NONE | PC | UNIVERSITY OF COLORADO ANSCHUTZ MEDICAL CAMPUS SCHOOL OF MEDICINE FUND TO RETAIN CLINICAL SCIENTISTS (CU-FRCS), A PROGRAM FUNDED BY THE DORIS DUKE CHARITABLE FOUNDATION | 264,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Name and address (home or business) Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| | | | | |
| UNIVERSITY OF FLORIDA FOUNDATION INC 1938 W UNIVERSITY AVE. GAINESVILLE, FL 32604-2425 | NONE | PC | REDRESSING NATIVE AMERICAN ORAL HISTORIES: REVITALIZATION, REPATRIATION, AND RESPONSIBLE SHARING OF DIGITAL CULTURAL HERITAGE | 110,000. |
| UNIVERSITY OF ILLINOIS 1501 S OAK ST URBANA, IL 61820 | NONE | PC | DORIS DUKE INDIAN ORAL HISTORY PROGRAM ARCHIVES: REVITALIZATION AND COMMUNITY BUILDING | 140,000. |
| UNIVERSITY OF MICHIGAN 5082 WOLVERINE TWR 3003 S STATE ST ANN ARBOR, MI 48109-0000 | NONE | PC | A NEW PARADIGM IN CUTANEOUS LUPUS: DISSECTING THE INTERPLAY BETWEEN INTERFERONS AND STAPHYLOCOCCUS AUREUS | 50,000. |
| UNIVERSITY OF MICHIGAN 5082 WOLVERINE TWR 3003 S STATE ST ANN ARBOR, MI 48109-0000 | NONE | PC | TO SUPPORT THE PEDIATRIC ONCOLOGY DISCRETIONARY RESEARCH FUND OF DR. RAMA JASTY-RAO IN THE DIVISION OF HEMATOLOGY-ONCOLOGY OF CS MOTT HOSPITAL | 5,000. |
| UNIVERSITY OF MICHIGAN 5082 WOLVERINE TWR 3003 S STATE ST ANN ARBOR, MI 48109-0000 | NONE | PC | TO SUPPORT THE SCHOOL FOR ENVIRONMENT AND SUSTAINABILITY'S CENTER FOR SUSTAINABLE SYSTEMS FELLOWSHIP PROGRAM | 15,000. |
| UNIVERSITY OF MICHIGAN 5082 WOLVERINE TWR 3003 S STATE ST ANN ARBOR, MI 48109-0000 | NONE | PC | EPIGENETIC REGULATION OF DIABETIC WOUNDS PROMOTES CHRONIC INFLAMMATION AND PREVENTS HEALING | 55,000. |
| UNIVERSITY OF MICHIGAN 5082 WOLVERINE TWR 3003 S STATE ST ANN ARBOR, MI 48109-0000 | NONE | PC | DEVELOPING TOOLS FOR PERSONALIZED CARE OF PATIENTS WITH PRIMARY ALDOSTERONISM | 220,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|--|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| UNIVERSITY OF MINNESOTA FOUNDATION 333 EAST RIVER RD MINNEAPOLIS, MN 55455 | NONE | PC | UNLOCKING THE ROLE OF CARDIAC CALCIUM PUMP DYSREGULATION IN DUCHENNE MUSCULAR DYSTROPHY CARDIOMYOPATHY | 247,500. | |
| UNIVERSITY OF NEW MEXICO FOUNDATION INCORPORATED 700 LOMAS BLVD NE NO 108 ALBUQUERQUE, NM 87102-2568 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY COLLECTION REVITALIZATION PROJECT | 150,000. | |
| UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 104 AIRPORT DR CB 1270 CHAPEL HILL, NC 27599-5023 | NONE | PC | CAREGIVERS AT CAROLINA: SUPPORT FOR PHYSICIAN SCIENTISTS | 264,000. | |
| UNIVERSITY OF NOTRE DAME CONTROLLERS OFFICE 724 GRACE HALL NOTRE DAME, IN 46556-0000 | NONE | PC | TO SUPPORT THE SUNDRY FUND FOR SCIENCE AND ENGINEERING SCHOLARS AND FELLOWSHIPS | 50,000. | |
| UNIVERSITY OF OKLAHOMA FOUNDATION 100 TIMBERDELL ROAD NORMAN, OK 73019-5016 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY REVITALIZATION PROJECT | 186,000. | |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | IDENTIFYING BIOMARKERS OF TREATMENT RESPONSE IN INSOMNIA AND DEPRESSION WITH A METABOLOMICS PLATFORM | 55,000. | |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | DEVELOPMENT AND IMPLEMENTATION OF STATISTICAL METHODS AND INFORMATICS TOOLS THAT LEVERAGE CLINICAL DATA FOR DECISION SUPPORT TO IMPROVE SCREENING FOR PRIMARY ALDOSTERONISM | 165,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Name and address (home or business) Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| | | | | |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | CELLULAR SIGNALING PATHWAYS IN THE REGULATION OF FETAL HEMOGLOBIN FOR TREATMENT OF SICKLE CELL DISEASE | 176,000. |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | IDIABETES TRIAL DATA SHARING | 16,500. |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | THERAPEUTIC EXOGENOUS KETOSIS IN HEART FAILURE WITH REDUCED AND PRESERVED EJECTION FRACTION: A RANDOMIZED, CONTROLLED, CROSSOVER TRIAL | 176,000. |
| UNIVERSITY OF PITTSBURGH 116 ATWOOD ST STE 201 PITTSBURGH, PA 15213-3402 | NONE | PC | HILLMAN AND DORIS DUKE CHARITABLE FOUNDATION ACADEMYS CLINICAL RESEARCH CONTINUUM | 83,700. |
| UNIVERSITY OF SOUTH DAKOTA 1110 N DAKOTA ST VERMILLION, SD 57069-1218 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY PROJECT (SOUTH DAKOTA ORAL HISTORY CENTER, UNIVERSITY LIBRARIES, UNIVERSITY OF SOUTH DAKOTA) | 158,337. |
| UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089-0001 | NONE | PC | TO SUPPORT THE PUBLIC HEALTH DATA JOURNALISM FELLOWSHIP AND THE INNOVATION FUND TO SPUR DEVELOPMENT OF NEW PROJECTS FOCUSED ON CHILD AND FAMILY WELL-BEING | 200,000. |
| UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089-0001 | NONE | PC | TO SUPPORT THE USC ANNENBERG CENTER FOR HEALTH JOURNALISM 2020 PUBLIC HEALTH DATA JOURNALISM FELLOWSHIP | 100,000. |
| Total from continuation sheets | | | | |

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Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|----|---|--------------------------------|--|----------|
| Recipient Name and address (home or business) | | | | | |
| UNIVERSITY OF TEXAS FOUNDATION 9011 MOUNTAIN RIDGE DR, SUITE 150 AUSTIN, TX 78759-7396 | PC | NONE | PC | A BIOMARKER-BASED, COMBINATION THERAPY WITH IMMUNE CHECKPOINT BLOCKADE AND PEMETREXED FOR MTAP-DEFICIENT METASTATIC BLADDER CANCER | 137,500. |
| UNIVERSITY OF UTAH 1395 EAST PRESIDENTS CIRCLE ROOM 190 SALT LAKE CITY, UT 84112-9022 | PC | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY PROJECT | 130,000. |
| UNIVERSITY OF UTAH 1395 EAST PRESIDENTS CIRCLE ROOM 190 SALT LAKE CITY, UT 84112-9022 | PC | NONE | PC | ARTIFICIAL INTELLIGENCE TO EXPLORE THE ROLE OF BLOOD PRESSURE IN OUTCOMES AMONG PATIENTS WITH ATRIAL FIBRILLATION | 247,500. |
| UNIVERSITY OF WASHINGTON 407 GERBERDING HALL SEATTLE, WA 98195-1210 | PC | NONE | PC | PROMOTING GOAL CONCORDANT CARE AMONG PATIENTS WITH ADVANCED KIDNEY DISEASE | 247,500. |
| UNIVERSITY OF WASHINGTON 407 GERBERDING HALL SEATTLE, WA 98195-1210 | PC | NONE | PC | FACILITATING REPRODUCIBLE RESEARCH IN FLOW CYTOMETRY VIA DATA SHARING | 16,500. |
| UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVE MADISON, WI 53726-4090 | PC | NONE | PC | IMPAIRMENT OF NEUTROPHIL FUNCTION BY BIOFILMS ON MEDICAL DEVICES | 55,000. |
| UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVE MADISON, WI 53726-4090 | PC | NONE | PC | TO SUPPORT THE DORIS DUKE CLINICAL RESEARCH CONTINUUM NATIONAL PROGRAM DIRECTOR'S MEETING | 26,461. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|----|---|--------------------------------|---|----------|
| Recipient Name and address (home or business) | | | | | |
| UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVE MADISON, WI 53726-4090 | PC | NONE | PC | DORIS DUKE CHARITABLE FOUNDATION CLINICAL RESEARCH CONTINUUM: HIGH SCHOOL TO COLLEGE PROGRAM QUALITATIVE STUDY: HOW DO PROGRAM DIRECTORS, COORDINATORS, AND ALUMNI VIEW STUDENTS EXPERIENCES WITH THE PROGRAM AND THE IMPACT ON POST-HIGH SCHOOL TRAJECTORIES | 74,300. |
| UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVE MADISON, WI 53726-4090 | PC | NONE | PC | UNIVERSITY OF WISCONSIN SURGERY CLINICAL RESEARCH CONTINUUM HIGH SCHOOL TO COLLEGE PROGRAM | 71,500. |
| URBAN BUSH WOMEN 138 SOUTH OXFORD STREET #4B BROOKLYN, NY 11217-1695 | PC | NONE | PC | TO SUPPORT THE URBAN BUSH WOMEN CAPACITY BUILDING INITIATIVE | 25,000. |
| URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20024-2274 | PC | NONE | PC | GENERAL SUPPORT | 10,000. |
| UTAH DINE BIKEYAH 211 E 300 S, STE 211 SALT LAKE CITY, UT 84111-2546 | PC | NONE | PC | DEI CAPACITY BUILDING | 50,000. |
| VALLEY HOSPITAL ASSOCIATION DBA MAT-SU HEALTH FOUNDATION 950 E. BOGARD RD. STE 218 WASILLA, AK 99654-7172 | PC | NONE | PC | TO STRENGTHEN AND EXPAND THE PREVENTION AND SUPPORT SERVICES AVAILABLE TO CHILDREN AND FAMILIES IN THE MAT-SU REGION OF SOUTHCENTRAL ALASKA | 470,000. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | NEW APPROACHES TO PRECISION DOSING FOR SPECIAL POPULATIONS | 52,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|----|---|--------------------------------|---|----------|
| Recipient Name and address (home or business) | | | | | |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | PHASE 2 STUDY OF THEOPHYLLINE FOR THE TREATMENT OF PSEUDO HYPOPARATHYROIDISM | 82,500. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | FUND TO RETAIN CLINICAL SCIENTISTS AT VANDERBILT UNIVERSITY MEDICAL SCHOOL | 264,000. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | A ROLE FOR THE MAFA S64F MUTATION IN SEX-DEPENDENT DIABETES BY ACCELERATING BETA CELL AGING AND SENESENCE | 176,000. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | ROLE OF PANCREATIC MACROPHAGES IN THE PROGRESSION OF TYPE 2 DIABETES | 176,000. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | TO SUPPORT THE 2021 CONVENING OF FUND TO RETAIN CLINICAL SCIENTISTS SITE DIRECTORS | 10,000. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | MOLECULAR DETERMINANTS OF SARS-COV-2 SUSCEPTIBILITY AND SEVERITY | 16,500. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | PC | NONE | PC | MECHANISMS OF DISEASE INITIATION AND PROPAGATION IN PULMONARY FIBROSIS | 82,500. |

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| VIRGIN UNITE USA, INC. 65 BLEECKER STREET, SIXTH FLOOR NEW YORK, NY 10012 | NONE | PC | TO SUPPORT THE CARIBBEAN CLIMATE SMART ZONE ACCELERATOR | 10,000. |
| WALKER ART CENTER, INC. 725 VINELAND PL MINNEAPOLIS, MN 55403-1139 | NONE | PC | TO HOST CCILE MCLORIN SALVANT IN RESIDENCE AT THE WALKER ART CENTER TO DEVELOP AND PRESENT OGRESE: LIVE AND ANIMATED, HER MULTIMEDIA SONG CYCLE WITH A NEW ANIMATED FILM BY SALVANT AND LIA BERTELS AND A 13-PIECE ENSEMBLE CONDUCTED BY DARCY JAMES ARGUE | 125,000. |
| WASHINGTON ENVIRONMENTAL COUNCIL 1402 THIRD AVENUE SUITE 1400 SEATTLE, WA 98101-2179 | NONE | PC | DEI CAPACITY BUILDING | 60,000. |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 94143 | NONE | PC | ROLE OF ANTI-FIMH ANTIBODIES IN MODULATING UROPATHOGENIC E. COLI GUT COLONIZATION AND URINARY TRACT INFECTION | 220,000. |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 94143 | NONE | PC | FUND TO RETAIN CLINICAL SCIENTISTS AT WASHINGTON UNIVERSITY SCHOOL OF MEDICINE | 264,000. |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 94143 | NONE | PC | CROSS-REACTIVE ANTIGENEMIA AND TREATMENT-RELATED ADVERSE EVENTS IN LOIASIS | 220,000. |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 94143 | NONE | PC | MODELING HUMAN HEPATOCYTES TO TRANSLATE GLUCOSE-FASTING MIMETICS AS NOVEL THERAPIES AGAINST NON-ALCOHOLIC FATTY LIVER DISEASE. | 220,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|----|---|--------------------------------|---|------------|
| Recipient Name and address (home or business) | | | | | |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 64143 | PC | NONE | PC | IDENTIFYING EPIGENETIC DRIVERS IN ACUTE MYELOID LEUKEMIA | 82,500. |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 64143 | PC | NONE | PC | TRANSMISSION AND DEVELOPMENT OF THE HUMAN INFANT VIROME | 55,000. |
| WESTERN ALLIANCE OF ARTS ADMINISTRATORS FOUNDATION 715 SW MORRISON STREET, SUITE 600 PORTLAND, OR 97205-3102 | PC | NONE | PC | TO SUPPORT THE ADVANCING INDIGENOUS PERFORMANCE (AIP) PROGRAM | 50,000. |
| WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460-1068 | PC | NONE | PC | TO RENEW SUPPORT FOR THE CLIMATE ADAPTATION FUND, A NATIONAL FIELD BUILDING AND REGRANTING PROGRAM THAT FUNDS CONSERVATION PROJECTS DESIGNED TO HELP WILDLIFE AND ECOSYSTEMS ADAPT TO ACCELERATING CLIMATE CHANGE | 716,860. |
| WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460-1068 | PC | NONE | PC | TO SUPPORT CONSERVATION RESEARCH IN GLOBAL CHANGE BIOLOGY LED BY DR. PAUL EISEN | 10,000. |
| WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460-1068 | PC | NONE | PC | TO RENEW SUPPORT FOR THE CLIMATE ADAPTATION FUND, A COMPETITIVE RE-GRANT PROGRAM THAT FUNDS CONSERVATION PROJECTS THAT PROMOTE WILDLIFE ADAPTATION TO CLIMATE CHANGE | 1,755,000. |
| WNET 825 EIGHTH AVE 14TH FLOOR NEW YORK, NY 10019-7416 | PC | NONE | PC | TO SUPPORT ALL ARTS | 50,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| Name and address (home or business) | | | | |
| WOODWELL CLIMATE RESEARCH CENTER 149 WOODS HOLE ROAD FALMOUTH, MA 02540 | NONE | PC | TO SUPPORT THE DEVELOPMENT OF GUIDING PRINCIPLES FOR NATURAL CLIMATE SOLUTIONS | 25,000. |
| WOOLLY MAMMOTH THEATRE COMPANY 641 D STREET, NW WASHINGTON, DC 20004-2904 | NONE | PC | TO ADVANCE CHANGE IN THE THEATER FIELD THROUGH SUPPORT FOR A SHARED LEARNING AGENDA AMONG ARTISTIC DIRECTORS OF FOUR THEATERS, NEW AND REIMAGINED ARTISTIC PROGRAMMING AT EACH VENUE, AND GENERAL OPERATING SUPPORT | 40,000. |
| WOOSTER GROUP P.O. BOX 654 NEW YORK, NY 10013-0654 | NONE | PC | TO SUPPORT RESEARCH, ANALYSIS, AND ASSESSMENT OF OPTIONS FOR THE FUTURE OF THE PERFORMING GARAGE | 36,000. |
| WORLD RESOURCES INSTITUTE 10 G ST. NE WASHINGTON, DC 20002-4213 | NONE | PC | TO SUPPORT THE GETTING FORESTS AND TREES INTO LOCAL CLIMATE ACTION PLANS PHASE 2 PROJECT, WHICH AIMS TO FURTHER STREAMLINE THE GHG ESTIMATION PROCESS TO FACILITATE WIDER UPTAKE AND ADOPTION OF THE PROTOCOL IN COMMUNITIES | 100,000. |
| WORLD RESOURCES INSTITUTE 10 G ST. NE WASHINGTON, DC 20002-4213 | NONE | PC | TO INCREASE THE INCLUSION OF NATURAL CLIMATE SOLUTIONS STRATEGIES WITHIN COUNTRIES NATIONALLY DETERMINED CONTRIBUTIONS TO THE PARIS AGREEMENT | 200,000. |
| WORLD TRADE CENTER PERFORMING ARTS CENTER ONE LIBERTY PLAZA 29TH FLOOR NEW YORK, NY 10006-1404 | NONE | PC | TO SUPPORT THE COMMISSIONING, PRESENTATION AND PRODUCTION FUND: A THREE-YEAR LAUNCH | 500,000. |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | DEVELOPING AND TESTING THE EFFECT OF AN INTEGRATED PATIENT-CENTERED HIV PREVENTION DECISION AID ON PRBP UPTAKE AMONG WOMEN WHO USE DRUGS ENTERING TREATMENT | 55,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|---|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | DISSECTING THE EPITHELIAL-MESENCHYMAL INTERACTIONS AT THE INTERFACE OF HUMAN SKIN REGENERATION AND CANCER | 82,500. | |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | FUND TO RETAIN CLINICAL SCIENTISTS AT YALE SCHOOL OF MEDICINE | 264,000. | |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | AN AUTOMATED DEEP LEARNING PIPELINE FOR IDENTIFICATION OF HEMORRHAGIC STROKE PATIENTS AT RISK OF HEMATOMA EXPANSION | 247,500. | |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | GENETICS AND PATHOGENESIS OF CHOLESTATIC LIVER DISEASE | 165,000. | |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | COMBINATION STRATEGIES TO ENHANCE THE EFFICACY OF TARGETED THERAPIES | 165,000. | |
| YARD INC 1 THE YARD CHILMARK, MA 02535-0405 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 81,000. | |
| YELLOW WORK, INC. 10960 WILSHIRE BLVD 5TH FLOOR LOS ANGELES, CA 90024 | NONE | PC | GENERAL SUPPORT | 10,000. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3a Grants and Contributions Paid During the Year | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|--------------------------------|--|----------|
| Recipient | Name and address (home or business) | | | | |
| YERBA BUENA CENTER FOR THE ARTS 701 MISSION STREET SAN FRANCISCO, CA 94103-3138 | | NONE | PC | TO SUPPORT "THE HEALING PROJECT" A BOUNDARY-BREAKING MULTIDISCIPLINARY MUSIC PROJECT BY SAMORA PINDERHUGHES EXPLORING THE REALITIES OF INCARCERATION, POLICING, VIOLENCE, AND DETENTION IN THE UNITED STATES | 125,000. |
| YERBA BUENA CENTER FOR THE ARTS 701 MISSION STREET SAN FRANCISCO, CA 94103-3138 | | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 50,000. |
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| | | | | | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3b Grants and Contributions Approved for Future Payment | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|------|---|---|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| ARIZONA ARCHAEOLOGICAL AND HISTORICAL SOCIETY P.O. BOX 210026 TUCSON, AZ 85721-0026 | NONE | PC | DORIS DUKE NATIVE ORAL HISTORY REVITALIZATION PROJECT | 70,000. | |
| ARTHUR ASHE INSTITUTE FOR URBAN HEALTH 450 CLARKSON AVE BROOKLYN, NY 11203-2012 | NONE | PC | ARTHUR ASHE INSTITUTE FOR URBAN HEALTH'S CLINICAL RESEARCH CONTINUUM: HIGH SCHOOL TO COLLEGE | 214,500. | |
| ASSOCIATION OF TRIBAL ARCHIVES LIBRARIES AND MUSEUMS 6308 HARDEN DR OKLAHOMA CITY, OK 73118-1036 | NONE | PC | TO INCREASE ACCESS, UTILIZATION AND VISIBILITY OF THE DORIS DUKE NATIVE AMERICAN ORAL HISTORY COLLECTIONS | 150,000. | |
| BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVE BOSTON, MA 02215-5400 | NONE | PC | ADVERSE CARDIOMETABOLIC IMPACT OF ANTIRETROVIRAL TREATMENT REGIMENS AMONG PREGNANT AND POST-PARTUM WOMEN AND THEIR INFANTS | 247,500. | |
| BILLIE HOLIDAY THEATRE INCORPORATED 1368 FULTON STREET BROOKLYN, NY 11216-5372 | NONE | PC | TO SUPPORT THE BLACK SEED, A NATIONAL STRATEGIC PLAN TO STRENGTHEN THE BLACK THEATER FIELD BY OFFERING MATERIAL SUPPORTS TO BLACK THEATERS ACROSS THE COUNTRY | 250,000. | |
| BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH HRSC 129 LAKE CHESTNUT HILL, MA 02467-0000 | NONE | PC | THE IMPACT OF UPSTREAM PREVENTION OF HOMELESSNESS ON YOUTH EDUCATIONAL AND DEVELOPMENTAL OUTCOMES | 77,615. | |
| CEDARS-SINAI MEDICAL CENTER 8700 BEVERLY BLVD WEST HOLLYWOOD, CA 90048-1804 | NONE | PC | THE DIGITAL BIOPSY IN CARDIAC TRANSPLANT REJECTION | 44,000. | |
| Total from continuation sheets | | | | 32,180,904. | |

Part XV Supplementary Information (continued)

| 3b Grants and Contributions Approved for Future Payment | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|------|---|--|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| CHAPIN HALL CENTER FOR CHILDREN 1313 E 60TH ST CHICAGO, IL 60637-2830 | NONE | PC | TO LEVERAGE THE DORIS DUKE FELLOWSHIPS FOR THE PROMOTION OF CHILD WELL-BEING THROUGH SUPPORT FOR RESEARCH-PRACTICE PARTNERSHIPS AND ESTABLISHMENT OF THE CHILD WELL-BEING RESEARCH NETWORK. | 1,200,000. | |
| CHARLES DREW UNIVERSITY OF MEDICINE & SCIENCE 1731 EAST 120TH STREET AP DEPT COBB LOS ANGELES, CA 90059-3051 | NONE | PC | PROJECT STRIDE I & II- STUDENTS TRAINING IN RESEARCH INVOLVING DISPARITY ELIMINATION | 214,500. | |
| CHILDRENS HOSPITAL LOS ANGELES 4650 W SUNSET BLVD LOS ANGELES, CA 90027-6062 | NONE | PC | THE SAMUELS FAMILY LA-HIP CLINICAL RESEARCH CONTINUUM: HIGH SCHOOL TO COLLEGE | 214,500. | |
| CHILDREN'S HOSPITAL OF PHILADELPHIA 3401 CIVIC CENTER BLVD PHILADELPHIA, PA 19104-4319 | NONE | PC | UNRAVELING HETEROGENEITY AND NOVEL THERAPIES FOR RARE PEDIATRIC LUNG DISEASE | 247,500. | |
| COVENANT HOUSE ALASKA 755 A ST ANCHORAGE, AK 99501-3625 | NONE | PC | TO INCREASE ACCESS AND UTILIZATION OF COMPREHENSIVE SUPPORTIVE HEALTH, SOCIAL, AND PERMANENT HOUSING SERVICES TO HOMELESS YOUTH IN ANCHORAGE, PARTICULARLY ALASKA NATIVE YOUTH, YOUTH TRANSITIONING OUT OF FOSTER CARE, AND PREGNANT AND PARENTING YOUTH | 650,000. | |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | DEFINING THE MOLECULAR LANDSCAPE AND THERAPEUTIC TARGETS IN TRANSLOCATION RENAL CELL CARCINOMA | 247,500. | |
| DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | DEEP NATURAL LANGUAGE PROCESSING TO ACCELERATE CANCER RESEARCH USING ELECTRONIC HEALTH RECORDS | 247,500. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3b Grants and Contributions Approved for Future Payment | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|---|--------------------------------|---|------------|
| Recipient | Name and address (home or business) | | | | |
| | DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215-5418 | NONE | PC | DISSECTING TUMOR AND IMMUNE EVOLUTION IN STAGE III MELANOMA | 247,500. |
| | DANCE/USA 1029 VERMONT AVENUE NW SUITE 400 WASHINGTON, DC 20005-3517 | NONE | PC | TO SUPPORT DANCE/USA FELLOWSHIPS TO ARTISTS, A NATIONAL REGRANT INITIATIVE THAT PROVIDES UNRESTRICTED FUNDING AND ARTIST SERVICES TO INDIVIDUAL ARTISTS WHO USE DANCE AS A VEHICLE FOR SOCIAL CHANGE | 994,341. |
| | DORIS DUKE FOUNDATION INC. 650 5TH AVE FL 19 NEW YORK, NY 10019-6108 | NONE | PF | DORIS DUKE ARTIST AWARDS 2021, AND FUNDING FOR TWO ADDITIONAL 2020 ARTISTS. | 2,082,500. |
| | DUKE UNIVERSITY 324 BLACKWELL ST STE 850 DURHAM, NC 27701-3659 | NONE | PC | TARGETING WNT-SIGNALING TO PREVENT SUDDEN DEATH IN PEDIATRIC ARRHYTHMIC CARDIOMYOPATHY | 247,500. |
| | DUKE UNIVERSITY 324 BLACKWELL ST STE 850 DURHAM, NC 27701-3659 | NONE | PC | THE FUND TO RETAIN CLINICAL SCIENTISTS AT DUKE | 264,000. |
| | EKVN YEFOLECVLKE P.O. BOX 148 WEOGUFKA, AL 35183-0148 | NONE | PC | EKVN-YEFOLECV IS AN INTENTIONAL ECOVILLAGE COMMUNITY OF INDIGENOUS MASKOKE PERSONS WHO HAVE RETURNED TO THEIR TRADITIONAL HOMELANDS FOR THE PURPOSE OF PRACTICING LINGUISTIC, CULTURAL AND ECOLOGICAL SUSTAINABILITY. | 180,000. |
| | FIRST PEOPLES FUND 706 WEST BOULEVARD RAPID CITY, SD 57701 | NONE | PC | TO EXPAND AND DEEPEN SUPPORT FOR NATIVE PERFORMING ARTISTS AND TO FUND THE FIRST PHASE OF A NATIONAL FIELD SCAN OF THE NATIVE PERFORMING ARTS COMMUNITY | 150,000. |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

| 3b Grants and Contributions Approved for Future Payment | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|------|---|---|----------------------------------|--------|
| Recipient Name and address (home or business) | | | | | |
| GINA GIBNEY DANCE, INC. 890 BROADWAY, FIFTH FLOOR NEW YORK, NY 10003-1211 | NONE | PC | TO SUPPORT THE PROGRAMS AND ACTIVITIES OF KINETIC LIGHT, A TEMPORARY PERFORMANCE ENSEMBLE COMMITTED TO INTERSECTIONAL DISABILITY AESTHETICS AND CULTURE | 225,000. | |
| GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC. 3939 CAMPBELL AVENUE ARLINGTON, VA 22206-3440 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT FOR PBS NEWSHOUR | 100,000. | |
| GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC. 3939 CAMPBELL AVENUE ARLINGTON, VA 22206-3440 | NONE | PC | TO SUPPORT A NEW PBS NEWSHOUR SERIES THAT WILL SHOWCASE AND SPREAD AWARENESS OF SUCCESSFUL WORK AT CITY, REGIONAL, AND STATE LEVELS TO MITIGATE AND REDUCE THE ACCELERATION OF CLIMATE CHANGE | 100,000. | |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | NONE | PC | THE DORIS DUKE FUND TO RETAIN CLINICAL SCIENTISTS | 264,000. | |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | NONE | PC | PHARMACOLOGIC AND DIETARY APPROACHES FOR TARGETING METABOLISM IN AUTOIMMUNE DISEASE | 247,500. | |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | NONE | PC | EFFECTS OF SODIUM-GLUCOSE COTRANSPORTER (SGLT)-2 INHIBITION ON ENERGY METABOLISM AND FUNCTION OF THE FAILING HUMAN HEART | 247,500. | |
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | NONE | PC | LONGITUDINAL PROFILING OF RETINAL NEUROVASCULAR ABNORMALITIES AND MOLECULAR BIOMARKERS IN PREDIABETES AND EARLY DIABETES: ESTABLISHING NOVEL PARADIGMS FOR DIABETIC RETINOPATHY | 247,500. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| JOHNS HOPKINS UNIVERSITY 525 NORTH WOLFE ST, 501 BALTIMORE, MD 21205 | NONE | PC | USING HUMAN NEURONS, IN VITRO, TO INVESTIGATE NOVEL MECHANISMS OF ALTERED BRAIN EXCITABILITY IN PATIENTS WITH EPILEPSY SUSCEPTIBILITY DUE TO DELETION OF THE 15Q11.2 LOCUS | 247,500. |
| LAND TRUST ALLIANCE, INC. 1250 H STREET NW WASHINGTON, DC 20005-3952 | NONE | PC | TO RENEW SUPPORT FOR THE LAND TRUST ALLIANCES LAND AND CLIMATE PROGRAM, A NATIONAL INITIATIVE THAT PROVIDES LAND TRUSTS WITH INFORMATION, STRATEGIES, TRAINING AND TOOLS THEY NEED TO BOTH ADAPT TO AND COMBAT CLIMATE CHANGE IN THEIR LAND CONSERVATION WORK | 1,000,000. |
| MASSACHUSETTS GENERAL HOSPITAL 399 REVOLUTION DR STE 645 SOMERVILLE, MA 02145-1444 | NONE | PC | HEMOGLOBIN A1C GENETICS FOR PRECISION DIAGNOSIS AND CARE OF PATIENTS WITH DIABETES | 247,500. |
| MCINTOSH SUSTAINABLE ENVIRONMENTAL AND ECONOMIC DEVELOPMENT POST OFFICE BOX 2355 DARIEN, GA 31305-0182 | NONE | PC | MCINTOSH SEED'S "SUSTAINABLE FAMILY FORESTS: YESTERDAY, TODAY AND TOMORROW" | 180,000. |
| NATIONAL BLACK CHILD DEVELOPMENT INSTITUTE, INC. 8455 COLESVILLE RD SUITE 910 SILVER SPRING, MD 20910-3319 | NONE | PC | TO PROVIDE CORE SUPPORT FOR NBCDI AND AN ADDITIONAL COHORT OF UP TO 15 CHILD AND FAMILY POLICY FELLOWS | 800,000. |
| NATIONAL INDIAN CHILD WELFARE ASSOCIATION 5100 SW MACADAM AVENUE, SUITE 300 PORTLAND, OR 97239-6102 | NONE | PC | TO SUPPORT A PILOT EVALUATION STUDY OF THE POSITIVE INDIAN PARENTING PROGRAM | 400,000. |
| NATIONAL PUBLIC RADIO 1111 NORTH CAPITOL ST NE WASHINGTON, DC 20002-7502 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT TO NATIONAL PUBLIC RADIO | 700,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|----------|
| NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190-5361 | NONE | PC | TO CONDUCT RESEARCH AND OUTREACH ACTIVITIES THAT SUPPORT STRONG BIODIVERSITY AND CLIMATE CHANGE PROTECTIONS IN FEDERAL AND STATE RENEWABLE ENERGY POLICIES | 270,000. |
| NATIVE MOVEMENT 60 HALL STREET FAIRBANKS, AK 99709 | NONE | PC | GENERAL OPERATING SUPPORT | 180,000. |
| NATURAL AREAS CONSERVANCY 1234 FIFTH AVENUE NEW YORK, NY 10029-4418 | NONE | PC | TO PROVIDE GENERAL OPERATING SUPPORT | 220,000. |
| NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW, SUITE 300 WASHINGTON, DC 20036-2656 | NONE | PC | TO SUPPORT ILLUMINATIVE, A NATIVE-LED ORGANIZATION THAT AMPLIFIES AUTHENTIC NATIVE VOICES, NARRATIVES, AND EXPERIENCES TO UPLIFT AND EMPOWER NATIVE CHILDREN, FAMILIES, AND COMMUNITIES | 700,000. |
| NEW YORK UNIVERSITY 105 E 17TH STREET, 2ND FLOOR NEW YORK, NY 10003-2170 | NONE | PC | NYU GROSSMAN SCHOOL OF MEDICINE (NYUGSOM) - FUND TO RETAIN CLINICAL SCIENTISTS THREE-YEAR PROGRAM RENEWAL | 264,000. |
| NEWARK PUBLIC RADIO 54 PARK PLACE NEWARK, NJ 07102-4302 | NONE | PC | JAZZ MEDIA LAB | 150,000. |
| NORTHWESTERN UNIVERSITY 1800 SHERMAN AVE, 3RD FLOOR EVANSTON, IL 60201 | NONE | PC | THE NORTHWESTERN-EVANSTON EDUCATION RESEARCH ALLIANCE (NEERA): DESIGNING FOR EQUITY AND EXCELLENCE IN EDUCATION | 162,500. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|------------|
| OREGON PUBLIC BROADCASTING 7140 S MACADAM AVE PORTLAND, OR 97219-3013 | NONE | PC | JAZZ MEDIA LAB - KMHD | 150,000. |
| PACIFIC PUBLIC MEDIA 930 BROADWAY TACOMA, WA 98402 | NONE | PC | JAZZ MEDIA LAB - KNKX | 150,000. |
| PARENTS AND CHILDREN TOGETHER 1485 LINAPUNI ST RM 105 HONOLULU, HI 96819-3575 | NONE | PC | TO ENHANCE AND EXPAND MULTIGENERATIONAL, FAMILY-CENTERED SERVICES AND PROGRAMMING AT THE KALIHI FAMILY AND ECONOMIC OPPORTUNITY CENTER AND STRENGTHEN CONNECTIONS WITH NEIGHBORHOOD ORGANIZATIONS AND COMMUNITY MEMBERS | 800,000. |
| PREGONES PUERTO RICAN TRAVELING THEATER 571-575 WALTON AVENUE BRONX, NY 10451-5237 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 105,000. |
| ROCKY MOUNTAIN PUBLIC MEDIA 2101 ARAPAHOE ST. DENVER, CO 80205 | NONE | PC | JAZZ MEDIA LAB - KUVO | 150,000. |
| SAFE & SOUND 1757 WALLER STREET SAN FRANCISCO, CA 94117-2727 | NONE | PC | TO TRAIN AND BUILD THE CAPACITY OF FAMILY RESOURCES CENTERS IN SAN FRANCISCO TO IMPLEMENT AN EVIDENCE-BASED MODEL THAT INCREASES FAMILY STRENGTHS AND BOLSTERS PROTECTIVE FACTORS AGAINST CHILD MALTREATMENT | 1,000,000. |
| SOUL FIRE FARM INSTITUTE 1972 NY HIGHWAY 2 PETERSBURGH, NY 12138-6012 | NONE | PC | GENERAL OPERATING SUPPORT | 180,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN STREET SUITE 14 CHARLOTTESVILLE, VA 22902 | NONE | PC | TO SAFEGUARD THE BIODIVERSITY AND CLIMATE OF THE SOUTHEASTERN UNITED STATES FROM BIOMASS ENERGY IMPACTS THROUGH RESEARCH, COMMUNICATIONS AND POLICY IMPROVEMENTS | 315,000. |
| SPRINGBOARD TO OPPORTUNITIES 3000 OLD CANTON ROAD JACKSON, MS 39216-4225 | NONE | PC | TO SUPPORT THE MAGNOLIA MOTHER'S TRUST, WHICH INCREASES FAMILY STABILITY BY PROVIDING HOLISTIC FAMILY SUPPORTS AND DIRECT, UNRESTRICTED CASH ASSISTANCE | 500,000. |
| ST. JUDE CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PL MEMPHIS, TN 38105-3678 | NONE | PC | GENOME EDITING OF AUTOLOGOUS CD34+ HEMATOPOIETIC STEM CELLS TO RAISE FETAL HEMOGLOBIN FOR SICKLE CELL DISEASE | 495,000. |
| STANDING ROCK COMMUNITY DEVELOPMENT CORPORATION 9299 HWY 24 OFFICE SUITE 132 FORT YATES, ND 58538-9706 | NONE | PC | TO IMPLEMENT DEVELOPMT., LANGUAGE, CULTURE & FOOD SOVEREIGNTY FOR INCREASED SELF-SUFFICIENCY OF SIOUX ON STANDING ROCK INDIAN RES. | 500,000. |
| STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | STANFORD SIMR PROGRAM- CLINICAL RESEARCH EXPERIENCES | 214,500. |
| STANFORD UNIVERSITY 485 BROADWAY ST MSC 8838 REDWOOD CITY, CA 94063-3136 | NONE | PC | THE ANTIBODY RESPONSE AGAINST PF BACTERIOPHAGE IN PSEUDOMONAS AERUGINOSA INFECTION | 44,000. |
| STEPPENWOLF THEATRE COMPANY 1700 N HALSTED ST CHICAGO, IL 60614 | NONE | PC | LEADERSHIP GRANTS FOR ARTS ORGANIZATIONS | 50,000. |

Total from continuation sheets

Part XV Supplementary Information (continued)

| 3b Grants and Contributions Approved for Future Payment | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|-------------------------------------|---|---|----------------------------------|--------|
| Recipient | Name and address (home or business) | | | | |
| TEMPLE UNIVERSITY 3500 N BROAD ST PHILADELPHIA, PA 19140 | NONE | PC | JAZZ MEDIA LAB WRTI | 150,000. | |
| THE ASPEN INSTITUTE, INC. 2300 N STREET, NW SUITE 700 WASHINGTON, DC 20037-1122 | NONE | PC | TO PROVIDE CORE SUPPORT FOR THE ASCEND FELLOWSHIP PROGRAM AND AN ADDITIONAL COHORT OF 20 ASCEND FELLOWS | 600,000. | |
| THE CARR CENTER 4750 WOODWARD AVENUE, 3RD FLOOR DETROIT, MI 48201-1300 | NONE | PC | TO HOST TERRI LYNE CARRINGTON AT THE CARR CENTER TO FOR A MULTI-DISCIPLINARY EXPLORATION THAT CONTEMPLATES THE SHAPE AND SOUND OF JAZZ WITH AND WITHOUT THE BIASES INHERENT IN THE CULTURE THROUGH THE LENS OF GENDER. | 80,500. | |
| TRUST FOR CONSERVATION INNOVATION (DEA MULTIPLIER) 548 MARKET STREET PMB 81178 SAN FRANCISCO, CA 94104-5401 | NONE | PC | TO RENEW SUPPORT FOR THE CLIMATE RESILIENCE FUNDS COORDINATION AND COLLABORATION IN THE RESILIENCE ECOSYSTEM PROGRAM, A NATIONAL FIELD BUILDING AND REGRANTING INITIATIVE TO ADVANCE CLIMATE ADAPTATION AND RESILIENCE PRACTICE | 400,000. | |
| UNITED STATES ARTISTS 200 W MADISON ST, FL3 CHICAGO, IL 60606 | NONE | PC | TO RENEW SUPPORT FOR THE USA FELLOWSHIP PROGRAM TO PROVIDE UNRESTRICTED GRANTS TO INDIVIDUAL ARTISTS WORKING IN CONTEMPORARY DANCE, JAZZ, AND THEATER | 1,170,000. | |
| UNIVERSITY OF CALIFORNIA, BERKELEY 2195 HCARST AVE RM 120 MC 1104 BERKELEY, CA 94720-1083 | NONE | PC | TO SUPPORT THE PROJECT: UNDERSTANDING AND INTERVENING IN INEQUITIES IN CHRONIC ABSENTEEISM AND ITS CONSEQUENCES AMONG HIGH SCHOOL STUDENTS | 80,487. | |
| UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE SACRAMENTO, CA 95616-8543 | NONE | PC | A FEASIBILITY STUDY OF ALENDRONATE AS TREATMENT FOR OSTEONECROSIS OF THE FEMORAL HEAD IN ADULTS WITH SICKLE CELL DISEASE | 247,500. | |
| Total from continuation sheets | | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|----------|
| UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093-0952 | NONE | PC | DECIPHERING THE PATHOGENESIS OF NEURODEGENERATION IN HISTIOCYTOSIS | 247,500. |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | NOT JUST SURVIVE, BUT THRIVE, THE FUND TO RETAIN CLINICAL SCIENTISTS AWARD AT UCSF | 264,000. |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO UCSF BOX 0815 SUITE 425 SAN FRANCISCO, CA 94143-0000 | NONE | PC | SUMMER STUDENT RESEARCH PROGRAM: SUPPORTING UNDERREPRESENTED STEM ADAPTING TO CHANGE | 85,658. |
| UNIVERSITY OF CHICAGO 6054 S DREXEL AVE SUITE 300 CHICAGO, IL 60637-2612 | NONE | PC | TO SUPPORT THE BLACK DANCE LEGACY PROJECT, TO STRENGTHEN THE LONG-TERM SUSTAINABILITY AND VISIBILITY OF EIGHT DANCE COMPANIES THAT ANCHOR CHICAGOS DANCE SECTOR | 125,000. |
| UNIVERSITY OF COLORADO 1800 N GRANT ST STE 600 DENVER, CO 80203-1125 | NONE | PC | UNIVERSITY OF COLORADO ANSCHUTZ MEDICAL CAMPUS SCHOOL OF MEDICINE FUND TO RETAIN CLINICAL SCIENTISTS (CU-FRCS), A PROGRAM FUNDED BY THE DORIS DUKE CHARITABLE FOUNDATION | 264,000. |
| UNIVERSITY OF FLORIDA FOUNDATION INC 1938 W UNIVERSITY AVE. GAINESVILLE, FL 32604-2425 | NONE | PC | REDRESSING NATIVE AMERICAN ORAL HISTORIES: REVITALIZATION, REPATRIATION, AND RESPONSIBLE SHARING OF DIGITAL CULTURAL HERITAGE | 90,000. |
| UNIVERSITY OF ILLINOIS 1501 S OAK ST URBANA, IL 61820 | NONE | PC | DORIS DUKE INDIAN ORAL HISTORY PROGRAM ARCHIVES: REVITALIZATION AND COMMUNITY BUILDING | 56,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|----------|
| UNIVERSITY OF MINNESOTA FOUNDATION 333 EAST RIVER RD MINNEAPOLIS, MN 55455 | NONE | PC | UNLOCKING THE ROLE OF CARDIAC CALCIUM PUMP DYSREGULATION IN DUCHENNE MUSCULAR DYSTROPHY CARDIOMYOPATHY | 247,500. |
| UNIVERSITY OF NEW MEXICO FOUNDATION INCORPORATED 700 LOMAS BLVD NE NO 108 ALBUQUERQUE, NM 87102-2568 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY COLLECTION REVITALIZATION PROJECT | 13,000. |
| UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 104 AIRPORT DR CB 1270 CHAPEL HILL, NC 27599-5023 | NONE | PC | CAREGIVERS AT CAROLINA: SUPPORT FOR PHYSICIAN SCIENTISTS | 264,000. |
| UNIVERSITY OF OKLAHOMA FOUNDATION 100 TIMBERDELL ROAD NORMAN, OK 73019-5016 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY REVITALIZATION PROJECT | 14,000. |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | CELLULAR SIGNALING PATHWAYS IN THE REGULATION OF FETAL HEMOGLOBIN FOR TREATMENT OF SICKLE CELL DISEASE | 44,000. |
| UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205 | NONE | PC | THERAPEUTIC EXOGENOUS KETOSIS IN HEART FAILURE WITH REDUCED AND PRESERVED EJECTION FRACTION: A RANDOMIZED, CONTROLLED, CROSSOVER TRIAL | 44,000. |
| UNIVERSITY OF PITTSBURGH 116 ATWOOD ST STE 201 PITTSBURGH, PA 15213-3402 | NONE | PC | HILLMAN AND DORIS DUKE CHARITABLE FOUNDATION ACADEMYS CLINICAL RESEARCH CONTINUUM | 214,500. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|----------|
| UNIVERSITY OF SOUTH DAKOTA 1110 N DAKOTA ST VERMILLION, SD 57069-1218 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY PROJECT (SOUTH DAKOTA ORAL HISTORY CENTER, UNIVERSITY LIBRARIES, UNIVERSITY OF SOUTH DAKOTA) | 41,663. |
| UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089-0001 | NONE | PC | TO SUPPORT THE PUBLIC HEALTH DATA JOURNALISM FELLOWSHIP AND THE INNOVATION FUND TO SPUR DEVELOPMENT OF NEW PROJECTS FOCUSED ON CHILD AND FAMILY WELL-BEING | 250,000. |
| UNIVERSITY OF UTAH 1395 EAST PRESIDENTS CIRCLE ROOM 190 SALT LAKE CITY, UT 84112-9022 | NONE | PC | ARTIFICIAL INTELLIGENCE TO EXPLORE THE ROLE OF BLOOD PRESSURE IN OUTCOMES AMONG PATIENTS WITH ATRIAL FIBRILLATION | 247,500. |
| UNIVERSITY OF UTAH 1395 EAST PRESIDENTS CIRCLE ROOM 190 SALT LAKE CITY, UT 84112-9022 | NONE | PC | DORIS DUKE NATIVE AMERICAN ORAL HISTORY PROJECT | 70,000. |
| UNIVERSITY OF WASHINGTON 407 GERBERDING HALL SEATTLE, WA 98195-1210 | NONE | PC | PROMOTING GOAL CONCORDANT CARE AMONG PATIENTS WITH ADVANCED KIDNEY DISEASE | 247,500. |
| UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVE MADISON, WI 53726-4090 | NONE | PC | UNIVERSITY OF WISCONSIN SURGERY CLINICAL RESEARCH CONTINUUM HIGH SCHOOL TO COLLEGE PROGRAM | 214,500. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | NONE | PC | A ROLE FOR THE MAFA S64F MUTATION IN SEX-DEPENDENT DIABETES BY ACCELERATING BETA CELL AGING AND SENESCENCE | 44,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|------------|
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | NONE | PC | FUND TO RETAIN CLINICAL SCIENTISTS AT VANDERBILT UNIVERSITY MEDICAL SCHOOL | 264,000. |
| VANDERBILT UNIVERSITY MEDICAL CENTER 1161 21ST AVE S SUITE D3300 MCN NASHVILLE, TN 37203-1769 | NONE | PC | ROLE OF PANCREATIC MACROPHAGES IN THE PROGRESSION OF TYPE 2 DIABETES | 44,000. |
| WALKER ART CENTER, INC. 725 VINELAND PL MINNEAPOLIS, MN 55403-1139 | NONE | PC | TO HOST CCILE MCLORIN SALVANT IN RESIDENCE AT THE WALKER ART CENTER TO DEVELOP AND PRESENT OGRESE: LIVE AND ANIMATED, HER MULTIMEDIA SONG CYCLE WITH A NEW ANIMATED FILM BY SALVANT AND LIA BERTELS AND A 13-PIECE ENSEMBLE CONDUCTED BY DARCY JAMES ARGUE | 75,000. |
| WASHINGTON UNIVERSITY 550 16TH STREET SAINT LOUIS, MO 94143 | NONE | PC | FUND TO RETAIN CLINICAL SCIENTISTS AT WASHINGTON UNIVERSITY SCHOOL OF MEDICINE | 264,000. |
| WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX, NY 10460-1068 | NONE | PC | TO RENEW SUPPORT FOR THE CLIMATE ADAPTATION FUND, A NATIONAL FIELD BUILDING AND REGRANTING PROGRAM THAT FUNDS CONSERVATION PROJECTS DESIGNED TO HELP WILDLIFE AND ECOSYSTEMS ADAPT TO ACCELERATING CLIMATE CHANGE | 5,283,140. |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | AN AUTOMATED DEEP LEARNING PIPELINE FOR IDENTIFICATION OF HEMORRHAGIC STROKE PATIENTS AT RISK OF HEMATOMA EXPANSION | 247,500. |
| YALE UNIVERSITY P.O. BOX 208239 NEW HAVEN, CT 06520-8239 | NONE | PC | FUND TO RETAIN CLINICAL SCIENTISTS AT YALE SCHOOL OF MEDICINE | 264,000. |

Total from continuation sheets

FORM 990-PF

OTHER INCOME

STATEMENT 1

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---|-----------------------------|-----------------------------------|-------------------------------|
| SUBPART F INCLUSION | 0. | 1,936,614. | 0. |
| ORDINARY INCOME FROM INVESTMENTS IN PASSIVE INVESTMENT COMPANIES | 0. | 4,563,977. | 0. |
| FLOW THROUGH FROM INVESTMENTS IN LIMITED PARTNERSHIPS | 0. | 8,464,707. | 0. |
| ADDBACK INVESTMENT LOSS REPORTED AS UNRELATED BUSINESS LOSS ON 990-T | 0. | 867,400. | 0. |
| PROGRAM-RELATED INVESTMENT INCOME | 193,660. | 193,660. | 0. |
| MISCELLANEOUS INCOME | 618. | 618. | 0. |
| PORTFOLIO LOSS FROM INVEST. IN LPS | 0. | 0. | 0. |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 194,278. | 16,026,976. | 0. |

FORM 990-PF

LEGAL FEES

STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP | 2,837. | 0. | 0. | 2,837. |
| WILLKIE FARR & GALLAGHER LLP | 5,658. | 0. | 0. | 5,658. |
| GOODWIN PROCTER LLP | 2,720. | 0. | 0. | 2,720. |
| MAYFIELD FUND LLC | 0. | 0. | 0. | 2,808. |
| TO FM 990-PF, PG 1, LN 16A | 11,215. | 0. | 0. | 14,023. |

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| CUSTODY FEES | 321,822. | 321,822. | 0. | 0. |
| MANAGERS BASE FEES | 1,267,477. | 1,267,477. | 0. | 0. |
| INVESTMENT ADVISORY FEES | 547,000. | 547,000. | 0. | 0. |
| OTHER INVESTMENT EXPENSES NET OF INVESTMENT MGMT FEES ALLOCATED TO DDF | 5,464,600. | 5,464,600. | 0. | 0. |
| SECURITIES LENDING EXPENSE LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T | 31,557. | 5,631. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 7,632,456. | 7,606,530. | 0. | 0. |

FORM 990-PF

TAXES

STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| CURRENT & DEFERRED FEDERAL EXCISE TAX AND INCOME TAX PROVISION | 1,250,671. | 0. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,250,671. | 0. | 0. | 0. |

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| WIRE TRANSFER FEES (GRANTS) PROGRAMMATIC & ADMINISTRATIVE EXPENSES INCURRED BY DDCF | 33,974. | 0. | 0. | 33,974. |
| INSURANCE | 9,700,569. | 0. | 0. | 9,216,876. |
| FILING FEES | 87,770. | 0. | 0. | 94,938. |
| MISCELLANEOUS OFFICE EXPENSES | 1,510. | 0. | 0. | 1,510. |
| PENALTIES | 1,873. | 0. | 0. | 1,873. |
| FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LPS | 10,658. | 0. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 0. | 14,534,722. | 0. | 0. |
| | 9,836,354. | 14,534,722. | 0. | 9,349,171. |

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|------------------|----------------|-------------------|
| MULTI-STRATEGY ALTERNATIVES | FMV | 233,120,107. | 233,120,107. |
| PRIVATE EQUITY INVESTMENTS | FMV | 530,343,704. | 530,343,704. |
| DISTRESSED/HIGH YIELD | FMV | 125,048,981. | 125,048,981. |
| FUND OF FUNDS | FMV | 32,570,429. | 32,570,429. |
| BUYOUTS/GROWTH NON-MARKETABLE | FMV | 150,676,224. | 150,676,224. |
| VENTURE CAPITAL | FMV | 672,468,911. | 672,468,911. |
| DISTRESSED NON-MARKETABLE ALT | FMV | 4,000,391. | 4,000,391. |
| SPECIAL SITUATIONS | FMV | 22,313,004. | 22,313,004. |
| REAL ASSETS | FMV | 64,305,904. | 64,305,904. |
| COMMINGLED INVESTMENTS | FMV | 377,520,029. | 377,520,029. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 2,212,367,684. | 2,212,367,684. |

FORM 990-PF

OTHER ASSETS

STATEMENT 10

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|---|----------------------------|------------------------|-------------------|
| DUE FROM BROKER | 125,426. | 0. | 0. |
| PROGRAM RELATED INVESTMENTS - SOCIAL FINANCE/ARTSPACE | 1,273,935. | 2,500,000. | 2,500,000. |
| PROGRAM RELATED INVESTMENTS - ENVIRONMENTAL | 19,366,000. | 19,366,000. | 19,366,000. |
| INVESTMENT RECEIVABLES COLLECTIBLES | 553,772. | 608,551. | 608,551. |
| | 354,950. | 354,950. | 354,950. |
| DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART | 24,034. | 24,034. | 24,034. |
| DUE FROM DORIS DUKE MANAGEMENT FOUNDATION | 783,309. | 783,309. | 783,309. |
| DUE FROM DORIS DUKE FOUNDATION | 2,232. | 2,232. | 2,232. |
| TO FORM 990-PF, PART II, LINE 15 | 22,483,658. | 23,639,076. | 23,639,076. |

FORM 990-PF

OTHER LIABILITIES

STATEMENT 11

| DESCRIPTION | BOY AMOUNT | EOY AMOUNT |
|--|-----------------|-----------------|
| DUE TO DORIS DUKE MANAGEMENT FOUNDATION | 7,468,396. | 7,988,675. |
| DUE TO DUKE FARMS FOUNDATION | 156,960. | 156,960. |
| DEFERRED FEDERAL & STATE EXCISE TAXES | 10,084,487. | 15,301,672. |
| SECURITIES LENDING PAYABLE | 1,276. | 0. |
| DUE TO BROKER | 0. | 804,026. |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 17,711,119. | 24,251,333. |

FORM 990-PF

TRANSFERS TO CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 12

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

AG PRINCESS L.P.

13-4013877

ADDRESS

245 PARK AVENUE, 26TH FLOOR
NEW YORK, NY 10167

DESCRIPTION OF TRANSFER

THE FOUNDATION MADE NO TRANSFERS TO THIS INVESTMENT IN CALENDAR YEAR 2020.

AMOUNT
OF TRANSFER

0.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

CHINA ALPHA FUND LP

38-3974800

ADDRESS

C/O NATIONAL CORPORATE RESEARCH LTD., 615 SOUTH DUPONT HIGHWAY
KENT COUNTY DOVER, DE 19901

DESCRIPTION OF TRANSFER

THE FOUNDATION MADE NO TRANSFERS TO THIS INVESTMENT IN CALENDAR YEAR 2020.

AMOUNT
OF TRANSFER

0.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

PRINCE STREET FUND, LTD.

00-0000000

ADDRESS

THE BELVEDERE BUILDING, 69 PITTS BAY ROAD
PEMBROKE, BERMUDA HM 08

DESCRIPTION OF TRANSFER

THE FOUNDATION MADE NO TRANSFERS TO THIS INVESTMENT IN CALENDAR YEAR 2020.

AMOUNT
OF TRANSFER

0.

TOTAL AMOUNT OF TRANSFERS TO CONTROLLED ENTITIES

FORM 990-PF

TRANSFERS FROM CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 13

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

AG PRINCESS L.P.

13-4013877

ADDRESS

245 PARK AVENUE, 26TH FLOOR
NEW YORK, NY 10167

DESCRIPTION OF TRANSFER

THE INVESTMENT MADE NO TRANSFERS TO THE FOUNDATION IN CALENDAR YEAR 2020.

AMOUNT
OF TRANSFER

0.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

CHINA ALPHA FUND LP

38-3974800

ADDRESS

C/O NATIONAL CORPORATE RESEARCH LTD., 615 SOUTH DUPONT HIGHWAY
KENT COUNTY DOVER, DE 19901

DESCRIPTION OF TRANSFER

THE INVESTMENT MADE NO TRANSFERS TO THE FOUNDATION IN CALENDAR YEAR 2020.

AMOUNT
OF TRANSFER

0.

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

PRINCE STREET FUND, LTD.

00-0000000

ADDRESS

THE BELVEDERE BUILDING, 69 PITTS BAY ROAD
PEMBROKE, BERMUDA HM 08

DESCRIPTION OF TRANSFER

THE INVESTMENT MADE NO TRANSFERS TO THE FOUNDATION IN CALENDAR YEAR 2020.

AMOUNT
OF TRANSFER

0.

TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES

FORM 990-PF

SCHEDULE OF CONTROLLED ENTITIES
PART VII-A, LINE 11

STATEMENT 14

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

AG PRINCESS L.P.

13-4013877

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

245 PARK AVENUE, 26TH FLOOR
NEW YORK, NY 10167

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

CHINA ALPHA FUND LP

38-3974800

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

C/O NATIONAL CORPORATE RESEARCH LTD., 615 SOUTH DUPONT HIGHWAY
KENT COUNTY DOVER, DE 19901

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

PRINCE STREET FUND, LTD.

00-0000000

ADDRESS

EXCESS BUSINESS HOLDING [] YES [X] NO

THE BELVEDERE BUILDING, 69 PITTS BAY ROAD
PEMBROKE, BERMUDA HM 08

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN- SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|--|----------------------------|-------------------|------------------------------|--------------------|
| EDWARD P. HENRY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | CEO/PRESIDENT 26.00 | 0. | 0. | 0. |
| PETER SIMMONS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | COO/TREASURER 14.00 | 0. | 0. | 0. |
| ERICA DZIEDZIC 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | SECRETARY/COUNSEL 11.00 | 0. | 0. | 0. |
| ANTHONY S. FAUCI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | VICE CHAIRPERSON 2.00 | 0. | 0. | 0. |
| WILLIAM WRIGHT 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | DIR./CHAIRPERSON 2.50 | 50,000. | 0. | 0. |
| ANGELA MWANZA 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | DIRECTOR 2.00 | 50,000. | 0. | 0. |
| VISHAKHA N. DESAI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | DIRECTOR 2.00 | 50,000. | 0. | 0. |
| MARIE LYNN MIRANDA 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | DIRECTOR 2.00 | 0. | 0. | 0. |
| CLIVE GILLINSON 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | DIRECTOR 2.00 | 0. | 0. | 0. |
| NANNERL O. KEOHANE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019 | DIRECTOR 2.00 | 50,000. | 0. | 0. |

DORIS DUKE CHARITABLE FOUNDATION INC

82-3691183

| | | | | |
|------------------------------|----------|----|----|----|
| AFSANEH BESCHLOSS | DIRECTOR | | | |
| 650 FIFTH AVENUE, 19TH FLOOR | 2.50 | 0. | 0. | 0. |
| NEW YORK, NY 10019 | | | | |

| | | | | |
|------------------------------|----------|---------|----|----|
| MARY SCHMIDT CAMPBELL | DIRECTOR | | | |
| 650 FIFTH AVENUE, 19TH FLOOR | 2.00 | 50,000. | 0. | 0. |
| NEW YORK, NY 10019 | | | | |

| | | | | |
|------------------------------|----------|----|----|----|
| CATHERINE MARRON | DIRECTOR | | | |
| 650 FIFTH AVENUE, 19TH FLOOR | 2.00 | 0. | 0. | 0. |
| NEW YORK, NY 10019 | | | | |

| | | | | |
|------------------------------|----------|----|----|----|
| JOHN TALTY | DIRECTOR | | | |
| 650 FIFTH AVENUE, 19TH FLOOR | 2.50 | 0. | 0. | 0. |
| NEW YORK, NY 10019 | | | | |

| | | | | |
|------------------------------|--------------------------|----|----|----|
| DAVID S. WILCOVE | DIRECTOR (AS OF 01/2020) | | | |
| 650 FIFTH AVENUE, 19TH FLOOR | 1.50 | 0. | 0. | 0. |
| NEW YORK, NY 10019 | | | | |

| | | | | |
|--|--|-----------------|-----------|-----------|
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | <u>250,000.</u> | <u>0.</u> | <u>0.</u> |
|--|--|-----------------|-----------|-----------|

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 16

GRANTEE'S NAME

DUKE FARMS FOUNDATION

GRANTEE'S ADDRESS650 FIFTH AVENUE, 19TH FLOOR
NEW YORK, NY 10019

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 12,568,868. | 12/31/20 | 12,568,868. | 12/31/20 |

PURPOSE OF GRANTEMPLOYEE RELATED EXPENSES; GENERAL ADMIN EXPENSES; PROGRAM RELATED
EXPENSES; GENERAL OPERATING EXPENSES; CAPITAL EXPENDITURESDATES OF REPORTS BY GRANTEE

1/31/2020-12/31/2020

ANY DIVERSION BY GRANTEE

N/A

RESULTS OF VERIFICATIONTHE GRANTEE HAS CERTIFIED THAT IT HAS EXPENDED, FOR CHARITABLE AND
EDUCATIONAL PURPOSES, AN AMOUNT EQUAL TO THE VALUE OF THE GRANT, IN
ACCORDANCE WITH THE OUT-OF-CORPUS RULES OF SECTION 4942(G)(3) OF THE CODE.

GRANTEE'S NAME

DORIS DUKE FOUNDATION FOR ISLAMIC ART

GRANTEE'S ADDRESS

650 FIFTH AVENUE, 19TH FLOOR
NEW YORK, NY 10019

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 5,668,439. | 12/31/20 | 5,668,439. | 12/31/20 |

PURPOSE OF GRANT

EMPLOYEE RELATED EXPENSES; GENERAL ADMIN EXPENSES; PROGRAM RELATED EXPENSES; GENERAL OPERATING EXPENSES; CAPITAL EXPENDITURES

DATES OF REPORTS BY GRANTEE

1/31/2020-12/31/2020

ANY DIVERSION BY GRANTEE

N/A

RESULTS OF VERIFICATION

THE GRANTEE HAS CERTIFIED THAT IT HAS EXPENDED, FOR CHARITABLE AND EDUCATIONAL PURPOSES, AN AMOUNT EQUAL TO THE VALUE OF THE GRANT, IN ACCORDANCE WITH THE OUT-OF-CORPUS RULES OF SECTION 4942(G)(3) OF THE CODE.

DESCRIPTION

ARTSPACE PROJECTS-TO SUPPORT A PORTFOLIO OF REAL ESTATE DEVELOPMENT PROJECTS THAT PROVIDE AFFORDABLE LIVE & WORK SPACES FOR PERFORMING ARTISTS & ARTS ORGANIZATIONS AROUND THE COUNTRY.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

2,500,000.

GENERAL EXPLANATION

STATEMENT 18

FORM/LINE IDENTIFIER

FORM 990-PF, PART I, LINE 17 AND PART II, LINE 21

EXPLANATION:

ON JULY 28, 2020, DDCF ISSUED A \$100 MILLION TAXABLE BOND (SERIES 2020) TO ENABLE GRANT-MAKING TO STABILIZE AND SUSTAIN A NONPROFIT SECTOR FACING DEVASTATING ECONOMIC IMPACTS DUE TO COVID-19 AND AN UNPRECEDENTED DEMAND FOR ITS SERVICES MAGNIFIED BY RACIAL INJUSTICE. THE SERIES 2020 BONDS, UNDERWRITTEN BY MORGAN STANLEY, BEAR AN INTEREST RATE OF 2.345% WITH A MATURITY DATE OF JULY 1, 2050. THE SERIES 2020 BONDS WERE ISSUED AT A DISCOUNT OF \$225,000. THE BOND DISCOUNT IS BEING AMORTIZED USING THE STRAIGHT LINE METHOD OVER THE TERM OF THE BONDS.

IN JULY 2020, IN THE MIDST OF A GLOBAL PANDEMIC WITH DEVASTATING ECONOMIC AND SOCIAL CONSEQUENCES, THE DORIS DUKE CHARITABLE FOUNDATION ANNOUNCED A SOCIAL BOND OFFERING TO APPLY \$100 MILLION TO STRENGTHEN THE SECTORS THAT DDCF FUNDS. THE FOUNDATION BELIEVES THAT THE NONPROFIT SECTOR HAS BEEN SEVERELY HARMED BY THE COVID-19 PANDEMIC AND ITS ECONOMIC FALLOUT, AND THAT NONPROFIT ORGANIZATIONS WILL REQUIRE SUBSTANTIAL ADDITIONAL FINANCIAL RESOURCES TO CONTINUE TO CARRY OUT THEIR CHARITABLE MISSIONS. IN RESPONSE, THE FOUNDATION SEEKS TO SUBSTANTIALLY INCREASE ITS GRANTMAKING IN THE COMING YEARS TO MAKE GRANTS DIRECTED AT BUILDING RESILIENCE IN THE NONPROFIT SECTOR AND STABILIZING AND STRENGTHENING KEY NONPROFIT ORGANIZATIONS (THE "SPECIAL ALLOCATION GRANTS"). THE SPECIAL ALLOCATION GRANTS WILL BE MADE IN ADDITION TO THE FOUNDATION'S NORMAL GRANTMAKING. THE NET PROCEEDS OF THE BONDS ARE EXPECTED TO BE USED TO PROVIDE GRANT FUNDING FOR SPECIAL ALLOCATION GRANTS. THESE WILL BE GRANTS OVER AND ABOVE THE FOUNDATION'S NORMAL GRANTMAKING TO ORGANIZATIONS, PROJECTS, AND PROGRAMS MEETING THE FOUNDATION'S GRANTMAKING EVALUATION CRITERIA OR AS INVESTMENTS CONSISTENT WITH ITS PROGRAM-RELATED INVESTMENT ("PRI") PROCESS.

THE FOUNDATION'S TARGET POPULATION OF EXISTING AND PROSPECTIVE GRANTEE AND PRI PARTNERS ARE LARGELY DEPENDENT ON PHILANTHROPIC FUNDING AND THUS ARE PARTICULARLY VULNERABLE TO OPERATIONAL, EMPLOYMENT, AND MISSION DISRUPTION AS A RESULT OF THE WIDER ECONOMIC SLOWDOWN CAUSED BY COVID-19 CONTROL MEASURES. THE FOUNDATION WILL HAVE BROAD DISCRETION AS TO ITS USE OF THE PROCEEDS OF THE BONDS, PROVIDED THAT SUCH USE IS IN COMPLIANCE WITH THE FOUNDATION'S TAX-EXEMPT STATUS UNDER SECTION 501(C)(3) OF THE CODE AND THE FOUNDATION'S CLASSIFICATION AS A PRIVATE FOUNDATION. SPECIAL ALLOCATION GRANT CANDIDATES ARE TO BE SCREENED AS PART OF THE FOUNDATION'S APPLICATION PROCESS TO ENSURE CONSISTENCY WITH THE FOUNDATION'S PROGRAM AREAS THAT SUPPORT THE PERFORMING ARTS, ENVIRONMENTAL CONSERVATION, MEDICAL RESEARCH AND CHILD WELL-BEING, AND THE PRESERVATION OF THE CULTURAL AND ENVIRONMENTAL LEGACY OF DORIS DUKE'S PROPERTIES WITH A FOCUS ON TARGET POPULATIONS IMPACTED BY INEQUALITY. THE FOUNDATION HAS FOUR PRIMARY PURPOSES FOR THESE SPECIAL ALLOCATION GRANTS:

1. TO PROVIDE IN-YEAR AND MULTI-YEAR COMMITMENTS, PRIMARILY TO EXISTING GRANTEES WORKING IN THE FOUNDATION PROGRAM AREAS
2. TO PROVIDE IN-YEAR AND MULTI-YEAR GRANTS TO ORGANIZATIONS CAPABLE OF

IMPLEMENTING STRATEGIES APPROVED BY THE FOUNDATION, INCLUDING THE ABILITY TO RE-GRANT;

3. TO ADDRESS THE INEQUALITY IN THE NONPROFIT ECOSYSTEM THAT DISADVANTAGES ORGANIZATIONS LED BY, AND SERVING, UNDERREPRESENTED COMMUNITIES; AND

4. TO AMPLIFY THE RESOURCES AND IMPACT OF FUNDER COLLABORATIVES.

GENERAL EXPLANATION

STATEMENT 19

FORM/LINE IDENTIFIER

FORM 990-PF, PART I LINE 6(A) & PART IV STATEMENT

EXPLANATION:

SHORT TERM INVESTMENTS - \$9,239
 FIXED INCOME INVESTMENTS - (\$15,171,387)
 MARKETABLE EQUITY ALTERNATIVE INVESTMENTS - \$9,315,229
 MARKETABLE COMMINGLED EQUITY INVESTMENTS - \$12,239,374
 MARKETABLE DISTRESSED/HIGH YIELD ALTERNATIVES - \$18,141,979
 MARKETABLE LONG/SHORT EQUITY ALTERNATIVES - \$8,917,294
 MARKETABLE MULTI-STRATEGY ALTERNATIVES - \$15,457,231
 NON-EXCHANGE TRADED BUYOUT/GROWTH FUNDS - \$9,225,976
 NON-EXCHANGE TRADED DISTRESSED FUNDS - \$113,526
 NON-EXCHANGE TRADED FUND OF FUNDS - \$6,993,890
 NON-EXCHANGE TRADED REAL ASSETS - \$5,327,338
 NON-EXCHANGE TRADED VENTURE CAPITAL FUNDS - \$55,615,108

ALLOCATION TO DORIS DUKE FOUNDATION (DDF) - (\$268,659)

CAPITAL GAINS/LOSSES PER BOOKS - PART I, LINE 6A - \$125,916,138

LIMITED PARTNERSHIP INVESTMENTS - (\$57,271,198)

LESS: SHORT-TERM CAPITAL GAIN FROM UBTI - (\$1,349,242)
 LESS: LONG-TERM CAPITAL GAIN FROM UBTI - (\$9,761,286)
 LESS: 1231 GAIN FROM UBTI - (\$602,521)

TOTAL CAPITAL GAINS - PART I, LINE 7B - \$56,931,891

A DETAILED SCHEDULE OF THE FOUNDATION'S CAPITAL GAINS AND LOSSES BY SPECIFIC FUNDS HELD IS AVAILABLE ON REQUEST.

GENERAL EXPLANATION

STATEMENT 20

FORM/LINE IDENTIFIER

FORM 990-PF, PART IX-A

EXPLANATION:

ALL EXPENDITURES ASSOCIATED WITH THE FOUNDATION'S DIRECT CHARITABLE ACTIVITIES ARE PAID BY THE FOUNDATION'S RELATED PARTY, DORIS DUKE MANAGEMENT FOUNDATION. THESE EXPENSES ARE REIMBURSED BY THE FOUNDATION AND ARE INCLUDED AS PART OF PROGRAMMATIC AND ADMINISTRATIVE EXPENSES ON PART I, LINE 23.

THE \$491,775 IN DIRECT CHARITABLE EXPENDITURES LISTED IN PART IX-A REPRESENT AMOUNTS PAID BY THE DORIS DUKE CHARITABLE FOUNDATION TO SUPPORT VARIOUS MEETINGS, CONFERENCES, SEMINARS AND OTHER CLIMATE, CONSERVATION AND/OR MEDICAL RESEARCH ENDEAVORS. FURTHER INFORMATION ABOUT EACH INDIVIDUAL PROGRAM LISTED IN THE ATTACHED SCHEDULE IS AVAILABLE UPON REQUEST.

GENERAL EXPLANATION

STATEMENT 21

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-A - ACTIVITY DESCRIPTION: ENVIRONMENT ACTIVITIES

EXPLANATION:

ACTIVITY DESCRIPTION: ENVIRONMENT ACTIVITIES

DORIS DUKE WAS A LIFELONG ENVIRONMENTALIST WITH A KEEN INTEREST IN CONSERVATION. IN HER WILL, SHE EXPRESSED HER INTEREST IN "THE PRESERVATION OF WILDLIFE, BOTH FLORA AND FAUNA" AND IN SUPPORTING "ECOLOGICAL ENDEAVORS."

THE MISSION OF THE ENVIRONMENT PROGRAM IS TO ENSURE A THRIVING, RESILIENT ENVIRONMENT FOR WILDLIFE AND PEOPLE, AND FOSTER AN INCLUSIVE, EFFECTIVE CONSERVATION MOVEMENT. IT SUPPORTS ENVIRONMENTAL INITIATIVES ACROSS THE NATION, AND STRIVES TO ACCOMPLISH ITS MISSION THROUGH THE FOLLOWING STRATEGIES:

1. ACCELERATING LAND CONSERVATION IN AN ERA OF CLIMATE CHANGE THROUGH THE SUPPORT OF THREE CRITICAL LAND CONSERVATION ACTIVITIES: IDENTIFYING RESILIENT LANDSCAPES, PROTECTING RESILIENT LANDSCAPES, AND MANAGING, RESTORING AND ADAPTING CONSERVED LANDS.
2. REDUCING IMPACTS ON THE LANDSCAPE FROM INCREASED ENERGY DEVELOPMENT AND ENERGY DEMAND THROUGH GRANTS TO PROMOTE LOW-IMPACT RENEWABLE ENERGY DEVELOPMENT, MINIMIZE IMPACTS OF INAPPROPRIATE BIOENERGY SOLUTIONS AND ADVANCE EQUITABLE URBAN FORESTRY.
3. STRENGTHENING THE CONSERVATION FIELD THROUGH SUPPORT OF PROGRAMS THAT INCREASE DIVERSITY AND INCLUSION IN THE CONSERVATION WORKFORCE, GROW PUBLIC CONSERVATION FUNDING, BUILD THE CAPACITY OF THE LAND TRUST COMMUNITY AND FOSTER A COLLABORATIVE LANDSCAPE CONSERVATION COMMUNITY.
4. ENCOURAGING ENVIRONMENTAL STEWARDSHIP IN THE TRI-STATE AREA BY SUPPORTING PROJECTS AND POLICIES THAT IMPROVE THE NATURAL ENVIRONMENT OF NEW YORK CITY AND THE DEVELOPMENT OF A SUSTAINABLE AGRICULTURE SYSTEM IN THE TRI-STATE AREA.

GENERAL EXPLANATION

STATEMENT 22

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-A - ACTIVITY DESCRIPTION MEDICAL RESEARCH ACTIVITIES

EXPLANATION:

DORIS DUKE WAS A SIGNIFICANT SUPPORTER OF MEDICAL RESEARCH, MAKING CONTRIBUTIONS TO HOSPITALS AND RESEARCH CENTERS THROUGHOUT HER LIFE. IN HER WILL, SHE EXPRESSED HER INTEREST IN SUPPORTING "MEDICAL RESEARCH DESIGNED TO EFFECTUATE CURES OF MAJOR DISEASES."

THE MISSION OF THE MEDICAL RESEARCH PROGRAM IS TO ADVANCE THE PREVENTION, DIAGNOSIS AND TREATMENT OF HUMAN DISEASE BY STRENGTHENING AND SUPPORTING CLINICAL RESEARCH. THE MEDICAL RESEARCH PROGRAM STRIVES TO ACCOMPLISH ITS MISSION THROUGH THE FOLLOWING STRATEGIES:

1. ENCOURAGING AND DEVELOPING CLINICAL RESEARCH CAREERS THAT BUILD THE CLINICAL RESEARCH CAREER LADDER BY SUPPORTING PHYSICIAN SCIENTISTS IN THE UNITED STATES AT DIFFERENT STAGES OF THEIR CAREER.
2. ADVANCING BIOMEDICAL RESEARCH AND INNOVATION THROUGH THE SUPPORT OF INNOVATIVE APPROACHES TO CLINICAL RESEARCH IN TARGETED DISEASE AREAS.

GENERAL EXPLANATION

STATEMENT 23

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-A - ACTIVITY DESCRIPTION AFRICAN HEALTH ACTIVITIES

EXPLANATION:

THE FOUNDATION SEEKS TO CATALYZE SIGNIFICANT ADVANCES IN STRENGTHENING HEALTH SYSTEMS IN SUB-SAHARAN AFRICA THROUGH A SPECIAL GRANTMAKING INITIATIVE SPANNING FROM 2008 - 2023. THE AFRICAN HEALTH INITIATIVE (AHI) SUPPORTS PARTNERSHIPS BASED AT U.S. INSTITUTIONS THAT DESIGN, IMPLEMENT AND EVALUATE LARGE-SCALE MODELS OF CARE THAT LINK IMPLEMENTATION RESEARCH AND WORKFORCE TRAINING DIRECTLY TO THE DELIVERY OF PRIMARY HEALTHCARE IN SUB- SAHARAN AFRICA.

NOW IN ITS SECOND PHASE, THE AFRICAN HEALTH INITIATIVE IS CURRENTLY SUPPORTING THREE PROGRAMS:

1. THE GHANA COMMUNITY-BASED HEALTH PLANNING AND SERVICES (CHPS+) PROGRAM EXPANDS THE WORK SUPPORTED THROUGH AHI PHASE 1 FROM THREE PILOT DISTRICTS TO THREE REGIONS AND INCLUDES TRAINING IN DISTRICT AND FACILITY HEALTH SYSTEMS LEADERSHIP AND MANAGEMENT; ROUTINE USE OF DATA FOR DECISION- MAKING; AND THE USE OF IMPLEMENTATION SCIENCE TO IMPROVE THE QUALITY OF HEALTH SERVICE DELIVERY.

2. THE MOZAMBIQUE INTEGRATED DATA AND EVIDENCE ACTION (IDEA) PROGRAM IS BASED IN TWO PROVINCES AND SUPPORTS RESEARCH THAT BOTH IMPROVES IMPLEMENTATION OF NATIONAL HEALTH POLICY AND INCREASES THE CAPACITY OF DISTRICT AND FACILITY MANAGEMENT TO BETTER USE DATA FOR DECISION-MAKING AND IMPROVED SERVICE DELIVERY.

3. THE ETHIOPIA DATA USE PARTNERSHIP (DUP) IMPROVES THE COLLECTION AND USE OF HIGH-QUALITY ROUTINE HEALTH INFORMATION AT ALL LEVELS OF THE HEALTH SYSTEM, INCLUDING THE ROLLOUT OF A NEW ELECTRONIC HEALTH SYSTEM, TO IMPROVE THE QUALITY AND AVAILABILITY OF PRIMARY HEALTHCARE AND NUTRITION SERVICES IN THE FOUR MOST POPULOUS REGIONS IN ETHIOPIA.

GENERAL EXPLANATION

STATEMENT 24

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-A - ACTIVITY DESCRIPTION CHILD WELL-BEING ACTIVITIES

EXPLANATION:

DORIS DUKE TOOK A SPECIAL INTEREST IN THE WELL-BEING OF CHILDREN, SUPPORTING NEARLY 85 CHILD WELFARE ORGANIZATIONS DURING HER LIFE. HER SUPPORT INCLUDED CONTRIBUTIONS TO ORPHANAGES, CAMPS, MENTORSHIP PROGRAMS, LITTLE LEAGUE TEAMS, HOSPITALS, AND BOYS AND GIRLS CLUBS. IN HER WILL, DORIS DUKE EXPRESSED HER INTEREST IN "THE PREVENTION OF CRUELTY TO CHILDREN."

THE MISSION OF THE CHILD WELL-BEING PROGRAM IS TO PROMOTE CHILDREN'S HEALTHY DEVELOPMENT AND PROTECT THEM FROM ABUSE AND NEGLECT. TO ACCOMPLISH THIS MISSION, THE PROGRAM FAVORS A PUBLIC HEALTH APPROACH AND IS ESPECIALLY INTERESTED IN PLACE-BASED, CROSS-SECTOR APPROACHES THAT SEEK TO ENGAGE A RANGE OF PARTICIPANTS FROM VARIOUS DISCIPLINES TO ENSURE THAT FAMILY WELL-BEING IS SUPPORTED BY STRONG COMMUNITIES. IT USES THE FOLLOWING STRATEGIES TO DO SO:

1. SUPPORTING PLACE-BASED APPROACHES TO IMPROVING WELL-BEING BY INVESTING IN COORDINATED, RESIDENT-INFORMED, NEIGHBORHOOD-LEVEL EFFORTS THAT AIM TO MAKE MEASURABLE IMPROVEMENTS IN THE HEALTH AND WELL-BEING OF CHILDREN AND FAMILIES.

2. STRENGTHENING & COORDINATING SERVICE SYSTEMS BY STRENGTHENING AND EXPANDING THE CAPACITY OF SOCIAL SERVICE SYSTEMS TO BECOME MORE COLLABORATIVE AND PROVIDE CULTURALLY APPROPRIATE, EVIDENCE-BASED, AND CONTEXT-SPECIFIC PREVENTION AND TREATMENT PROGRAMS FOR CHILDREN AND THEIR CAREGIVERS.

3. BUILDING CAPACITY AND SHARING KNOWLEDGE BY SUPPORTING LEADERSHIP AND PROFESSIONAL DEVELOPMENT PROGRAMS FOR VISIONARY AND EFFECTIVE LEADERS FROM MULTIPLE DISCIPLINES AND SECTORS; THE GENERATION AND USE OF RESEARCH EVIDENCE; COMMUNICATIONS AND STORYTELLING THAT AUTHENTICALLY REPRESENT THE DIVERSITY OF FAMILIES AND COMMUNITIES; AND ADVOCACY EFFORTS TO PROMOTE PROGRAMS AND POLICIES THAT ADVANCE MORE EQUITABLE OUTCOMES FOR CHILDREN AND FAMILIES.

GENERAL EXPLANATION

STATEMENT 25

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART IX-A - ACTIVITY DESCRIPTION: PERFORMING ARTS ACTIVITIES

EXPLANATION:

DORIS DUKE, IN HER WILL, EXPRESSED AN INTEREST IN ASSISTING "ACTORS, DANCERS, SINGERS, MUSICIANS AND OTHER ARTISTS OF THE ENTERTAINMENT WORLD IN FULFILLING THEIR AMBITIONS AND PROVIDING OPPORTUNITIES FOR THE PUBLIC PRESENTATION OF THEIR ARTS AND TALENTS." GIVEN THIS GUIDANCE AND DORIS DUKE'S INTERESTS DURING HER LIFE, THE ARTS PROGRAM FOCUSES ITS SUPPORT ON CONTEMPORARY DANCE, JAZZ AND THEATER ARTISTS, AND THE ORGANIZATIONS THAT NURTURE, PRESENT AND PRODUCE THEM.

THE MISSION OF THE ARTS PROGRAM IS TO SUPPORT PERFORMING ARTISTS WITH THE CREATION AND PUBLIC PERFORMANCE OF THEIR WORK. THE ARTS PROGRAM ACCOMPLISHES THIS MISSION THROUGH THE FOLLOWING STRATEGIES:

1. SUPPORTING ARTISTIC CREATION AND DISSEMINATION OF NEW WORK THROUGH NATIONAL COMPETITIVE INITIATIVES, MAINLY ADMINISTERED BY INTERMEDIARY ORGANIZATIONS THAT SUPPORT THE COMMISSIONING, PRODUCTION, AND PRESENTATION OF INNOVATIVE, NEW ARTISTIC WORK IN THE FIELDS OF DANCE, JAZZ, MULTI-DISCIPLINARY PERFORMING ARTS AND THEATER. TYPICALLY, THESE RE-GRANTING PROGRAMS OFFER ADDITIONAL BENEFITS TO SUPPORTED ARTISTS, INCLUDING PROFESSIONAL DEVELOPMENT, NETWORKING OPPORTUNITIES, AND PARTICIPATION IN CONFERENCES AND OTHER MEETINGS.

2. EMPOWERING, INVESTING IN AND CELEBRATING ARTISTS TO STRENGTHEN A FAIR AND SUSTAINABLE SYSTEM OF UNRESTRICTED SUPPORT TO INDIVIDUAL ARTISTS ACROSS THE COUNTRY BY OFFERING FLEXIBLE, MULTI-YEAR AND UNRESTRICTED FUNDING FOR ARTISTS IN CONTEMPORARY DANCE, THEATER, JAZZ AND RELATED INTERDISCIPLINARY WORK.

3. STRENGTHENING ORGANIZATIONS THAT SERVE PERFORMING ARTISTS THROUGH GRANTS THAT SUPPORT THEIR PLANNING, CAPACITY BUILDING, SUSTAINABILITY, COMMUNITY ENGAGEMENT, TECHNICAL ASSISTANCE AND IMPLEMENTATION OF BOLD, INNOVATIVE APPROACHES ADDRESSING CURRENT ISSUES AFFECTING THE PERFORMING ARTS.

4. BUILDING THE NATIONAL SECTOR BY SUPPORTING ACTIVITIES THAT STRENGTHEN ORGANIZATIONS CRITICAL TO THE HEALTH OF THE COUNTRY'S CONTEMPORARY DANCE, JAZZ, THEATER AND/OR PRESENTING FIELDS, AS WELL AS PROJECTS THAT HAVE THE POTENTIAL TO IMPROVE THE STABILITY AND VITALITY OF THOSE FIELDS NATIONALLY.

FORM/LINE IDENTIFIER

FORM 990-PF, PART XV, GRANTS PROCESS

EXPLANATION:

THE MISSION OF THE DORIS DUKE CHARITABLE FOUNDATION (DDCF) IS TO IMPROVE THE QUALITY OF PEOPLE'S LIVES THROUGH GRANTS SUPPORTING THE PERFORMING ARTS, ENVIRONMENTAL CONSERVATION, MEDICAL RESEARCH AND CHILD WELL-BEING, AND THROUGH PRESERVATION OF THE CULTURAL AND ENVIRONMENTAL LEGACY OF DORIS DUKE'S PROPERTIES.

GRANT DEVELOPMENT AND APPROVAL

GRANTS ARE EITHER COMPETITIVELY AWARDED THROUGH REQUEST FOR PROPOSAL (RFP) PROCESSES OR ARE INITIATED BY THE DDCF STAFF. GRANTS AND PROPOSED GRANT COMPETITIONS ARE PRESENTED TO THE BOARD OF TRUSTEES AT ONE OF THE FOUR MEETINGS THAT TAKE PLACE DURING THE YEAR. ALL GRANTS MUST BE APPROVED BY THE BOARD OF TRUSTEES WITH THE EXCEPTION OF THE PROGRAM FUND, CROSS-PROGRAM FUND, TRUSTEE RECOMMENDED GRANTS, AND GRANTS SELECTED THROUGH COMPETITIVE, PEER-REVIEWED PROGRAM INITIATIVES. IN THESE CASES, THE BOARD APPROVES A TOTAL AMOUNT FOR THE PROGRAM INITIATIVE OR FUND AND DELEGATES TO DDCF'S PRESIDENT EDWARD HENRY AUTHORITY TO APPROVE GRANTS.

AUTHORITY FOR PROGRAM FUND AND CROSS-PROGRAM FUND GRANTS IS SOUGHT FROM THE BOARD EACH NOVEMBER FOR THE FOLLOWING YEAR. PROGRAM FUND AND CROSS-PROGRAM FUND GRANTS MUST BE FOR PURPOSES THAT ARE IN KEEPING WITH DDCF'S MISSION; THE AMOUNT OF THESE GRANTS IS LIMITED BOTH ON A PER-GRANT BASIS (\$100,000 OR LESS) AND CUMULATIVE ANNUAL BASIS FOR EACH PROGRAM (UP TO 5% OF EACH PROGRAM'S ANNUAL PAYOUT BUDGET MAY BE AWARDED AS PROGRAM FUND GRANTS).

ADDITIONALLY, THE BOARD AUTHORIZED THE PRESIDENT TO APPROVE UP TO \$300,000 IN PROGRAM FUND GRANTS FOR THE AFRICAN HEALTH INITIATIVE AND UP TO FIVE PERCENT OF THE CLIMATE CHANGE INITIATIVE ALLOCATION OVER FIVE YEARS (FOR BOTH, INDIVIDUAL GRANTS CAN BE UP TO \$100,000), WITH FUNDING TO COME FROM THE INITIATIVES' ANNUAL GRANTS BUDGETS.

ALL PROGRAM FUND AND CROSS-PROGRAM FUND GRANTS ARE DEVELOPED OR INVITED BY STAFF, UNDERGO ROUTINE DUE DILIGENCE REVIEW, AND ARE APPROVED BY THE PRESIDENT. CROSS-PROGRAM FUND GRANT AND PROGRAM FUND GRANTS THAT TOTAL MORE THAN \$50,000 ARE DISCUSSED AT A STAFF PROGRAM REVIEW MEETING PRIOR TO APPROVAL. FINALLY, IN 2020, ELEVEN TRUSTEES RECOMMENDED GRANTS TOTALING \$800,000; THE GRANT PURPOSE AND ORGANIZATIONS ARE VETTED BY STAFF AND APPROVED BY THE BOARD CHAIR PRIOR TO GRANT AWARD.

GRANTS SOLICITED BY DDCF STAFF

EDWARD HENRY (PRESIDENT) IS ACTIVELY INVOLVED IN THE ENTIRE GRANTS CYCLE. PRIOR TO PERFORMING ALL THE RESEARCH ON A POTENTIAL GRANTEE, THE PROGRAM DIRECTOR DISCUSSES THE ORGANIZATION OR PROPOSED PROJECT WITH MR. HENRY. BASED ON THIS DISCUSSION, THE RESEARCH WILL BEGIN OR THE PROJECT WILL BE SET ASIDE.

ALL GRANT APPLICATIONS ARE SUBMITTED TO DDCF VIA ON-LINE FORMS, THE

LINKS TO WHICH ARE EMAILED TO INVITED APPLICANTS. COMPLETED GRANT APPLICATIONS ARE RETRIEVED ELECTRONICALLY INTO DDCF'S GRANTS MANAGEMENT SYSTEM (WHICH CREATES RELEVANT ORGANIZATION, CONTACT, AND REQUEST RECORDS FOR EACH APPLICATION). PROGRAM STAFF THEN REVIEW THE APPLICATION TO ENSURE THAT THE ORGANIZATION MEETS DDCF REQUIREMENTS AND THAT THE PROGRAM DESCRIPTION IS IN LINE WITH DDCF'S MISSION AND PROGRAM OBJECTIVES. THE APPLICATION IS ALSO REVIEWED BY THE PROGRAM ASSOCIATE FOR GRANTS MANAGEMENT TO ENSURE THAT ALL THE PROPER DOCUMENTATION HAS BEEN RECEIVED AND THE ORGANIZATION IS A QUALIFIED NOT-FOR-PROFIT.

ONCE THE SUBMITTED APPLICATION HAS BEEN REVIEWED, THE PROGRAM DIRECTOR COMPILES A DOCKET FOR PRESENTATION TO THE BOARD OF DIRECTORS THAT DESCRIBES THE POTENTIAL GRANTEE ORGANIZATION AND ITS PROPOSED USE OF FUNDS. PRIOR TO THE BOARD MEETING, THE PROGRAM DIRECTOR PRESENTS THE INFORMATION TO SENIOR MANAGEMENT AND PROGRAM STAFF AT A PROGRAM REVIEW SESSION AND AT A "PRACTICE" SESSION FOR THE BOARD MEETING. DURING THESE MEETINGS, PARTICIPATING STAFF CRITIQUE THE WRITTEN SUMMARY AND THE PRESENTATION, AND APPROPRIATE CHANGES ARE MADE, AS NECESSARY.

AT THE NEXT BOARD MEETING, THE DOCKET WILL BE PRESENTED TO THE BOARD FOR THEIR APPROVAL. THE BOARD'S DECISIONS REGARDING PROPOSED GRANTS ARE DOCUMENTED IN THE BOARD OF DIRECTOR MINUTES. AFTER A GRANT HAS BEEN APPROVED BY THE BOARD, GRANTS MANAGEMENT STAFF RECORDS THE GRANT AS "APPROVED" IN DDCF'S GRANTS MANAGEMENT SYSTEM AND ASSIGNS A GRANT NUMBER. GRANTS MANAGEMENT SENDS A MONTHLY REPORT DETAILING GRANTS APPROVED TO FINANCE AND THE ACCOUNTING MANAGER PREPARES A JOURNAL ENTRY TO RECORD THE LIABILITY.

GRANTS AWARDED THROUGH COMPETITIVE REQUESTS FOR PROPOSALS
ONCE THE BOARD APPROVES FUNDS FOR A GRANT COMPETITION AND DELEGATES AUTHORITY TO APPROVE SPECIFIC GRANTS THAT WILL BE IDENTIFIED THROUGH THAT COMPETITION TO THE DDCF PRESIDENT, PROGRAM STAFF RELEASE A REQUEST FOR PROPOSALS (RFP). THE RFP SPECIFIES THE PURPOSE OF THE FUNDING AVAILABLE THROUGH THE AWARD PROGRAM, THE TIMELINE FOR APPLICATION, THE SELECTION PROCESS, AND EXPECTATIONS OF PROJECTS SELECTED. COMPETITIONS MAY INVOLVE ONE OR TWO STAGES OF APPLICATION (I.E., LETTER OF INTEREST FOLLOWED BY A FULL PROPOSAL OR JUST A FULL PROPOSAL) WITH STAFF AND/OR PANEL REVIEW AT THE FIRST STAGE AND PANEL REVIEW AT THE SECOND STAGE. APPLICATIONS ARE BROUGHT INTO THE GRANTS MANAGEMENT SYSTEM VIA ON-LINE FORMS. REVIEW PANELS SCORE APPLICATIONS IN ACCORDANCE WITH THE CRITERIA SPECIFIC TO THE GRANT PROGRAM AND RECOMMEND A ROSTER OF GRANTS TO DDCF. WITH AUTHORITY DELEGATED FROM THE BOARD, THE PRESIDENT APPROVES GRANTS TO SUPPORT THE PROJECTS SELECTED VIA THE COMPETITION. GRANTS MANAGEMENT STAFF CONDUCTS A STANDARD PRE-GRANT AGREEMENT DUE DILIGENCE OF EACH INSTITUTION APPROVED FOR FUNDING.

GRANT AWARD

ONCE THE BOARD OR PRESIDENT APPROVES A GRANT, THE RESPECTIVE DDCF PROGRAM STAFF NOTIFY THE ORGANIZATION BY TELEPHONE. THE PROGRAM ASSOCIATE FOR GRANTS MANAGEMENT GENERATES A DRAFT GRANT AGREEMENT USING THE APPROPRIATE TEMPLATE (TEMPLATES ARE STORED IN GRANTS MANAGEMENT SYSTEM AND MERGED WITH THE GRANT DATA TO CREATE THE GRANT AGREEMENT). THE PROGRAM DIRECTOR AND DIRECTOR OF GRANTS MANAGEMENT REVIEW AND REFINE THE GRANT AGREEMENT UNTIL THEY ARE SATISFIED THAT IT IS COMPLETE AND INCLUDES TERMS THAT ARE APPROPRIATE FOR THE FUNDED PROJECT. ONCE THE DIRECTOR OF GRANTS MANAGEMENT HAS APPROVED THE GRANT AGREEMENT AND AWARD LETTER AND VERIFIED THAT ALL GRANT DOCUMENTATION IS IN ORDER, THE DIRECTOR OF GRANTS MANAGEMENT NOTIFIES THE PRESIDENT VIA E-MAIL THAT THE GRANT IS READY FOR APPROVAL AND REQUESTS THE PRESIDENT'S APPROVAL TO AFFIX HIS SIGNATURE (JPEG) TO THE AGREEMENT AND AWARD LETTER.

THE PRESIDENT'S EMAIL INDICATING THAT THE GRANTS ARE APPROVED FOR ADDITION OF HIS JPEG SIGNATURE IS STORED IN THE GRANT RECORD AND THE AGREEMENT IS SENT TO THE GRANTEE ORGANIZATION FOR ELECTRONIC SIGNATURE USING ADOBE'S ADOBESIGN PRODUCT. THE GRANT AGREEMENT SPECIFIES THE TOTAL FUNDS AWARDED, PAYMENT SCHEDULE, USE OF THE FUNDS, PERFORMANCE AND FINANCIAL REPORTING REQUIREMENTS, AND VARIOUS OTHER DISCLOSURES REGARDING DDCF'S RIGHTS (FOR EXAMPLE, TO PUBLICLY ANNOUNCE THE GRANT). IF THE GRANTEE ORGANIZATION AGREES TO THE TERMS OF THE AGREEMENT, AN AUTHORIZED REPRESENTATIVE OF THE ORGANIZATION WILL SIGN ELECTRONICALLY AND RETURN THE AGREEMENT TO THE DDCF VIA ADOBESIGN. WHEN THE FULLY EXECUTED GRANT AGREEMENT IS RECEIVED BY DDCF, THE FIRST GRANT PAYMENT IS INITIATED BY GRANTS MANAGEMENT AND FORWARDED TO FINANCE FOR PROCESSING.

GRANT PAYMENT PROCESS

THE GRANT PAYMENT PROCESS IS INITIATED AFTER GRANTS MANAGEMENT STAFF HAS DETERMINED THAT ALL REQUIREMENTS FOR A GRANT PAYMENT HAVE BEEN MET.

THE GRANTS ASSOCIATE CONFIRMS THAT ACH INSTRUCTIONS ARE STILL CORRECT AND UPDATES THE GRANT RECORD IF NECESSARY. THE GRANTEE DATA FROM THE GRANTS MANAGEMENT SYSTEM IS EXPORTED TO AN EXCEL SPREADSHEET (VIA AN AUTOMATED DOWNLOAD) WHICH IS EMAILED TO THE ACCOUNTING MANAGER FOR UPLOAD TO GREAT PLAINS (GP). THE INFORMATION IS UPLOADED INTO GP WHERE IT IS REVIEWED AND APPROVED AS PER THE SIGNING AUTHORITY BELOW. ONCE THE APPROVALS HAVE BEEN MADE, AN ACH ENCRYPTED FILE IS GENERATED AND TRANSMITTED TO BANK OF AMERICA VIA A SECURED TRANSMISSION WEBSITE. THE TRANSMISSION IS THEN VERIFIED WITH THE BANK VIA A PHONE CALL TO AN ACH AUTOMATED PHONE SYSTEM. ONCE THE PAYMENT HAS BEEN SENT, THE GRANTEE AND APPROPRIATE PROGRAM STAFF ARE ALERTED BY EMAIL THAT THE ACH HAS BEEN EXECUTED.

GRANT SIGNING AUTHORITY REQUIREMENTS:

GRANT PAYMENT AMOUNT: BETWEEN \$0 AND \$9,999.99
REQUIREMENTS: REQUIRE ANY ONE SIGNER LISTED
SIGNATORIES: PETER SIMMONS, EILEEN OBERLANDER, EDWARD HENRY, JEFFREY HEIL

GRANT PAYMENT AMOUNT: BETWEEN \$10,000 AND \$99,999.99
REQUIREMENTS: REQUIRE ANY TWO SIGNERS LISTED
SIGNATORIES: PETER SIMMONS, EILEEN OBERLANDER, EDWARD HENRY, JEFFREY HEIL

GRANT PAYMENT AMOUNT: EQUAL TO OR OVER \$100,000
REQUIREMENTS: REQUIRE TWO OF THE FOLLOWING INDIVIDUALS
SIGNATORIES: PETER SIMMONS, EILEEN OBERLANDER, EDWARD HENRY

GRANT MONITORING

WITHIN EACH GRANT AGREEMENT, THE GRANTEE AGREES TO PROVIDE DDCF WITH CERTAIN INTERIM AND FINAL FINANCIAL AND PROGRAM PERFORMANCE INFORMATION. THE TIME PERIOD FOR THIS SUBMISSION VARIES FROM GRANT TO GRANT. TO ENSURE THAT THE DDCF FUNDS ARE BEING USED IN ACCORDANCE WITH THE GRANT AGREEMENT, THE GRANTEE IS REQUIRED TO SUBMIT THE FOLLOWING INFORMATION:

- A NARRATIVE DESCRIBING PROGRAM ACCOMPLISHMENTS, CHALLENGES, AND FUTURE PLANS
- AN ASSESSMENT OF THE PROJECT TO DATE (HAVE THE ORIGINAL GOALS BEEN

ACHIEVED, WERE ANY PROGRAM REFINEMENTS NECESSARY?)

- COPIES OF ANY LITERATURE, REPORTS, OR MEDIA COVERAGE THAT WAS GENERATED AS A RESULT OF THE GRANT
- A COPY OF THE MOST RECENT AUDITED FINANCIAL STATEMENTS
- A BUDGET TO ACTUAL COMPARISON OF USE OF THE GRANT FUNDS (INDICATING ANY BUDGET MODIFICATIONS AND EXPLANATIONS FOR BUDGET VARIANCES)
- IF A MULTI-YEAR GRANT, A REVISED BUDGET AND BUDGET NARRATIVE FOR THE UPCOMING YEAR (IF WARRANTED)
- NOTIFICATION TO DDCF OF ANY CHANGES TO THE ORGANIZATION'S BOARD, SENIOR STAFF, OR TAX-EXEMPT STATUS.

THIS INFORMATION IS REQUIRED WHETHER THE GRANT PERIOD IS ONE YEAR OR MULTI-YEAR. IF THE GRANT IS MULTI-YEAR, THE SUBSEQUENT DISBURSEMENTS WILL NOT BE MADE UNTIL THIS INFORMATION HAS BEEN RECEIVED, REVIEWED, AND APPROVED BY DDCF STAFF.

THE GRANTEE SUBMITS THE REQUIRED INFORMATION AND DOCUMENTATION TO DDCF VIA ON-LINE REPORTING FORMS. ONCE RECEIVED, PROGRAM STAFF AND GRANTS MANAGEMENT STAFF REVIEW THE REPORTS TO ENSURE THAT THE GRANTEE HAS BEEN USING DDCF'S FUNDS FOR THE PURPOSE OUTLINED IN THEIR GRANT AGREEMENT. THIS INCLUDES DETERMINING IF CERTAIN PROJECT MILESTONES HAVE BEEN MET, WHETHER FUNDS HAVE BEEN SPENT REASONABLY AND WITHIN PROGRAM GUIDELINES, AND WHETHER THE PROJECT HAS BENEFITED THE ITEMS/POPULATION TARGETED IN THE AGREEMENT. ADDITIONALLY, THE FINANCIAL INFORMATION IS REVIEWED BY THE DIRECTOR OF GRANTS MANAGEMENT OR PROGRAM ASSOCIATE FOR GRANTS MANAGEMENT, AND EITHER THE PROGRAM DIRECTOR OR PROGRAM OFFICER - AND, AS NECESSARY, BY A MEMBER OF THE FINANCE DEPARTMENT - TO ENSURE THAT THE GRANTEE IS NOT EXPERIENCING ANY FINANCIAL DIFFICULTIES.

ONCE THIS INFORMATION HAS BEEN EVALUATED AND APPROVED BY DDCF, THE PAYMENT REQUEST IS PREPARED FOR MULTI-YEAR GRANTS OR, FOR SINGLE YEAR GRANTS, THE FILE WILL BE CLOSED.

COMPUTER INFORMATION SYSTEMS

IN JANUARY 2017, DDCF TRANSITIONED FROM GIFTS, AN ON-SITE SERVER-BASED GRANTS MANAGEMENT DATABASE SYSTEM TO BLACKBAUD GRANTMAKING (FORMERLY NAMED GIFTS ONLINE), A CLOUD-BASED SYSTEM HOSTED BY THE SAME VENDOR. THE SYSTEM IS USED TO TRACK DETAILED INFORMATION ABOUT EACH APPLICATION FOR FUNDING AND EACH GRANT APPROVED (PAYMENT SCHEDULES, REPORTING REQUIREMENTS, CONTACTS, ORGANIZATIONAL INFORMATION). APPLICATIONS ARE RECEIVED THROUGH AN INTERNET GRANTS APPLICATION MODULE AND RETRIEVED INTO THE SYSTEM AND THEIR STATUS IS TRACKED ACCORDING TO THEIR PROGRESS THROUGH THE REVIEW, APPROVAL OR DECLINATION, AND DISBURSEMENT AND REPORTING PROCESS. THE SYSTEM IS ALSO USED TO GENERATE GRANT-RELATED DOCUMENTATION, INCLUDING (BUT NOT LIMITED TO) GRANT AWARD LETTERS AND GRANT AGREEMENTS, PAYMENT REQUEST MEMORANDA, AND REPORTING REVIEW CHECKLISTS, AND INTERNAL GRANT PROCESSING EMAILS. THE SYSTEM ALSO PROVIDES REPORTS THAT ENABLE THE USER TO PROJECT UPCOMING DISBURSEMENTS, DETERMINE WHEN FINANCIAL OR OTHER REPORTING INFORMATION IS REQUIRED, TRACK GRANT EXPIRATION, AND TRACK ACTIVITIES RELATED TO EACH GRANT.